GOVERNMENT OF ASSAM INDUSTRIES, COMMERCE & PUBLIC ENTERPRISE DEPARTMENT JANATA BHAWAN, BLOCK-'C', 2nd FLOOR DISPUR ;; GUWAHATI-6.

ORDERS BY THE GOVERNOR OF ASSAM NOTIFICATION

Dated Dispur the 29th January,2022

No.MI.45/2021/198: The Governor of Assam is pleased to approve the "Operational Guidelines" for the "Electric Vehicle Policy of Assam, 2021" with immediate effect.

Sd/(Dr. K.K. Dwivedi, I.A.S)
Principal Secretary to the Govt. of Assam,
Industries, Commerce & PE Department.

Memo No.MI.45/2021/198 -A Copy to: Dated Dispur, the 29th January,2022

- The Commissioner, Industries and Commerce, Udyog Bhawan, Bamunimaidam, Guwahati -781021 for favour of kind information.
- 2. The Commissioner of Transport, Paribahan Bhawan, Jawahar Nagar, Khanapara, Guwahati 781028 for favour of kind information.
- 3. The Managing Director, Assam Power Distribution Company Limited, Bijulee Bhawan, Paltan Bazar, Guwahati 781001 for favour of kind information.
- 4. The Managing Director, AIDC Ltd., R.G. Baruah Road, Guwahati-781024 for favour of kind information.
- 5. The Managing Director, AIIDC Ltd., Industrial Estate, Guwahati-781021 for favour of kind information.
- 6. The Managing Director, ASIDC Ltd., Bamunimaidan, Guwahati-781021 for favour of kind information.
- 7. The Director, Assam Government Press, Bamunimaidan, Guwahati-781021 with a request to publish the notification in the next issue of Assam Gazette.
- 8. The P.S. to the Minister, Industries, Commerce & PE Department for kind apprisal of Hon'ble Minister.
- 9. The P.S. to the Principal Secretary to the Govt. of Assam, Industries, Commerce & PE Department for kind apprisal of Principal Secretary.

10. Office Copy

By order etc.,

Joint Secretary to the Govt. of Assam Industries, Commerce & PE Department.

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GOVERNMENT OF ASSAM

OPERATIONAL GUIDELINES FOR ELECTRIC VEHICLE POLICY OF ASSAM, 2021

INDUSTRIES, COMMERCE AND PUBLIC ENTERPRISES DEPARTMENT

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Operational Guidelines of Electric Vehicle Policy of Assam, 2021

1. Introduction:

The Electric Vehicle Policy of Assam, 2021 has been notified to promote adoption of Electric vehicles and to create robust infrastructure for electric vehicles including network of charging points with a view to encourage the manufacturers to invest in the sector. These guidelines have been framed under the provisions of Clause-15 of Electric Vehicle Policy of Assam, 2021 with the objective of specifying the procedures to be observed for availing incentives, sanction and disbursement and also for recovery of incentives if drawn irregularly or fraudulently. The period of validity and effective date of these guidelines shall be effective from 04.09.2021 and shall remain in force till the time Government deemed to be fit and proper.

2. Incentives under the Policy:

The Policy is to be implemented through the following verticals:

- (i) Incentives for early adoption of Electric Vehicles
- (ii) Incentives for Charging Infrastructure
- (iii) Incentives for Manufacturing of Electric Vehicle and their components.

3. Eligibility Criteria for availing the incentives:

3.1. For Early Adoption of Electric Vehicles:

(i) As per Clause 8.2 of the policy, the following categories of Vehicles will be eligible for Incentives:

Sl.No.	Type of Vehicle	Segments	Segment wise	Total Incentive
			targets	(INR)
i.	2-wheelers (e-2W)	e-scooter and e-motor cycle	1,00,000	20,000
ii.	3-wheelers (e-3W)	e-auto, e-rickshaw and e-	75,000	50,000
		goods carriage		
iii.	4-wheelers (e-4W)	light motor vehicle	25,000	1,50,000

- (ii) The vehicles should be registered within the State of Assam.
- (iii) To claim incentives under the Policy, an individual is entitled to procure only one electric vehicle from any of the categories as listed at 3.1(i) above.
- (iv) Vehicles which comply with the FAME India Phase-II eligibility criteria, will abe considered under this Policy.
- (v) All vehicles approved and eligible for incentives under the Government of India's FAME-II scheme under the vehicle categories in **Table 1** will automatically be eligible for demand incentives under this policy. (https://fame2.heavyindustry.gov.in/ModelUnderFame.aspx)
- (vi) Incentives under this policy shall be available to individuals only. Company, Corporation, Partnership Firm, Proprietorship Firm will not be eligible for any incentive. Any manufacturing unit set up by the Government or Public Sector

- Undertakings shall also not be eligible for any incentives under the Policy.
- (vii) Any payment made in cash for any transaction shall be treated as ineligible for calculating and considering the incentives.

3.1.1. Institutional Mechanism:

The application for disbursement of the incentives under this policy will be received through a portal to be notified by the Government. The eligible individual will need to register on the portal with all supporting documents of eligibility. The claim will have to be filed on the Portal, in the prescribed application form, uploading all the supporting documents.

Offline application as prescribed in **Annexure A** may be received in the Commissionerate of Transport (CT) till portal for online application is not made functional.

3.1.2. Procedure for Claiming Incentives for Early Adoption of Electric Vehicles:

- (i) The individuals will be required to register themselves with **Industries**, **Commerce and Public Enterprises Department EV Portal** to be developed by the **Commissionerate of Industries and Commerce (C-I&C)**. The following documents shall be required for the purpose of registration/considering eligibility of their EVs for incentive under this Policy:
 - PAN Card
 - Aadhaar Card
 - Registration Certificate of the EV
 - Purchase/Money Receipt/Cash Memo, etc.
- (ii) Application will be received online along with required documents.
- (iii) Commissionerate of Transport (CT) will verify the applications and recommend for release of incentives.
- (iv) Commissioner of Industries and Commerce as the Member Secretary will place the recommended applications in the meeting of State Level Committee (SLC) which will further approve the same if found to be in order and eligible.
- (v) After approval of SLC, the incentives will be directly be released in the bank account of the beneficiary.
- (vi) Procedure for claiming exemption of registration charges: On production of details of payment of Electric Vehicle in Assam by the resident of Assam, District Transport Officers (DTO) will allow free registration of the vehicle. The dealers will be allowed to register the Electric Vehicle without registration fee if any resident of Assam procures the Vehicle
- (vii) Housing and Urban Affairs Department will issue directives to the Deputy Commissioners and other authorities to allow 100% Waiver on parking charges on Electric Vehicles for 5 years.

3.2. For Charging Infrastructure:

- (i) Any individual/entity willing to set-up public charging stations shall have to comply with the provisions as laid down notified by Ministry of Power, Government of India from time to time.
- (ii) The land proposed to be setting up of charging station shall be in the name of

- the individual/entity either in the form of ownership as well as leased out to him. There should not be any litigation pending against the land and the land shall be free from all the disputes.
- (iii) Existing Petrol Pumps, Housing and Residential Societies, Malls, Parking Spaces, Offices, Colleges and University or other Public Spaces will be eligible for establishment of charging infrastructure and claiming incentives under the Policy.

3.2.2. Procedure for Claiming Incentives for Charging Infrastructure:

- (i) For charging infrastructure, the incentives relating to **Clause 9.2** of the Electric Vehicle Policy of Assam, 2021 shall be disbursed as per the operating guidelines of Industrial and Investment Policy of Assam, 2019.
- (ii) Eligibility Certificate (EC) for claiming incentives under **Clause 9.2** of the Electric Vehicle Policy of Assam, 2021 shall be issued as per the operating guidelines of Industrial and Investment Policy of Assam, 2019.
- (iii) For charging infrastructure, the incentives relating to **Clause 9.6** of the Electric Vehicle Policy of Assam, 2021, the user will make full payment on the first bill. Assam Power Distribution Company Limited (APDCL) will make provisions in that only 10% of the total energy consumed should be billed and sent to the user for payment.
- (iv) The entrepreneurs shall submit copy of last electricity bill and payment receipt issued by APDCL on full payment of the first bill as mentioned in **Clause 3.2.2 (iii)**

3.3. For Manufacturing of Electric Vehicle and their components:

- (i) The intending manufacturing units shall be governed by the all-existing statutory norms and compliance thereof.
- (ii) The manufacturing units of Electric Vehicle will have to employ minimum of 80% people of Assam in the Managerial Cadre and minimum 90% people of Assam in Non-Managerial Cadre. The Employment Certificate shall be obtained from the competent authority at the same time the unit should follow the existing Labour Laws as regard to the employment and wages in the unit.

(iii) List of of Eligible and Ineligible components/items in respect of computing the value of Plant and Machinery:

Sl. No.	Eligible Components	Sl.	Ineligible
		No.	Components
i.	Cost of Industrial Plant & Machinery	i.	Loading and unloading charges
	including taxes and duties		
ii.	Cost of productive equipment's such as	ii.	Miscellaneous fixed assets
	tools, jigs, dyes and moulds including		such as DG Sets, handling
	taxes and duties		equipments, electrical
			components other than
			Electrical components

			necessary for plant operation on the plant side from where meter is installed up to the point where finished goods is to be produced/dispatched (i.e. H.T. motors, L.T. motors, Switch boards, Panels,
			Capacitors, Relay, Circuit Breakers, Panel Boards,
			Switchgears.
iii.	Freight charges paid for bringing Plant	iii.	Commissioning cost
	& Machinery and equipment from		
	suppliers premises to the location of		
	theunit		
iv.	Transit insurance premium paid	iv.	Captive Power Plants
v.	Erection and installation charges to be	v.	Storage equipments
	restricted to the cost indicated in the		
	Appraisal Note of the Financial		
	Institution which provided loan to the		
	unit.		
vi.	Laboratory testing equipments to be	vi.	Weigh bridge
	restricted to the cost indicated in the		
	Appraisal Note of the Financial		
	Institution which provided loan to the		
	unit.		
vii.	Mining equipments to be restricted to		
	the cost indicated in the Appraisal Note		
	of the Financial Institution which		
	provided loan to the unit		

(iv) List of of Eligible and Ineligible components/items in respect of computing the value of Factory Shed/Institutional Building:

Sl. No.	Eligible Components	Sl.	Ineligible Components
		No.	
i.	Factory shed/institutional building (in case	i.	Boundary wall & gate
	of Service Sector) {eligible investment to		
	be restricted to prevalent APWD (B)		
	Schedule of rates}.		
ii.	Raw material & Finished products	ii.	Approach road/internal road
	godown attached to main factory		

	shed//institutional building {eligible		
	investment to be restricted to prevalent		
	APWD (B) Schedule of rates}.		
iii.	Essential civil construction works like	iii.	Land development
	machine/equipment foundation {eligible		
	investment to be restricted to prevalent		
	APWD (B) Schedule of rates}		
		iv.	Office building/Space
			utilized for office
		v.	Raw material/finished product
			godown situated at a different
			location other than Factory
			shed/Institutional Building
		vi.	Any residential building/rest
			house/guest house
		vii.	Canteen
		viii.	Labour rest room and quarters
			forworkers
		ix.	Security/guard room or
			enclosure
		х.	Construction of weigh bridge
		xi.	Consultancy fee, taxes
			etc.

3.3.1. Procedure for Manufacturing of Electric Vehicle and their Components :

- (i) The relevant Clause of the incentives for manufacturing of Electric Vehicle and their components is given at **Clause 10** of the Industrial and Investment Policy of Assam, 2019.
- (ii) For Manufacturing of Electric Vehicle and their Components, the incentives relating to Clause 10.3 and 10.4 of the Electric Vehicle Policy of Assam, 2021 shall be disbursed as per the operating guidelines of Industrial and Investment Policy of Assam, 2019 and North East Industrial Development Scheme, 2017.
- (iii) Eligibility Certificate (EC) for claiming incentives under **Clause 10.3** and **10.4** of the Electric Vehicle Policy of Assam, 2021 shall be issued as per the operating guidelines of Industrial and Investment Policy of Assam, 2019.

4. State level Committee:

A State Level Committee (SLC) will be constituted with the following members to monitor implementation of the Policy and develop procedures and modalities as required:

1.	Sr. Most	Secretary to	the Go	vernment	of Assam,	:	Chairman
	Industries,	Commerce	and	Public	Enterprises		
	Department	t					

2.	Secretary to the Government of Assam, Finance	:	Member
	Department		
3.	Secretary to the Government of Assam, Science and	:	Member
	Technology Department		
4.	L. Commissioner of Transport, Assam		Member
5.	5. Managing Director, APDCL		Member
6.	6. Director of Employment & Craftsmen Training, Assam		Member
7.	7. Member Secretary, Pollution Control Board		Member
8.	Commissioner of Industries and Commerce, Assam		Member Secretary

Application for Early Adoption of EVs

A. Information about Beneficary:

Sl. no	Particulars	Details
1	Name of the beneficiary	
2	Address of the beneficiary	
3	Aadhaar Card No. (for individuals)	
5	Mobile Number	
6	Email ID	
8.	Bank Details a. Account Holder Name: b. Name of Bank: c. Name of Branch. d. Account No: e. IFSC Code: f. MICR Code	

B. Information about Vehicle:

Sl.no.	Particulars	Details
1	Manufacturer Name	
2	Dealer Name and Address	
3	EV Model Code	
4	Vehicle Model and Variant	
5	Battery Size in KWH	
6	Registration Number	
7	Dealer Invoice No.	

8	Dealer Invoice Amount			
9	Purchase incentive as per policy			
10	Total incentive amount			
11	Chassis No., Vehicle Registration Number (RC) and unique battery number(s)	Chassis No.	Vehicle RegistrationNo.	Unique Battery No.

Declaration by the beneficiary

I/We declare that the information provided here-in-above are correct and true to the best of our knowledge. I/We undertake to abide by all the terms and conditions of the Policy as well as the guidelines to be issued by Industries, Commerce and Public Enterprises Department from time to time withrespect to the Policy.

(Signatures of authorized Signatory)
Name:



No.12/2/2018-EV (Comp No. 244347) Government of India Ministry of Power

Shram Shakti Bhawan, Rafi Marg, New Delhi, the 14th January, 2022

To,

- 1. The Secretaries of all the Ministries/ Departments of Government of India
- 2. The Chief Secretaries of the States/UTs

Subject: Charging Infrastructure for Electric Vehicles (EV) – the revised consolidated Guidelines & Standards-reg

Sir/ Madam,

The "Charging Infrastructure for Electric Vehicles - Guidelines and Standards" were issued by the Ministry of Power on 14.12.2018 which were subsequently revised on 01.10.2019 and an Amendment thereof was issued on 08.06.2020. After careful consideration of progress made and suggestions received from various stakeholders, it has been decided to amend the guidelines to accelerate the E-Mobility transition in the country. In supersession of all previous guidelines in this regard, the revised consolidated guidelines are as follows:

Objectives

- a) To enable faster adoption of electric vehicles in India by ensuring safe, reliable, accessible and affordable Charging Infrastructure and eco-system.
- b) To provide foraffordable tariff chargeable from Charging Station Operators/Owners and Electric Vehicle (EV) owners.
- c) To generate employment/income opportunities for small entrepreneurs.
- d) To proactively support creation of EV Charging Infrastructure.
- e) To encourage preparedness of Electrical Distribution System to adopt EV Charging Infrastructure.
- f) To promote energy security and reduction of emission intensity of the country by promotion of entire EV ecosystem

Definitions:

- i. **Electric Vehicle Supply Equipment (EVSE)** shall mean an element in Electric Vehicle Charging Infrastructure (EVCI) that supplies electrical energy for recharging the battery of electric vehicles.
- ii. **Public Charging Station (PCS)** shall mean an EV charging station where any electric vehicle can get its battery recharged.

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- iii. **Battery Charging Station (BCS)** shall mean a station where the discharged or partially discharged electric batteries for electric vehicles are electrically recharged.
- iv. Captive Charging Station (CCS) shall mean an electric vehicle charging station exclusively for the electric vehicles owned or under the control of the owner of the charging station e.g., Government Departments, Corporate houses, Bus Depots, charging stations owned by the fleet owners etc. and shall not be used for commercial purpose of charging other vehicles on paid for basis.
- v. **Battery Swapping Station (BSS)** shall mean a station where any electric vehicle can get its discharged battery or partially charged battery replaced by a charged battery.

Guidelines:

- 1. Owners may charge their Electric Vehicles at their residence/offices using their existing electricity connections.
- 2. Any individual/entity is free to set up public charging stations provided that, such stations meet the technical, safety as well as performance standards and protocols laid down below as well as norms/ standards/ specifications laid down by Ministry of Power, Bureau of Energy Efficiency (BEE) and Central Electricity Authority (CEA) from time to time.
- 2.1 Public Charging Station (PCS), may apply for electricity connection and the Distribution Company licensee shall release connection for EV Public charging station (PCS) in accordance with the timelines stated in section 4 sub. (11) of the Electricity (Rights of Consumers) Rules 2020. Accordingly, timelines for providing the connectivity for the PCS are as under:
 - i. Post submission of application complete in all respect, the connection for a Public Charging Station shall be provided within time period not exceeding seven days in metro cities, fifteen days in other municipal areas and thirty days in rural areas, within which the distribution licensees shall provide new connection or modify an existing connection. Appropriate Commission may specify a time limit for providing such connection to a Public Charging Station which may be less than the aforementioned specified time limit.
 - ii. Provided that where such supply requires extension of distribution mains, or commissioning of new sub-stations, the distribution licensee shall supply the electricity to such premises immediately after such extension or commissioning or within such period as may be specified by the Appropriate Commission.
- 2.2 Any Public Charging Station/ Chain of Charging Stations may obtain electricity from any generation company through open access. Open Access shall be provided for this purpose within 15 days of receipt of the application complete in all respect. They will be required to pay the applicable surcharge equal to the current level of cross subsidy (not more than 20 percent, as per the Tariff Policy Guidelines), transmission charges and wheeling charges. No other surcharge or charges shall be levied except mentioned in this provision.
- 3. Public Charging Infrastructure (PCI)- Requirements:
- 3.1 Every Public Charging Station (PCS) will comply with the following: -

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- i. An exclusive transformer with all related substation equipment including safety appliance, if required by Supply Code as approved by Appropriate Electricity Regulatory Commission.
- ii. Appropriate civil works
- iii. Appropriate cabling & electrical works ensuring safety
- iv. Adequate space for Charging and entry/exit of vehicles.
- v. Appropriate Fire protection equipment and facilities.
- vi. Public Charging Station shall have, any one or more chargers or any combination of chargers from the table given in ANNEXURE II & ANNEXURE III in one or more electric kiosk/boards.
- vii. Charging Station for(two/three wheelers) e- vehicles shall be free to install any charger other than those specified above subject to compliance of technical & safety standards as laid down by CEA.
- viii. Tie up with at least one online Network Service Providers (NSPs) to enable advance remote/online booking of charging slots by EV owners. Such online information to EV owners should also include information regarding location, types and numbers of chargers installed/available, service charges for EV charging, etc.
- ix. Share charging station data with the appropriate State Nodal Agency (SNA) and adhere to protocols as prescribed by Central Nodal Agency (CNA) i.e., Bureau of Energy Efficiency (BEE) for this purpose. The CNA and SNA shall have access to this database.
- x. Public Charging Stations for EVs shall comply with the provisions of Central Electricity Authority (Technical Standards for Connectivity of the Distributed Generation Resources) Amendment Regulations, 2019 and Central Electricity Authority (Measures relating to Safety and Electric Supply) (Amendment) Regulations, 2019.
- 3.2 Electric Vehicle Supply Equipment (EVSE) should have been type tested by an agency/lab accredited by National Accreditation Board for Testing and Calibration Laboratories (NABL) from time to time.
- 3.3 The above minimum infrastructure requirements do not apply to Private Charging Points meant for self-use of individual EV owners (non-commercial basis).
- 3.4 Captive charging infrastructure for 100% internal use for a company's own/leased fleet for its own use will not be required to install chargers as per para 3.1 and to have Network Service Provider (NSP) tie ups.
- 3.5 Public Charging Station may also be installed by Housing societies, Malls, Office Complexes, Restaurants, Hotels, etc. with a provision to allow charging of visitor's vehicles which are permitted to come in its premises.

4. Public Charging Infrastructure (PCI) for long rangeEVs and/or heavy duty EVs:

- 4.1 Fast Charging Stations (FCS) i.e. Public charging stations for long range EVs and/ or heavy duty EVs (like trucks, buses etc) willhave the following:
 - i. At least two chargers of minimum 100 kW (200- 750 V or higher) each of different specification (CCS /CHAdeMO Chargers for above capacity or BIS

O)

- Standards for eBus Charging Station (Level-4: 250 to 500 kW) as provided under ANNEXURE III (6)) with single connector gun each.
- ii. Appropriate Liquid Cooled Cables for high speed charging facility as above [4.1(i)], for onboard charging of Fluid Cooled Batteries (currently available in some long range EVs), if required.
- 4.2 Such Fast Charging Stations (FCS) which are meant for 100% in house/captive utilisation, for example buses of a company, would be free to decide the charging specifications as per requirement for its in-house company EVs.

5. Location of Public Charging Stations:

- 5.1 In case of Public Charging Stations, the following requirements are laid down with regard to density/distance between two charging points:
 - i. At least one Charging Station shall be available in a grid of 3 Km X 3 Km. Further, one Charging Station shall be set up at every 25 Km on both sides of highways/roads.
 - ii. For long range EVs and/or heavy duty EVs like buses/trucks etc., there shall be at least one Fast Charging Station with Charging Infrastructure Specifications as per para 4.1 above at every 100 Kms, one on each side of the highways/road located preferably within/alongside the Public Charging Stations as per ANNEXURE II or BIS Standards for Power Level 1 to 5 as per ANNEXURE III. Within cities, such charging facilities for heavy duty EVs may be located within Transport Nagars, bus depots.
- 5.2 Additional PCS/FCS can be installed even if there exists a PCS/FCS in the required grid or distance.
- 5.3 The above density/distance requirements shall be used by the concerned state/UT Governments/their Agencies for the twin purposes of arrangement of land in any manner for public charging stations as well as for priority in installation of distribution network including transformers/feeders etc. This shall be done in all cases including where no central/state subsidy is provided.
- The appropriate Governments (Central/State/UTs) may also give priority to existing retail outlets (ROs) of Oil Marketing Companies (OMCs) for installation of Public EV Charging Stations (in compliance with safety norms) to meet the requirements as laid above. Further, within such ROs, Company Owned and Company Operated (COCO) ROs may be given higher preference.

6. Database of Public EV Charging Stations:

6.1. Bureau of Energy Efficiency (BEE) shall create and maintain a national online database of all the Public Charging Stations in consultation with State Nodal Agencies (SNAs). Bureau of Energy Efficiency shall create a Web-Portal/Software/Mobile Application for the database of Public Charging Stations throughout the country. A common format for information in this regard shall be prepared by Bureau of Energy Efficiency (BEE) and State Nodal Agencies (SNAs) shall be directed to keep the details as per such format and update the same on the Web-Portal/Software/Mobile Application developed by BEE on weekly basis.

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7. Tariff for supply of electricity to EV Public Charging Stations:

- 7.1 The tariff for supply of electricity to Public EV Charging Stations shall be a single part tariff and shall not exceed the "Average Cost of Supply" till 31st March, 2025. The same tariff shall be applicable for Battery Charging Station (BCS).
- 7.2 The tariff applicable for domestic consumption shall be applicable for domestic charging.
- 7.3 The separate metering arrangement shall be made for PCS so that consumption may be recorded and billed as per applicable tariff for EV charging stations.
- 7.4 DISCOMs may leverage on funding from the Revamped Distribution Sector Scheme (RDSS) under 'Part A Distribution Infrastructure' for the general upstream network augmentation necessitated due to the upcoming charging infrastructure in various areas. The cost of such works carried out by the DISCOMs with the financial assistance from Government of India under the Revamped Scheme shall not be charged from the consumers for Public Charging Stations for EVs.

8. Service charges at PCS:

- 8.1 Charging of EVs is a service as already clarified by Ministry of Power vide letter No. 23/08/2018-R&R dated 13.04.2018.
- 8.2 As electricity is being provided at concessional rates and also considering the fact that subsidy is being provided by the Central/State Governments in many cases for setting up Public Charging Stations, the State Government shall fix the ceiling of Service Charges to be charged by such PCS/FCS.

9. Provision of land at promotional rates for Public Charging Stations (PCS):

- 9.1 In initial years the penetration of Electric Vehicles on road is increasing gradually. Consequently, the utilization rate for the Public Charging Stations is very low. High cost ofrent for land and chargers coupled with no definite visibility of revenues makes the overall investment proposition for setting up a public Charging Station challenging in present scenario.
- 9.2 Accordingly, it is provided that the land available with the Government/Public entities shall be provided for installation of Public Charging Stations to a Government/Public entity on a revenue sharing basis for installation of Public Charging Station at a fixed rate of ₹1/kWh (used for charging) to be paid to the Land-Owning Agency from such PCS business payable on quarterly basis. A model revenue sharing agreement is placed at Annexure −IV.Such revenue sharing agreement may be initially entered by parties for a period of 10 years. The Revenue Sharing Model may also be adopted by the public Land-owning agency for providing the land to a private entity for installation of Public Charging Stations on bidding basis with floor price of ₹1/kWh.
- 9.3 Furthermore, based on available charging technologies and their evolution, type of vehicles, the types of chargers, indicating number of charging points required for setting up adequate PCS within the local urban areas including the building premises of all building types and with the long term vision of implementing 'electric mobility' during the next 30 years, amendments have been made in the relevant sections (Chapter 10) of the Model Building Bye-laws, 2016 and the Urban and Regional Development Plans Formulation and Implementation Guidelines (URDPFI 2014)

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by the Ministry of Housing and Urban Affairs (MoHUA). A copy of these amendments is enclosed at **ANNEXURE V**. These may be implemented fully to provide adequate space for setting up charging stations.

10. Priority for Rollout of EV Public Charging Infrastructure:

After extensive consultations with State Governments and different Department/Agencies of Central Government, phasing as follows are laid down as national priority for rollout of EV Public Charging Infrastructure:

10.1 Phase I (1-3 Years):

All Mega Cities with population of 4 million plus as per census 2011, all existing expressways connected to these Mega Cities & important Highways connected with each of these Mega Cities may be taken up for coverage. A list of these Mega Cities and existing connected expressways is attached at **ANNEXURE I**.

10.2 Phase II (3-5 Years):

Big cities like State Capitals, UT headquarters may also be covered for distributed and demonstrative effect. Further, important Highways connected with each of these Mega Cities may be taken up for coverage.

10.3 The above priorities for phasing of rollout may be kept in mind by all concerned, including, different agencies of Central/State Governments while framing of further policies/guidelines for Public Charging Infrastructure of EVs, including for declaring further incentives/subsidies for such infrastructure and for such other purposes.

11. Implementation Mechanism for Rollout:

- Bureau of Energy Efficiency (BEE) shall be the Central Nodal Agency for rollout of EV Public Charging Infrastructure All relevant agencies including Central Electricity Authority (CEA) shall provide necessary support to Central Nodal Agency.
- 11.2 Every State Government shall nominate a Nodal Agency for that State for setting up charging infrastructure. The State DISCOM shall generally be the Nodal Agency for such purposes. However, State Government shall be free to select a Central/State Public Sector Undertaking (PSU) including Urban Local Bodies (ULBs), Urban/Area Development Authorities etc. as its Nodal Agency.

12. Selection of Implementation Agency for Rollout:

- 12.1 The Central Nodal Agency shall finalize the cities and expressways/highways to be finally taken up from the priority as given at para 10 above, in consultation with the respective State Governments.
- An Implementation Agency may be selected by the respective State Nodal Agency and shall be entrusted with responsibility of installation, operation and maintenance of PCS/FCS for designated period as per parameters laid down in this policy and as entrusted by the concerned Nodal Agency. The Implementation Agency maybe an Aggregator as mutually decided between Central and State Nodal Agencies. However, they may also decide to choose different PCS providers for bundled packages or for individual locations as mutually decided. Further, whenever bundled packages are carved for bidding, such packages may include at least one

identified expressway/highway or part thereof to prepare a cohesive regional package; the selected identified cities may be divided into one or more parts as necessary for such purposes.

13. These Guidelines and Standards shall supersede the Revised "Charging Infrastructure for Electric Vehicles – Guidelines and Standards" issued by Ministry of Power on 1st October, 2019 and subsequent amendments dated 08.06.2020.

This issues with the approval of Hon'ble Minister of Power, New & Renewable Energy.

(S. Majumdar)

Under Secretary to the Govt. of India

Tel: 23356938

Email:suman.m@nic.in

Copy to:

- 1. Prime Minister's Office/Cabinet Secretariat
- 2. CEO, NITI Aayog
- 3. The Secretaries of the CERC/State Commissions/JERCs
- 4. Chairperson, CEA
- 5. DG, BEE

(S. Majumdar)

Under Secretary to the Govt. of India

Tel: 23356938

Email: suman.m@gov.in

Annexure I

I. <u>List of 4 million plus cities (as per census 2011)</u>

1	Mumbai
2	Delhi
3	Bangalore
4	Hyderabad
5	Ahmedabad
6	Chennai
7	Kolkata
8	Surat
9	Pune

II. List of corridors

1	Mumbai-Pune Expressway
2	Ahmedabad-Vadodara Expressway
3	Delhi-Agra Yamuna Expressway
4	Delhi-Jaipur
5	Bengaluru-Mysore
6	Bengaluru-Chennai
7	Surat-Mumbai Expressway
8	Agra - Lucknow Expressway
9	Eastern Peripheral Expressway
10	Delhi-Agra NH2 Expressway
11	Hyderabad ORR expressway
12	5 connected highways to each megacity

ANNEXURE II
Electric Vehicle Chargers as provided under Para 3.1 (vi) of the Guidelines

Charger Type	S. No.	Charger Connectors*	Rated OutputVoltage(V)	No. of No. of Connector guns (CG)	Charging vehicle type(W=wheeler)
	1	Combined Charging System(CCS) (min 50 kW)	200-750or higher	1 CG	4W
Fast	2	CHArgedeMOve (CHAdeMO) (min 50 kW)	200-500or higher	1 CG	4W
	3	Type-2 AC (min 22 kW)	380- 415	1 CG	4W, 3W, 2W
	4	Bharat DC-001 (15 kW)	48	1 CG	4W, 3W, 2W
Slow/ Moderate	5.	Bharat DC-001 (15 kW)	72 or higher	1 CG	4W
	6.	Bharat AC-001 (10 kW)	230	3 CG of 3.3 kW each	4W, 3W, 2W

Indian Standards EV Charging notified by BIS of 01.11.2021

1. Light EV AC Charge Point

Power	Charging	EV-EVSE	Charge Point	Vehicle Inlet/
Level 1	Device	Communication	Plug/ Socket	Connector
Up to 7 kW	IS-17017-22-1	Bluetooth Low Energy	IS-60309	As per EV manufacturer

2. <u>Light EV DC Charge Point</u>

Power	Charging	EV-EVSE	Charge Point Plug/	Vehicle Inlet/
Level 1	Device	Communication	Socket	Connector
Up to 7 kW	IS-170	017-25 [CAN]	Combined Socket under development	IS-17017-2-6

3. Parkbay AC Charge Point

Power Level-	Device/	EV-EVSE	Infrastructure	Vehicle
	Protocol	Communications	Socket	Connector
Normal Power ~11kW/ 22 kW	IS-17017-1	IS-15118 [PLC] for Smart Charging	IS-17017-2-2	IS-17017-2-2

4. Parkbay DC Charge Point

Power	Device/	EV-EVSE	Infrastructure	Vehicle
Level-2	Protocol	Communications	Socket	Connector
Normal Power ~11kW/ 22 kW	IS-17017-23	IS-17017-24 [CAN] IS-15118 [PLC]	IS-17017-22-2	IS-17017-2-3

5. <u>DC Charging Protocol</u>

Power Level 3	Charging Device	EV-EVSE Communication	Connector
DC 50 kW to 250 kW	IS-17017-23	IS-17017-24 [CAN] IS-15118 [PLC]	IS-17017-2-3

6. eBus Charging Station (Level-4: 250 to 500 kW)

Power Level 4 DC High Power (250 kW> 500 kW)	Charging Device	EV-EVSE Communication	Connector
Dual Gun Charging Station	IS-17017-23-2	IS-15118 [PLC]	IS-17017-2-3
Automated Pantograph Charging Station	IS-17017-3-1		IS-17017-3-2

Model Revenue Sharing Agreement between Land-Owning Agency (LOA) and Charge Point Operator (CPO) for deployment of Public EV Charging Stations

BETWEEN

M/s. <Insert Name of Land Owning Agency>which expression shall unless repugnant to the context or meaning thereof, include successors and assigns of the FIRST PART.

AND

M/s. <Name of CPO>a Company registered under the 1956 Act, having its registered Office at <CPO registered address> (hereinafter referred to as "<CPO>" which expression shall mean and include its successor(s), administrator(s) and assigns) of the SECOND PART.

<LAND OWNING AGENCY> and <CPO> are hereinafter individually referred to as the "Party" and collectively as the "Parties".

WHEREAS:

- A. < Details of <LAND OWNING AGENCY> (Name & Address)>.
- B. < Details of CPO (Name & Address)>,
- D. In consideration of the above, this Agreement sets out the intent of the Parties in relation to the said proposal.

NOW THEREFORE, in consideration of the mutual covenants, terms, conditions and understandings set forth in this Agreement, the Parties hereby agree as follows:

1. Definitions

The following capitalized terms wherever used in this AGREEMENT shall have the meanings given hereunder:

"Public EV Charging Stations(s)" means a device or station that supplies power to charge the batteries of an electric vehicle;

"CPO" mean Charger Point Operator.

"AC" shall mean Alternating Current Charging;

"DC" shall mean Direct Current Charging;

"GST" shall mean Goods and Services Tax;

"Installation Work" means the construction and installation of the Public Charging stations and upstream supply, (if required) System and the operation and maintenance thereof, all performed by or for **<CPO NAME>** at the identified site.

"KW" shall mean rating of public EV Charger;

"Operating Cost" shall include direct electricity energy charge payment through payment gateway service provider appointed by <CPO NAME>, salary of supervisor or equivalent level person designated for managing the backend system, salary for semi-skilled/ skilled workers appointed by <CPO NAME> for maintenance of chargers, annual maintenance cost of chargers, telecommunication cost, IT System cost and customer support;

"Projects/ Charging Locations shall have a meaning ascribed in above Recital C hereof;

"**SOL**" means sites owned and/or operated by <LAND OWNING AGENCY>.

"**Term**" shall mean 10 years with Annual Maintenance Cost (AMC) starting from the earlier of: (a) six months from the Effective Date, or (b) the date of installation of the last Charging Point at the identified SOL in terms of this Agreement.

Effective Date: DD/MM/YYYY

"System" includes the Charging Points, assemblies, converters, switches, wiring devices and wiring, and all other material/civil works comprising the Installation Work.

2. Proposal

- b. The Parties are keen to develop partnership for the Projects/ Public EV Charging Locations at <Location Address> and may discuss further expansion at other locations, at the sole discretion of M/s <CPO Name>.
- c. The Parties shall jointly select the identified locations based on availability of space and feasibility of operation of the Public Charging Stations without affecting regular operation of the identified locations.
- d. M/s <CPO NAME> agrees to establish, setup and operate nos. of charging points at each public charging station. The Charging Station shall have chargers in accordance with Guidelines notified by the Ministry of Power. The charging infrastructure so installed shall comply with the government/ministry of power guidelines and regulations for performance, safety & quality from time to time.
- e. M/s <CPO NAME> agrees to invest in setting up and operating the public charging stations including separate power connection, transformer and meter, if required, at its own cost, and shall upgrade and refurbish the Public Charging Stations, in line with the technology advancements and business needs, from time to time. The cost of electricity including surcharge, duty, contingency for power purchase adjustment charges, etc. and all operating and maintenance expenses related to Charging Points shall be borne by M/s <CPO NAME>.
- f. The Parties agree that the Public Charging Stations may be operated through a cloud-based solution technology developed and owned by M/s <CPO NAME> and manpower deployed at the identified locations by M/s <CPO name>
- g. The Parties agree that all applicable statutory approvals/ permissions from the respective authorities for the Public Charging Stations shall be procured and obtained by M/s <CPO NAME>. <LAND OWNING AGENCY> shall provide all assistance to M/s <CPO NAME> to enable M/s <CPO NAME> to obtain the consents, clearances and permits, and the governmental approvals in a timely manner in connection with the Project. Further, <Land owning agency> agrees to assist in obtaining separate power connection or enhancing the power supply at each location, if required by M/s <CPO NAME> in connection with the Project.
- h. M/s <CPO NAME> shall arrange deployment of qualified and suitable manpower and required necessary tools, logistics, spares & consumables during installation, commissioning and O&M of Public EV charging stations at SOL. <LAND OWNING AGENCY> hereby grants to M/s <CPO NAME> a right, co-terminus with the term to ingress and egress the location and access to electrical panels and conduits to interconnect or disconnect the System with the SOL electrical wiring.
- i. Safety is of paramount importance and M/s <CPO NAME> shall take all safety precautions in connection with the setting up and operation of the Public Charging Stations to ensure safety to the user. <LAND OWNING AGENCY> agrees to ensure to provide safe and secure environment to install and operate the System. In the event of any damage to the land-owning agency facilities, property due to any fault in the M/s <CPO NAME>'s equipment, M/s <CPO NAME> will be liable to make good the losses to SOL for the same. <LAND OWNING AGENCY> shall be responsible for the loss incurred by M/s <CPO NAME> limited to Public Charging Stations and established infrastructure due to gross negligence or willful default on the part of <LAND OWNING AGENCY> or their agents/ employees.

- j. <LAND OWNING AGENCY> agrees and confirms that the Public Charging Locations (including the unfettered access to the identified space for the respective <LAND OWNING AGENCY>) shall be free from encumbrances or hindrances, and if during the installation and operation period, the same is identified by M/s <CPO NAME>, then <LAND OWNING AGENCY> shall remove the encumbrance or hindrance or provide suitable space for the System within the same location at the cost and expense of <LAND OWNING AGENCY> with immediate effect.
- k. The Parties agree to jointly undertake the planning, design, setting-up and implementing the Projects/ Public Charging Stations at the respective <LAND OWNING AGENCY>. The Parties, *inter-alia*, agree to ensure; (a) Planning and designing the charging infrastructure in relation to the Projects; (b) Investment in the Projects by M/s <CPO NAME>; (c) Operating and maintaining the Projects by <CPO Name>; and (d) Managing the Projects using cloud-based solution system software.
- <LAND OWNING AGENCY> shall not directly or indirectly cause, create, incur, assume, or suffer to exist any lien on or with respect to the System or any interest therein. The Project and the System shall remain the property of M/s <CPO NAME> and shall not attach to or be deemed a part of, or fixture to the <LAND OWNING AGENCY>. Neither <LAND OWNING AGENCY> nor its lessees or tenants or any other Person shall have any right, benefit, or interest in the Project.
- m. <LAND OWNING AGENCY> shall provide sufficient space at the provided location for the temporary storage and staging of tools, materials, equipment and facilities reasonably necessary during the Installation Work, or Project removal, and access for rigging and material handling.
- n. Wherever separate power connection to Public EV Charging Stations is not mandated/ not provided, <LAND OWNING AGENCY> shall provide required power to M/s <CPO NAME> for the maintenance and operation of its System at the rate <LAND OWNING AGENCY> is paying to the distribution utility at the relevant SOL and M/s <CPO NAME> shall reimburse the same to <LAND OWNING AGENCY> on actuals. In case, requires additional transformer or any expenses for providing the power, the same shall be incurred by M/s <CPO NAME>. In the event of the Govt. announcing a policy for subsidized power charges for EV charging stations, then M/s <CPO NAME> shall make necessary arrangements including separate meter and approvals as required at their own cost to avail the lower tariff.
- o. Any other activities considered necessary for setting up Public Charging Stations for electric vehicles at provided locations or other suitable locations on mutually agreed covenants/commercials arrangements, which are not specifically set out herein, but which may be identified at a later date, shall be included by mutual discussion and consent of Parties.
- p. The number of identified locations considered for Public EV Charging Stations would not be a binding number and could be amended seeing the potential, increase in business volume, less vehicle turnaround etc., if any.
- q. The number of Public EV Charging Stations in a cluster would be tentative and could increase / decrease subject to joint agreement between <LAND OWNING AGENCY> and M/s. <CPO NAME>. The addition or deletion of EV Charging Stations could be subsequently conveyed to each other in writing.

r. Branding: <LAND OWNING AGENCY> and M/s. <CPO NAME> shall do joint branding of the venture so as to create positive long-term association, market penetration, to create synergies based on unique strengths of each parties/brand, gain market share and increase revenue and also to boost the reputation of the parties in this project.

3. Payment of License Fee, Revenue Share and Billing Cycle raising of invoices, release of payments, security deposit etc.

- (a) M/s. <CPO NAME> to pay <LAND OWNING AGENCY> Rs. xxx/kWh which shall be ₹ 1.0 / kWh in case of such CPO being a Government/Public Entity or at discovered price through bidding with floor price of ₹ 1 / kWh in case CPO being a private entity of billed units(kWh) from charging business starting from 1st year, of billed units from charging business to <LAND OWNING AGENCY> within days after end of Quarter.
- (b) For the purpose of revenue sharing, M/s **<CPO NAME>** shall furnish the complete details of accounting of the billed units to **<LAND OWNING AGENCY>** for transparency and shall be governed by confidentiality under this Agreement.

M/s <CPO NAME> shall promptly pay the bill on monthly basis within 10 days of demand for electrical energy consumed for charging electric vehicles at the said locations as per actual minimum charges /as per actual. The charges should be paid to the <LAND OWNING AGENCY> till such time a separate meter is obtained in the name of <CPO NAME>. After obtaining a separate meter in the name of <CPO NAME>, the electricity charges shall be directly paid by <CPO NAME> based on the electrical energy consumed for charging EVs at each SOL. Dispute resolution mechanism of electricity bills, if any, to be taken up with relevant Discom with support from land owning agency.

4. Payment of Taxes

M/s **<CPO NAME>** shall pay all the statutory levies and taxes imposed by the Government or any other authorities present or future on the operation of EV charging stations. Further, M/s **<CPO NAME>** shall also pay to **<LAND** OWNING AGENCY> increase in the taxes and/or any levies on the land area used specifically for Public EV charging station, by any local authority including Municipal corporation/municipality/gram panchayat or any other statutory authority or by the government except property tax. **<LAND** OWNING AGENCY> shall pay property tax.

5. Insurance

M/s **<CPO NAME>** shall at all times and from time to time at its own cost and expense take out adequate and proper insurance during the continuance of this agreement from a well reputed insurance company against all risks including third party risk to persons and properties, fire and explosion risk and riot risks etc. covering operation of the Public Charging stations installed at SOL.

6. Standard Indemnification

Each party (indemnifying party) agrees to indemnify, defend and hold the other party (indemnified party) harmless from and against:

- a. Any third party claim (including intellectual property infringement claim), liability, obligation, loss, damage, deficiency, assessment, judgement, cost or expense (including, without limitation to costs and expenses incurred in preparing and defending against or prosecuting any third party litigation, claim, action, suit proceeding or demand) of any kind or character, arising out of or in any manner solely attributable to any failure of the indemnifying Party to perform its obligations described hereunder, gross negligence or wilful misconduct in the fulfilment of its obligations hereunder or for infringing the intellectual property rights of any third party.
- b. Any claim, liability, obligation, loss, damage, deficiency, assessment, judgement, cost or expense (including, without limitation to costs and expenses incurred in preparing and defending against or prosecuting any third party litigation, claim, action, suit proceeding or demand) of any kind or character arising from claims or sanctions or penalties imposed by any regulatory authority for failure by a Party or any of its respective officers, directors, employees, servants, sub-contractors or agents to comply with any applicable laws, rules and regulations.
- c. Any claim, liability, obligation, loss, damage, deficiency, assessment, judgement, cost or expense (including, without limitation to costs and expenses incurred in preparing and defending against or prosecuting any third party litigation, claim, action, suit proceeding or demand) of any kind or character with respect to any damage to or loss of property of a third party arising out of acts or omissions by a Party or any of its respective officers, directors, employees, servants, subcontractors, or agents in the performance of its obligations under this agreement.

7. Term & Termination

- 7.1 This Agreement shall come into force from the Effective Date of this agreement and remain in force during the 'Term' as defined under Definitions above. The agreement shall be further extended for a period as decided and agreed mutually in writing by the Parties. The Agreement may be terminated / exited by the Parties prior to the scheduled validity period due to any one of the following reasons:
 - a. Any misrepresentation, breach or violation of the terms of this Agreement by either of the Parties;
 - b. If <LAND OWNING AGENCY> fails to provide the Charging Locations for locating the Charging Points at the identified SOL or M/s <**CPO NAME>** failing to install the charging Points at the identified SOL within a reasonable time as agreed mutually; and
 - c. With mutual consent of both the parties without assigning any reason.
- 7.2 Upon such early termination, M/s <CPO NAME> shall have the right to dismantle all the System, equipment and Charging Points and take control in its custody, the Charging Points, System and equipment. <LAND OWNING AGENCY> shall have no right to claim and recover any of the Charging Points and the System from any

Charging Locations at the identified locations and the equipment/ infrastructure establishment by M/s <CPO NAME>.

8. Representations and Warranties

Each Party represents and warrants to the other Parties that:

- (a) it has power to execute, deliver and perform its obligations under the Agreement and all necessary corporate and other actions have been taken to authorise such execution, delivery and performance;
- (b) it has all requisite power and authority, and does not require the consent of any third party to enter into this AGREEMENT and grant the rights provided herein;
- (c) it is in compliance with all applicable laws and regulations, as may be applicable to it.
- (d) the execution, delivery and performance of its obligations under the Agreement does not and will not: (i) contravene any applicable law, or any judgment or decree of any court having jurisdiction over it; or (ii) conflict with or result in any breach or default under any agreement, instrument, regulation, license or authorisation binding upon it or any of its assets.
- (e) violate the memorandum and articles of association, by-laws or other applicable organisational documents thereof; and
- (f) there is no litigation pending or, to the best of such Party's knowledge, threatened to which it is a party that presently affects or which would have a material adverse effect on the financial condition or prospects or business of such Party in the fulfilment of its obligations under this AGREEMENT.

9. Confidentiality

- 9.1. During the subsistence of this Agreement and after termination or expiration of this Agreement for any reason whatsoever, the Party receiving any information and/or document which are marked as Confidential (hereinafter referred to as the "Confidential Information") shall:
 - a. Keep the confidential Information confidential;
 - b. Do not disclose the Confidential Information to any other person without the prior written consent of the Party disclosing such information (hereinafter referred to as the "**Disclosing Party**") except to its employees, agents, shareholders, investors, partners and advisors on a strictly need-to-know basis, and upon such person executing a non-disclosure undertaking in respect of the Confidential Information in a format reasonably satisfactory to the Disclosing Party;

- c. Do not use the Confidential Information for any purpose other than the performance of its obligations under this Agreement; Without the prior written consent of the Disclosing Party, not to make a public announcement or any other disclosure of the Confidential Information except as required by any legal stipulation applicable to it. In case of such disclosure required by legal stipulation, a Party which is required to make such disclosure shall, as soon as practicable after it is made aware of the requirement to make such disclosure, inform the Disclosing Party of the need to disclose such Confidential Information, the content thereof and the legal stipulation which requires disclosure of such Confidential Information.
- 9.2. The obligations contained in the relevant clauses above shall not apply to any Confidential Information which:
 - a. is at the date of this Agreement or at any time after the date of this Agreement comes into the public domain other than through breach of this Agreement by such Party; can be shown by the Party receiving the information to the reasonable satisfaction of the Disclosing Party that the same was known to such Party prior to the disclosure;
 - b. subsequently comes lawfully into the possession of the Party receiving such information from a person other than the Disclosing Party; or
 - c. such information which any Party is required to disclose by law, by a court of competent jurisdiction or by another appropriate regulatory body, provided that the Party required to disclose shall use reasonable endeavors to consult with the Disclosing Party and take into consideration is reasonable requests in relation to such disclosure.

10. Notice

All communication, demand and notices required to be sent under this Agreement shall be sent or delivered to the receiving Party at the address set forth herein, or at such other address as the Parties may from time to time designate in writing:

M/s <cpo name=""></cpo>
Address : -
•••••
•••••
•••••
•••••
Fax No.: Email id :-
LOA: Address: Email id:-

Any Notice, demand or other communication shall be sent by registered post / hand delivery.

11. Intellectual Property Rights

Intellectual Property Rights owned by each respective Party shall remain the property of such Party and nothing in this AGREEMENT shall be taken to represent an assignment, license or grant of other rights in or under such Intellectual Property Rights to the other Party. All right, title and interest to all Intellectual Property of each Party as of the Effective Date of this AGREEMENT, including that which is or may become protectable by patent, copyright, trademark, trade secret or similar law, shall remain exclusively with that Party.

12. Governing Law and Jurisdiction

This AGREEMENT shall be governed by and construed in accordance with the laws of India. Courts at <City, State>, India shall have exclusive jurisdiction in respect of matters arising out of or in relation to this AGREEMENT.

13. Dispute Settlement

The Parties hereby agree that they shall work together to resolve any disputes that may arise under, in relation to or in connection with this Agreement (referred to in this clause as a "**Dispute**"). In the event such Dispute is not resolved amicably within 60 (sixty) days of the date of receipt of notice issued by disputing party with respect to same by the non- disputing party then in such case all Dispute shall be settled by binding arbitration pursuant to the Arbitration and Conciliation Act, 1996, as amended ("**Arbitration Act**"), in following manner:

If any dispute or difference of any kind whatsoever shall arise between the Parties in connection with or arising out of this agreement, such dispute or difference shall be resolved through arbitration as per the procedure mentioned herein below:

- a. The dispute or difference shall be referred to a sole arbitrator.
- b. The arbitration shall be through High Court Mediation and Arbitration Centre at High Court of Judicature at <city name> for the state of <state name>.
- c. The rules of the above mentioned Institutional Arbitration Forum shall be applicable to the arbitral proceedings.
- d. The Indian Arbitration & Conciliation Act 1996 and Arbitration and Conciliation (amendment) Act 2015 or any statutory modification or re-enactment thereof and the rules made there under for the time being in force shall apply to the arbitration proceedings under the clause.
- e. The seat of arbitration shall be at <city name>, <state name>, India.
- f. The proceedings shall be conducted in English language.
- g. The cost of the proceedings shall be equally borne by the parties, unless otherwise directed by the sole arbitrator.
- h. The following shall not be referred to arbitration:
 - Disputes having financial claims less than Rs. 5 Lakhs.

Notwithstanding anything contained herein above (except 'h') upon arising of dispute the parties may agree to refer the same to arbitration of mutually acceptable sole arbitrator.

14. Limitation of Liability

Notwithstanding anything in this AGREEMENT to the contrary and to the extent permitted by applicable law, in no event shall either Party, its officers, directors, or employees be liable for any form of incidental, consequential, indirect, special or punitive damages of any kind, or for loss of revenue or profits, loss of business, loss of information or data, or other financial loss, whether such damages arise in contract, tort or otherwise, irrespective of fault, negligence or strict liability or whether such Party has been advised in advance of the possibility of such damages. A Party will not be in breach of the AGREEMENT or be liable to the other Parties if it fails to perform or delays the performance of an obligation as a result of an event beyond its reasonable control, including, legislation, regulation, order or other act of any Government or Governmental agency.

15. Waiver

Failure of a Party to require performance of any provision of this Agreement shall not affect such Party's right to full performance thereof at any time thereafter, and any waiver by a Party of a breach of any provision hereof shall not constitute a waiver of a similar breach in the future or of any other breach. No waiver shall be effective unless in writing and duly executed by the concerned Party.

16. Assignment

Except as provided in this Agreement, none of the Parties shall be entitled to assign their rights and obligations under the Agreement to a third party without the prior written consent of the other Party, except to its affiliate companies

17. Amendment

No modification or amendment to this Agreement and no waiver of any of the terms or conditions hereof shall be valid or binding unless made in writing and duly executed by the Parties.

18. Severability

If any provision of this Agreement is held to be invalid, illegal or unenforceable, such provision will be struck from the Agreement and the remaining provisions of this Agreement shall remain in full force and effect. Further, the Parties shall endeavour to replace such provision with a valid, legally enforceable provision that reflects the original intent of the Parties.

19. Entire Agreement

This Agreement supersedes all prior discussions and agreements (whether oral or written, including all correspondence) if any, between the Parties with respect to the

subject matter of this Agreement, and this Agreement contains the sole and entire understanding and agreement between the Parties hereto with respect to the subject matter contained herein.

20. Force Majeure

Neither Party shall be held responsible for non-fulfillment of their respective obligations under this AGREEMENT due to the exigency of one or more of the force majeure events which are beyond the reasonable control of the Party concerned such as but not limited to acts of God, wars, floods, earthquakes, lawful strikes not confined to the premises of the Party, lockouts beyond the control of the Party claiming force majeure, epidemics, riots, civil commotions etc. provided on the occurrence and cessation of any such event, the Party affected thereby shall give a notice in writing to the other Party within one (1) month of such occurrence or cessation. If the force majeure conditions continue beyond six (6) months, the Parties shall jointly decide about the future course of action.

21. Survival

Those Clauses that by its nature should survive expiration or termination of this Agreement shall remain in effect after the expiration or termination of this Agreement. It specifically clarified that the provisions of Clauses 9 (*Representations and Warranties*), Clause 10 (*Confidentiality*), Clause 12 (*Intellectual Property Rights*), Clause 13 (*Governing Law and Jurisdiction*) and Clause 14 (*Dispute Settlement*) shall survive expiration or termination of this Agreement.

22. Counterparts

This Agreement may be signed in counterparts, each of which shall be deemed to be an original, and all of which together shall constitute the same instrument.

23. Miscellaneous

- a. It is agreed and understood by the Parties that this Agreement is a legally binding contract and under no circumstances shall stand terminated, except in terms of Clause 3 of this Agreement.
- b. This Agreement is on a principal-to-principal basis between the Parties hereto. Nothing contained in this Agreement shall be construed or deemed to create any association, partnership or joint venture or employer-employee relationship or principal-agent relationship in any manner whatsoever between the Parties.
- c. The Parties shall not use each other's name and/or trademark/logo or publicize or release any information about this Agreement or its contents or market, publish, advertise in any manner any information without prior written consent of the other Party.

24. Rules of Interpretation

- a. Irrelevance of Gender and Plurality. The definitions in this Agreement shall apply equally to both the singular and plural forms of the terms defined. Whenever the context may require, any pronoun shall include the corresponding masculine, feminine and neuter forms.
- b. Internal References. All references herein to Clauses and Annexure shall be deemed to be references to Clauses of and Annexure to, this Agreement unless the context shall otherwise require. All Annexure attached hereto shall be deemed incorporated herein as if set forth in full herein. The terms "clause(s)" and "subclause(s)" shall be used herein interchangeably. The words "hereof," "herein" and "hereunder" and words of similar import when used in this Agreement shall refer to this Agreement as a whole and not to any particular provision of this Agreement. The words "include", "includes", and "including" shall be deemed to be followed by the words "without limitation".
- c. Default Rules. Unless expressly contradicted or otherwise qualified, (i) all references to a Person also refer to that Person's successors and permitted assigns, including permitted transferees, and (ii) all references to and definitions of any agreement, instrument or statute herein or in any agreement or instrument referred to herein mean such agreement, instrument or statute, including the Articles, as from time to time may be amended, modified, supplemented or restated, including (in the case of agreements or instruments) by waiver or consent and (in the case of statutes) by succession of comparable successor statutes and references to all attachments thereto and instruments incorporated therein.
- d. Drafting. The Parties have participated jointly in the negotiation and drafting of this Agreement; accordingly, in the event an ambiguity or a question of intent or interpretation arises, this Agreement shall be construed as if drafted jointly by the Parties, and no presumption or burden of proof shall arise favoring or disfavoring any Party by virtue of the authorship of any provisions of this Agreement.
- e. Clause Heading: The clause heading contained in this Agreement are for the convenience of the Parties and shall not affect the meaning or interpretation of this Agreement.

25. GENERAL PROVISIONS

a. If any provision of this AGREEMENT is held to be invalid or unenforceable to any extent, the remaining provisions of this AGREEMENT shall not be affected thereby and each remaining provision of this AGREEMENT shall be valid and enforceable to the fullest extent permitted by law. Any invalid or unenforceable provision of this AGREEMENT shall be replaced with a provision which is valid and enforceable and reflects, to the maximum extent possible, the original intent of the unenforceable provision.

- b. Each Party will be solely responsible for its own acts and omissions (and the acts and omissions of its employees and other agents) and neither Party will have the authority nor will purport to act for, or legally binding, the other Party in any transactions with a third party except as agreed in writing by the Parties.
- c. The release of any information and of all public announcements (other than when such disclosure is required under any applicable law) related to such projects by a Party shall be subject to the prior written approval of the other Party, unless required under stock exchange regulations/SEBI.
- d. This Agreement shall not be amended, modified or supplemented without prior written consent of the other Party.

Day,	Month and	Year Herein Above Written In The
Presence Of:		
For <land owning<="" td=""><td>G AGENCY></td><td></td></land>	G AGENCY>	
For M/S < CPO) NAME>	
Signed & Sealed		Signed & Sealed
WITNESS:		WITNESS:
1.	1.	
_	2	

Amendments in Model Building Bye-Laws (MBBL - 2016) for Electric Vehicle Charging Infrastructure

Town and Country Planning Organization Ministry of Housing and Urban Affairs Government of India

February, 2019

Preamble

To address the quantum of emissions from the "Transport" sector powered by fossil fuels, "electric vehicle" is considered a viable option for short distance / inter-city trips with adequate "charging stations" available. It is necessary to make provisions for establishing Public Charging Stations (PCS) in the local areas including urban CBDs for vehicle re-fuelling / recharging.

Hence, amendments are required for addition of norms for charging Infrastructure provisions in Development Control Regulations and enabling provisions for installing "Charging Infrastructure" in the building premises and core urban areas of the cities.

Based on available charging technologies and their evolution, type of vehicles, the types of chargers, indicating number of charging points required for setting up adequate PCS within the local urban areas including the building premises of all building types and with the long term vision of implementing 'electric mobility' during the next 30 years, amendments are made in the relevant sections (Chapter 10) of the Model Building Bye-laws, 2016.

Amendments to Model Building Bye-Laws, 2016

In Chapter 10: Sustainability and Green Provisions After section 10.3 "Various Guidelines for Green Rating Systems".

Provision of "Electric Vehicle Charging Infrastructure" to be added at clause 10.4

10.4 Electric Vehicle Charging Infrastructure (EVCI):

Based on the occupancy pattern and the total parking provisions in the premises of the various building types, charging infrastructures shall be provided only for EVs, which is currently assumed to be 20% of all 'vehicle holding capacity' parking capacity' at the premise.

Additionally, the building premise will have to have an additional power load, equivalent to the power required for all charging points (in a PCS) to be operated simultaneously, with a safety factor of 1.25 (refer *Explanatory Note- Annexure III*).

10.4.1 Residential Buildings (plotted house)

Table 1: Charging Infrastructure requirements for individual house/ self-use

Building Type	Plotted House
Ownership of Station	Private (Owner)
Connection and Metering	Domestic meter
Type of Charger	Slow chargers as per owner's specific requirements
Modes of Charging	AC (Single charging gun)
Norms of Provisions	Min. 1 SC and additional provisions as per the owner individual.

Note:

 The charging infrastructure installed by a home owner shall be construed as a Private CI meant for self-use (non-commercial basis) as per the note at clause no 4 of the explanatory note at Annexure III.

10.4.2 All other buildings (including Group Housing)

Any PCS installed at Public/Private areas or building premises of any category that caters to commercial mode of charging of EVs shall be deemed as a Public Charging Station and shall have to install the minimum requirements of chargers as specified in the Guidelines dated 14.12.2018 of Ministry of Power (refer Annexure IV for MoP Guidelines. However, in order to provide sufficient charging points for the EV share in all vehicles (refer clause 3 of the *Explanatory Note- Annexure III*), ratio of types of chargers is recommended in the table below -

Table 2: Charging Infrastructure requirements for PCS (commercial use)

Building Type	Any building type							
Ownership of Station	Service provider							
Connection and Metering	Commercial Metering and Payment							
Types of Charger	as per min. requirements specified in MoP Guidelines (refer Annexure IV)							
Additional chargers	PCS service providers shall install additional number of kiosk/charger the minimum specified requirements to meet the ratio of charging point prescribed below (by the type of vehicles).							
Norms of Provisions for charging points	4Ws 1 SC - each 3 EVs 1 FC - each 10EVs	1 SC - each 2 EVs		PV (Buses) 1 FC - each 10 EVs				

Note:

- Charging bays shall be planned currently at 20% capacity of all vehicles including 2Ws and PVs(cars)
- Open metering and on-spot payment options to be available for all users.
- Provision of FCB CS and BS shall not be mandatory, and will be at the discretion of the service provider.

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Abbreviations used:

2Ws - Two wheelers 3Ws - Three wheelers

4Ws - Four wheelers / PV(cars)
 PVs - Passenger Vehicles
 EV - Electric Vehicle

SC - Slow Charger / Slow Charging (AC)

FC - Fast Charger / Fast Charging (DC and a few AC ones)

PCS - Public Charging Stations

MBBL - Model Building Bye-Laws, 2016
FCB CS - Fluid Cooled Battery Charging Station

BS Battery Swap

Explanatory Note on Electric Vehicle Charging Infrastructure (For MBBL, 2016)

Town and Country Planning Organization Ministry of Housing and Urban Affairs Government of India

February, 2019

Abbreviations:

UNFCC - United Nations Framework Convention on Climate Change

IPCC - Intergovernmental Panel on Climate Change

GHG - Green House Gases

2Ws - Two wheelers 3Ws - Three wheelers

4Ws - Four wheelers / PV(cars)
 PVs - Passenger Vehicles
 CVs - Commercial Vehicles

EV - Electric Vehicle

EVSE - Electric Vehicle Supply Equipment SC - Slow Charger / Slow Charging (AC)

FC - Fast Charger / Fast Charging (DC and a few AC ones)

BS - Battery Swap

PCS - Public Charging Stations
PCI - Public Charging Infrastructure
Private CI - Private Charging Infrastructure
MBBL - Model Building Bye-Laws, 2016

URDPFI - Urban and Regional Development Plan Formulation and

Implementation Guidelines, 2014

NSP - Network Service Provider (information network)

SP - Service Provider

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1. Rationale for EVCI establishment

Rapid urbanization coupled with adoption of mechanized transportation modes has resulted in high emissions of Green House Gases that goes on to impact Global warming. Unless, the global surface temperature rise is restricted to no more than 2°C compared with pre-industrial levels, the IPCC has warned that the world will see irreversible catastrophic climate change.

India being a signatory to the UNFCCC, has pledged for efforts to assess the Greenhouse Gas Emissions (GHG) of anthropogenic origin and removal by sinks. India's per capita emissions are still considered low at 1.9 tonnes (2013), but its total emissions are next only to China and the US and is likely to overtake those of the EU by 2019.

While comparing the Indian cities for their emission scores, Delhi is on top as the biggest emitter at over 38 38 million tonnes of carbon dioxide equivalent overall emissions, followed by Greater Mumbai at 22.7 million tonnes and Chennai at 22.1 million tonnes, Kolkata at 14.8 million tonnes, Bangalore at 19.8 million tonnes, Hyderabad at 13.7 million tonnes and Ahmedabad at 9 million tonnes were the other cities whose emissions for the year were calculated sector wise.

As per the statistics of Transport Department (GNCTD), total number of vehicles in Delhi is more than the combined total vehicles in Mumbai, Chennai and Kolkata. Delhi has 85 private cars per 1000 population against the national average of 8 cars per 1000 population. In terms of CO2 emissions due to motor vehicles, Delhi emits about 12.4 million tonnes while the city of Bengaluru emits about 8.6 million tonnes.[1]

Therefore, addressing the quantum of emissions from the "Transport" and "Domestic" sector emerges to be the high priority subjects under the overarching umbrella of "Climate change mitigation" as committed to the UNFCC.

Encouraging "Electric Vehicles" as a viable option for phased transportation in terms of short and long distance trips with appropriate "Charging Infrastructure" is therefore, the pre-condition for this paradigm shift / phased migration to sustainable transportation.

For this changes are required in Infrastructure provisions (at Regional and City levels) and in Development Control Regulations (in terms of provisions therein) to include the formulations of norms and standards for "Charging Infrastructure" in the said Mater Plan Regulations and State Bye-Laws for adoption across the country suiting local conditions.

2. EV Charging Technology

2.1 Electric Vehicle Supply Equipment (EVSE):

An EVSE is a wall mounted box that supplies electric energy for recharging of electric vehicle batteries. Also EVSEs have a safety lock-out feature that does not allow current to flow from the device until the plug is physically inserted into the car.

EVSEs can be customized with added features like:

- Authentication
- · Integrated payment gateways
- Software for remote monitoring.

As electric vehicle charging technology continues to advance, several standards and guidelines have become widely accepted across the industry. This section gives a brief overview of charging infrastructure technology, standards, and terminology.

2.2 Different types of EVSE:

Charging speeds- Charging power, which determines the time required to charge a vehicle, can vary by orders of magnitude across charge points, as shown in Table 1. A small household outlet may charge as slowly as 1.2 kW, while the most advanced rapid charging stations can charge at up to 350 kW. Charging infrastructure is broadly broken into three categories based on speed: Level 1, Level 2, and direct current (DC) fast charging (sometimes referred to as Level 3).

(Source: "Emerging Best Practices for Electric Vehicle Charging Infrastructure", Oct' 2017)

Private Charging

Charging batteries of privately owned cars through domestic charging points. Billing is mostly part of home/domestic metering.

AC "Slow" Charging:

The home private chargers are generally used with 230V/15A single phase plug which can deliver a maximum of up to about 2.5KW of power. The EVSE supplies AC current to the vehicle's onboard charger which in turn converts the AC power to DC allowing the battery to be charged.

Public Charging

For charging outside the home premises, electric power needs to be billed and payment needs to be collected. The power drawn by these chargers may need to be managed from time to time.

DC "Fast" Charging:

DC current is sent to the electric car's battery directly via the charge port. FC chargers (usually 50 KW or more) can supply 100 or more kilometers of range per hour of charging. The fast chargers would generally be used as a top-up, rather than fully charging vehicles. These are important for cab companies and corporate users who have a fleet of electric cars.

3. Options for EV Charging

There is an urgent need to offer flexible charging infrastructure for different vehicle segments to drive adoption of EVs. Charging infrastructure is the most crucial enabler in the entire EV value chain. The exploration of different charging models according to the local conditions shall enable faster deployment of electric vehicles in the country.

EV share in all vehicles - It has been broadly projected that by the current rate of adoption of EVs, about 15% of all vehicles in the country would be EVs by the year 2020. Therefore, while assuming percentage composition of all proposed capacities in Public facilities of vehicle holding capacity, the Metropolitan and 'Tier I' cities will be assumed to have a higher percentage share of EVs, say 20% for now. The charging infrastructure prescriptions in all urban development guidelines shall, therefore, be in consonance with the said percentage.

Power Load sanction to premises – While adding these Charging Infrastructures to the proposed set of building types of the Indian cities, <u>enhanced Power Load shall have to be had for each such building type by the Power DISCOMs</u>, commensurate to the total additional power requirement of simultaneous operation of all the prescribed charging points in the premise. With further advancement of charging technologies and the enhanced capacity of chargers to draw more power, it is advised that the l<u>oad capacity assigned to each premise should be kept with a safety factor of 1.25 with a long-term vision of 30 years.</u>

Table 1: EVs charging "modes" and 'availability'

Vehicle type	Slow Charging	Fast Charging	Public CI
2 Wheelers	Y	N	Yes/Limited
3 Wheelers	Y	N	Yes/Limited
PVs (Cars)	Υ	Y	Yes
PVs (Buses)	N	Y	Yes

Table 2: Charging options for EV types (by ownership)

Vehicle type	Private CI	Public CS	Predominant place of charging
2 Wheelers	SC/BS	SC	Point of residence / Work
3 Wheelers	SC/BS	SC/BS	Residence / Parking stations
PVs (Cars)	SC/BS	FC	Residence / Point of work / other public places
PVs (Buses)	-	FC/BS	Bus Terminals/Depots

Note:

- The option of Battery Swapping (BS) for privately owned 2Ws and PV(Cars) is limited to Private CI.
- For 3 Ws the BS is proposed to be made available in PCS, for faster recharge experience only
- For PV (Buses), Captive Fast charging infrastructure for 100% internal use for fleets may be adopted by privately owned Depots/Garages.

Based on the above stated EV charging technologies available and the current trend of evolving technologies of faster charging experience, the Ministry of Power has issued *Guidelines and Standards for setting up Charging Infrastructure for Electric Vehicles* [Ministry of Power (MoP) Guidelines dated 14.12.2018] for charging infrastructure to be installed at every Public Charging Station (PCS). 'Connectivity regulations and Safety norms' shall be defined by respective authorities such as Central Electric Authority/MoP for grid access to such PCS / any other charging station/infrastructure.

4. Charger Specifications and PCS Infrastructure

Any installed PCS shall have one or more electric kiosk/boards with installation of all charger models as prescribed in the *Guidelines and Standards* notified by *Ministry of Power, dated 14 December 2018* for "Charging Infrastructure for EVs" (at Annexure II), with other necessary arrangements as deemed necessary.

Public Charging Station service providers shall be free to create charging hubs and to install additional number of kiosk/chargers in addition to the minimum chargers prescribed vide the MoP Guidelines, including options for installation of additional chargers, if required.

Note:

- Minimum infrastructure requirements do not apply to Private Charging Points meant for self-use of individual EV owners (non-commercial basis).
- 2. Captive charging infrastructure for 100% internal use for a company's own fleet will not be required to install all type of chargers and to have NSP tie ups.

5. Location of PCS / FCB CS in local area / building precincts

In accordance with the Guidelines issued by the *Ministry of Power (MoP)*, following minimum standards with regard to density of / distance between PCS in local level facilities in building premise / urban precincts shall be followed as per provisions in the Model BBL-2016

1. At the Local levels (within the urban area):

 At least 1Public Charging Station is to be available within a grid of 3Km x 3Km.

2. At the Building premise levels (for various building types)

- Private charging infrastructure (non-commercial use) for individuals.
- For all commercial modes of charging EVs, at least 1PCS, as per minimum specifications laid under MoP guidelines.
- Standalone Battery Swapping Stations may be added with the PCs.



No.12/2/2018-EV Government of India Ministry of Power Shram Shakti Bhawan, Rafi Marg,

New Delhi, the 14th December, 2018

To,

- 1. The Secretaries of all the Ministries/Departments of Government of India.
- 2. The Chief Secretaries of the States/UTs.

Sub: Charging Infrastructure for Electric Vehicles - Guidelines and Standards -reg.

Sir/Madam,

Government of India have undertaken multiple initiatives to promote manufacturing and adoption of electric vehicles in India. With support of the Government, electric vehicles have started penetrating in the Indian market. However, availability of adequate Charging Infrastructure is one of the key requirements for accelerated adoption of electric vehicles in India. It is proposed to encourage this by laying down an enabling framework.

Objectives

- To enable faster adoption of electric vehicles in India by ensuring safe, reliable, accessible and affordable Charging Infrastructure and eco-system
- To promote affordable tariff chargeable from EV owners and Charging Station Operators/Owners
- · To generate employment/income opportunities for small entrepreneurs
- To proactively support creation of EV Charging Infrastructure in the initial phase and eventually create market for EV Charging business
- To encourage preparedness of Electrical Distribution System to adopt EV Charging Infrastructure.

In light of the above, it has been decided as follows:

- Private charging at residences / offices shall be permitted. DISCOMs may facilitate the same.
- 2. Setting up of Public Charging Stations (PCS) shall be a de-licensed activity and any individual/entity is free to set up public charging stations, provided that, such stations meet the technical as well as performance standards and protocols laid down below as well as any further norms/standards/specifications laid down by Ministry of Power and Central Electricity Authority from time to time.

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- 2.1 Any person seeking to set up a Public Charging Station may apply for connectivity and he shall be provided connectivity on priority by the Distribution Company licensee to supply power in the area.
- 2.2 Any Charging Station/ Chain of Charging Stations may also obtain electricity from any generation company through open access.

3. Public Charging Infrastructure (PCI)- Minimum Requirements:

- 3.1 Every Public Charging Station (PCS) shall have the following minimum infrastructure:
 - An exclusive transformer with all related substation equipment including safety appliance.
 - 33/11 KV line/cables with associated equipment including as needed for line termination/metering etc.
 - iii. Appropriate civil works.
 - iv. Adequate space for Charging and entry/exit of vehicles.
 - v. Current international standards that are prevalent and used by most vehicle manufacturers internationally are CCS and CHadeMO. Hence, Public Charging Stations shall have, one or more electric kiosk/boards with installation of all the charger models as follows:

Charger Type	Charger Connectors*	Rated Voltage (V)	No. of Charging Points/No. of Connector guns (CG)
	CCS (min 50 kW)	200-1000	1/1 CG
Fast	CHAdeMO (min 50 kW)	200-1000	1/1 CG
	Type-2 AC (min 22 kW)	380-480	1/1 CG
	Bharat DC-001 (15 kW)	72-200	1/1 CG
Slow/Moderate	Bharat AC-001 (10 kW)	230	3/3 CG of
			3.3 kW each

*In addition, any other fast/slow/moderate charger as per approved BIS standards whenever notified.

- vi. The kiosk/board may have options for installation of additional chargers if required.
- vii. The Public Charging Station Providers are free to create Charging Hubs and to install additional number of Kiosk/Chargers in addition to the minimum number of chargers prescribed above.
- viii. Tie up with at least one online Network Service Providers (NSPs) to enable advance remote/online booking of charging slots by EV owners. Such online information to EV owners should also include information regarding location, types and numbers of chargers installed/availableetc.
- ix. Share charging station data with appropriate DISCOM and to maintain appropriate protocols as prescribed by such DISCOM for this purpose. CEA shall have access to this database.
- x. Appropriate public amenities.

- xi. Where, in addition to the above, fast charging facility is also planned to be provided at the PCS by the PCI provider, the following additional infrastructure must be provided:
 - a. Appropriate Liquid Cooled cables if High Speed Charging Facility for onboard charging of Fluid Cooled Batteries (FCBs) is also planned.
 - b. Appropriate Climate Control Equipment for Fast Charging of Batteries to be used for swapping (i.e. not onboard)
- 3.2 Every Public Charging Station (PCS) shall be operational only after inspection and clearance as communicated by a suitable clearance certificate, by the concerned electrical inspectors/technical personnel designated specifically by the respective DISCOM for this purpose. DISCOMs may also empanel one or more third party authorized technical agencies for this purpose.
- 3.3 Electric Vehicle Service Equipment (EVSE) shall be type tested by an appropriate reputed authority.
- 3.4 The above minimum infrastructure requirements do not apply to Private Charging Points meant for self-use of individual EV owners (non-commercial basis).
- 3.5 Captive charging infrastructure for 100% internal use for a company's own/leased fleet for its own use will not be required to install all type of chargers and to have NSP tie ups.
- 3.6 Public Charging Station can also have the option to add Standalone battery swapping facilities in addition to the above mandatory facilities, provided space/other conditions permit.

Public charging Infrastructure (PCI) for long distance EVs and/or heavy duty EVs:

- 4.1 Public charging stations for long distance EVs and/or heavy duty EVs (like trucks, busses etc.) shall have the following minimum requirements:
 - At least two chargers of minimum 100 kW (with 200-1000 V) each of different specification (CCS & Chademo) and with single connector gun each in addition to the minimum charging infrastructure requirements as mandated for Public Charging Stations in para 3.
 - Appropriate Liquid Cooled Cables for high speed charging facility for onboard charging of Fluid Cooled Batteries (currently available in some long range EVs).
 - iii. In addition to 4.1 (i) and (ii) above, the Fast Charging Stations (FCS) for Long Distance EVs and/or Heavy Duty EVs may also have the option of swapping facilities for batteries for meeting the charging requirements as per para 3 and para 4.1(i)&(ii) above. It is notable that Fluid Cooled Batteries (FCBs) are generally necessary for Fast Charging / Long Distance use of EVs and/or for Heavy Duty Vehicles like buses/trucks etc. FCBs will have higher charging rate and longer life.
- 4.2 Such Fast Charging Stations (FCS)which are meant only for 100% in house/captive utilisation, for example buses of a company, would be free to decide the charging specifications as per requirement for its in-house company EVs.

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5. Location of Public Charging Stations:

- 5.1 In case of Public Charging Stations, the following minimum requirements are laid down with regard to density/distance between two charging points:
 - At least one Charging Station should be available in a grid of 3 Km X 3 Km. Further, one Charging Station be set up at every 25 Km on both sides of highways/roads.
 - ii. For long range EVs (like long range SUVs) and heavy duty EVs like buses/trucks etc., there should be at least one Fast Charging Station with Charging Infrastructure Specifications as per para4.1 at every 100 Kms, one on each side of the highways/road located preferably within/alongside the stations laid in para3 above. Within cities, such charging facilities for heavy duty EVs shall be located within Transport Nagars, bus depots. Moreover, swapping facilities are also not mandatory within cities for Buses/trucks.
- 5.2 Additional public charging stations shall be set up in any area only after meeting the above requirements.
- 5.3 The above density/distance requirements shall be used by the concerned state/UT Governments/their Agencies for the twin purposes of arrangement of land in any manner for public charging stations as well as for priority in installation of distribution network including transformers/feeders etc. This shall be done in all cases including where no central/state subsidy is provided.
- The appropriate Governments (Central/State/UTs) may also give priority to existing retail outlets (ROs) of Oil Marketing Companies (OMCs) for installation of Public EV Charging Stations (in compliance with safety norms including 'firewalls' etc.) to meet the requirements as laid above. Further, within such ROs, Company Owned and Company Operated (COCO) ROs may be given higher preference.
- 5.5 Any deviation from above norms shall be admissible only after specific approval of State Nodal Agency in consultation with the Central Nodal Agency.

6. Database of Public EV Charging Stations:

Central Electricity Authority (CEA) shall create and maintain a national online database of all the Public Charging Stations through DISCOMs. Appropriate protocols shall be notified by DISCOMs for this purpose which shall be mandatorily complied by the PCS/BCS. This database shall have restricted access as finalised between CEA and Ministry of Power.

7. Tariff for supply of electricity to EV Public Charging Stations:

- 7.1 The tariff for supply of electricity to EV Public Charging Station shall be determined by the appropriate commission, provided however that the tariff shall not be more than the average cost of supply plus 15 (fifteen) percent.
- 7.2 The tariff applicable for domestic consumption shall be applicable for domestic charging.

8. Service charges at PCS/BCS:

8.1 Charging of EVs is a service as already clarified by Ministry of Power vide letter No. 23/08/2018-R&R dated 13.04.2018.

8.2 The State Nodal Agency shall fix the ceiling of the Service Charges to be charged by the Public Charging Stations.

9. Priority for Rollout of EV Public Charging Infrastructure:

After extensive consultations with State Governments and different Department/Agencies of Central Government, phasing as follows are laid down as national priority for rollout of EV Public Charging Infrastructure:

9.1 Phase I (1-3 Years):

All Mega Cities with population of 4 million plus as per census 2011, all existing expressways connected to these Mega Cities & important Highways connected with each of these Mega Cities shall be taken up for coverage. A list of these Mega Cities and existing connected expressways is attached at Annexure 1.

9.2 Phase II (3-5 Years):

Big cities like State Capitals, UT headquarters shall be covered for distributed and demonstrative effect. Further, important Highways connected with each of these Mega Cities shall be taken up for coverage.

9.3 The above priorities for phasing of rollout shall be kept in mind by all concerned, including, different agencies of Central/State Governments while framing of further policies/guidelines for Public Charging Infrastructure of EVs, including for declaring further incentives/subsidies for such infrastructure and for such other purposes.

10. Implementation Mechanism for Rollout:

10.1 Ministry of Power shall designate a Central Nodal Agency for the rollout. All relevant agencies including Central electricity Authority (CEA) shall provide necessary support to this nodal agency.

10.2 Every State Government shall nominate a Nodal Agency for that State for setting up charging infrastructure. The State DISCOM shall generally be the Nodal Agency for such purposes. However, State Government shall be free to select a Central/State Public Sector Undertaking (PSU) including Urban Local Bodies (ULBs), Urban/Area Development Authorities etc. as its Nodal Agency.

11. Selection of Implementation Agency for Rollout:

11.1 The Central Nodal Agency shall finalize the cities and expressways/highways to be finally taken up from the above phasing, in consultation with the respective State Governments.

An Implementation Agency shall be selected by the respective State Nodal Agency and shall be entrusted with responsibility of installation, operation and maintenance of PCS/FCS/BCS/BSF for designated period as per parameters laid down in this document and as entrusted by the concerned Nodal Agency. The Implementation Agency can be an Aggregator as mutually decided between Central and State Nodal Agencies. However, they can also decide to choose different PCS/FCS providers for bundled packages or for individual locations as mutually decided. Further, whenever bundled packages are carved for bidding, such packages shall necessarily include atleast one identified expressway/highway or part thereof to prepare a

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- cohesive regional package; the selected identified cities may be divided into one or more parts as necessary for such purposes.
- 11.3 Where Implementing Agency is selected by bidding, all bidding shall be conducted by the State Nodal Agency.
- There shall be an upper cap on the Service Charges declared by the State Nodal Agency as per para 8.2 above. Subsidy, if admissible from Central/State governments, shall be suitably factored in such calculations of Upper Cap/Bid Variable.

This issues with the approval of Hon'ble Minister of State (IC) for Power and New & Renewable Energy.

(Anoop Singh Bisht)
Under Secretary to the Govt. of India
Tel:23766236
Email:anoopsingh.bisht@nic.in

Copy to:

- 1. Prime Ministers Office/Cabinet Secretariat.
- 2. CEO, NITI Aayog
- 3. The Secretaries of the CERC/State Commissions/JERCs.

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Copy for information to:

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- 2. PPS to Secretary (Power)
- 3. PPS to Addl Secretary (SNS)
- 4. PPS to Joint Secretary (Thermal), MoP
- 5. PPS to Director (UMPP), MoP

(Anoop Singh Bisht) Under Secretary to the Govt. of India

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I. List of 4 million plus cities (as per census 2011)

1	Mumbai	
2	Delhi	
3	Bangalore	
4	Hyderabad	
5	Ahmedabad	
6	Chennai	- Washington
7	Kolkata	
8	Surat	
9	Pune	-

II. List of corridors

1	Mumbai-Pune Expressway
2	Ahmedabad-Vadodara Expressway
3	Delhi-Agra Yamuna Expressway
4	Delhi-Jaipur
5	Bengaluru-Mysore
6	Bengaluru-Chennai
7	Surat-Mumbai Expressway
8	Agra - Lucknow Expressway
9	Eastern Peripheral Expressway
10	Delhi-Agra NH2 Expressway
11	Hyderabad ORR expressway
12	5 connected highways to each megacity

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Amendments in

Urban and Regional Development Plans Formulation and Implementation Guidelines

(URDPFI - 2014)

for

Electric Vehicle Charging Infrastructure

Town and Country Planning Organisation Ministry of Housing and Urban Affairs Government of India

February, 2019

Preamble

To encourage "Electric Vehicles" as a viable option for long distance trips / inter regional trips with adequate "charging stations", it is necessary to make provisions for establishing Public Charging Stations (PCS) in the regional facilities for refuelling/recharging of vehicles.

Hence, amendments are required for addition of norms for charging Infrastructure provisions in Development Control Regulations and provide "Charging Infrastructure" in the City Mater Plans / Regional Plans.

Based on available charging technologies and their evolution, type of vehicles, the types of chargers indicating number of charging points required for setting up adequate PCS as regional facilities and with the long term vision of implementing 'electric mobility' during the next 30 years, amendments are made **Under Chapter 8:**Infrastructure Planning, at section 8.4.7 "Distribution Services", Table 8.57 – "Norms for Distribution Services" of URDPFI Guidelines, 2014 (Volume I) as detailed in clause 3 of this document.

2. Existing provision in Urban and Regional Development Plans Formulation and Implementation Guidelines (URDPFI, 2014)

Implementation Guidelines (URDPFI, 2014)
Chapter 8: Infrastructure Planning (Volume I of URDPFI Guidelines 2014)
At section 8.4.7 "Distribution Services",

Table 0.57 - Norths for Distribution Service	Norms for Distribution Service	Distr	for	- "Norms	.57	Table 8	T
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Sr. No.	Category	Population served per unit	Land Area Require	ement		Other Control
-1111			Type of facility	Area requirement		
1.	Petrol/Diesel filling and Service Centre					
	Permitted in:		i. Only filling station	30m x 17m	•	Shall not be located on road having Right
	Central District		ii. Filling cum service	36m x 30m		of Way less than 30m.
	Sub central district		station	3011 X 3011		30111.
	District centres		iii. Filling cum service	45 x 36m	•	Special cases in old city areas may be
	Community Centres (Only Filling Station)		station cum workshop	18m x 15m		considered based or the approval by statutory authorities.
	Residential & industrial Use Zone in Urban Areas		iv. Filling station only for two and three wheelers	IOIII X IOIII	•	Shall be approved by the explosive/ fire
	Along National and State Highways					department.
	Villages identified as growth centres					
	Freight Complex					
	Proposed major roads					
	Police/security forces services (for captive use only) (MPD, pg 125)					
2.	Compressed Natural Gas (CNG)/filling centre					
	Permitted in:		CNG mother station	1080 sqm		Shall not be located
	All use zones (except in		(Including building	(36m x 30m)		on road having Right of Way less than
	Regional Parks and Developed District Parks)		component - control room/office/dispensing			30m.
			room/store, pantry and W.C.)			Shall be approved by
	Along National and State Highways		W.G.)			the explosive/fire department.
	Villages identified as growth centres					
	Freight Complex					
	Proposed major roads					
3.	LPG	40,000 to	Capacity = 500 cylinders or	520 sqm	•	The major concern for
	Godown/GasGodown	50,000	8000 kg of LPG	(26m x 20m)		its storage and distribution is the
			Area (inclusive of guard room)			location, which shall be away from the
						residential areas and shall have open spaces all around as per the Explosive Rules.
4.	Milk Distribution	5000	Areas inclusive of service	150sqm		nuico.

3. Amendments to URDPFI Guidelines 2014:- Necessary provisions for EV Charging have been incorporated at Sr. No. 1 and Sr. No. 3 of table 8.57. The revised table will be as under:

Table 8.57 - "Norms for Distribution Services":

Central District Sub central district District centres Community Centres (Only Filling Station) Residential & industrial Use Zone in Urban Areas Along National and State Highways Villages identified as growth centres Freight Complex Proposed major roads Police/security forces services (for captive use only) (MPD, pg 125) Compressed Natural Gas (CNG)/filling centre Permitted in: ii. Filling cum service station iii. Filling cum service station cum workshop 45 45 45 45 45 45 45 45 45 4		
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		fire department
Promite and the Particular State (State State St		
Freight Complex		
Proposed major		
roads		

Sr. No	. Category	Population served per unit	Land Area requ		Other controls
3.	Standalone Public	Charging Stations (P	Type of Facility	Area required	CONTRACTOR OF THE PARTY OF THE
A	Public Charging Stations	Every 25 Kms, both sides along the highways/roads	PCS with charger ratio (minimum requirements of PCS, as per MoP) - 1 FC for every 10 EVs 1 SC for every 3 EVs	as per total	Equipped with CCE and LCC, as may be required for fast charging.
В	Fast Charging facility / FCB CS (for Long Distance & Heavy Duty EVs)	Every 100 Kms, both sides along the highways/roads		Min. 15m x 7m	May be coupled with the PCS at item A above, with CCE and LCC.
С		Optional provisions as per MoP	Standalone	Min _5.5m x 2.75m	May be coupled with PCS at item
		Guidelines.	Provided along with FBC charging Stations		A or FCB CS at item B above.
4	LPG Go down/ Gas Go down	40,000 to 50,000	Capacity = 500 cylinders or 8000 kg of LPG Area (inclusive of guard room)	520 sqm (26m x 20m)	The major concern for its storage and distribution is the location, which shall be away from the residential areas and shall have open spaces all around as per the Explosive Rules
5	Milk Distribution	5000	Areas inclusive of service area	150 sqm	

^{*} A detailed explanation is given at Appendix 'P' – "Explanatory Note on EVCI" and Appendix 'Q' – "Guidelines issued by Ministry of Power on EVCI, dated 14.12.2018" (in Volume IIA & IIB).

Notes:

- Super Kerosene Oil/Light Diesel Oil storage for industrial uses shall be given separately.
- Large petrol/diesel storage centers to be located outside city limits.
- To be organized by a service provider for connection and metering, available 24x7for all users.
- Provision of FCB CS & BS shall not be mandatory, and will be at the discretion of the service provider.
- Size recommended for FCB CS is subject to variance as per technical specifications of the SP.
- Fuel filling stations (including COCO outlets) shall conform to specifications and safety norms as per the amendment in Petroleum Rules or, PESO Act and obtain clearances as maybe necessary from the 'Competent Authority', for adding PCS to Retail outlets/ Fuel filling stations.

For Standalone PCS:

- The ratio of provision of charging points (FC/SC) with respect to total parking capacity will be considered for only 20% (i.e. 20% of total parking capacity will be considered EVs & ratio will be applied on them)
- Land allocation is preferably to be contiguous/in close proximity to commercial land of the Mid-way Restaurant.
- "General Conditions of Siting" of Standalone PCS shall follow clause 4.1-4.4 of IRC:12 (latest revision) except for the distance between stations which shall be as per the Guidelines issued by MoP, dated Dec, 2018.

Abbreviations used:

2Ws - Two wheelers 3Ws - Three wheelers

4Ws - Four wheelers / PV(cars)PVs - Passenger VehiclesCVs - Commercial Vehicles

EV - Electric VehicleSC - Slow Charger / Slow Charging (AC)

FC - Fast Charger / Fast Charging (DC and a few AC ones)

BS - Battery Swap

PCS - Public Charging Stations

URDPFI - Urban and Regional Development Plans Formulation and Implementation

Guidelines, 2014

CCS - Combined Charging System CHAdeMO - A DC Fast charging standard

FCB CS - Fluid Cooled Battery Charging Station

CCE - Climate Control Equipment LCC - Liquid Cooled Cables

NSP - Network Service Provider (information network)

SP - Service Provider

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Explanatory Note on Electric Vehicle Charging Infrastructure

(For URDPFI Guidelines 2014)

Town and Country Planning Organization Ministry of Housing and Urban Affairs Government of India

February, 2019

Abbreviations:

UNFCC - United Nations Framework Convention on Climate Change

IPCC - Intergovernmental Panel on Climate Change

GHG - Green House Gases

2Ws - Two wheelers 3Ws - Three wheelers

4Ws - Four wheelers / PV(cars)
 PVs - Passenger Vehicles
 CVs - Commercial Vehicles

EV - Electric Vehicle

EVSE - Electric Vehicle Supply Equipment SC - Slow Charger / Slow Charging (AC)

FC - Fast Charger / Fast Charging (DC and a few AC ones)

BS - Battery Swap

PCS - Public Charging Stations
PCI - Public Charging Infrastructure
Private CI - Private Charging Infrastructure
MBBL - Model Building Bye-Laws, 2016

URDPFI - Urban and Regional Development Plans Formulation and

Implementation Guidelines, 2014

NSP - Network Service Provider (information network)

SP - Service Provider

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2.	EV Charging Technology	5
3.	Options for EV Charging	6
4.	Charging Specifications and PCS Infrastructure	7

1. Rationale for EVCI establishment

Rapid urbanization coupled with adoption of mechanized transportation modes has resulted in high emissions of Green House Gases that goes on to impact Global warming. Unless, the global surface temperature rise is restricted to no more than 2°C compared with pre-industrial levels, the IPCC has warned that the world will see irreversible catastrophic climate change.

India being a signatory to the UNFCCC, has pledged for efforts to assess the Greenhouse Gas Emissions (GHG) of anthropogenic origin and removal by sinks. India's per capita emissions are still considered low at 1.9 tonnes (2013), but its total emissions are next only to China and the US and is likely to overtake those of the EU by 2019.

While comparing the Indian cities for their emission scores, Delhi is on top as the biggest emitter at over 38 38 million tonnes of carbon dioxide equivalent overall emissions, followed by Greater Mumbai at 22.7 million tonnes and Chennai at 22.1 million tonnes, Kolkata at 14.8 million tonnes, Bangalore at 19.8 million tonnes, Hyderabad at 13.7 million tonnes and Ahmedabad at 9 million tonnes were the other cities whose emissions for the year were calculated sector wise.

As per the statistics of Transport Department (GNCTD), total number of vehicles in Delhi is more than the combined total vehicles in Mumbai, Chennai and Kolkata. Delhi has 85 private cars per 1000 population against the national average of 8 cars per 1000 population. In terms of CO2 emissions due to motor vehicles, Delhi emits about 12.4 million tonnes while the city of Bengaluru emits about 8.6 million tonnes.^[1]

Therefore, addressing the quantum of emissions from the "Transport" and "Domestic" sector emerges to be the high priority subjects under the overarching umbrella of "Climate change mitigation" as committed to the UNFCC.

Encouraging "Electric Vehicles" as a viable option for phased transportation in terms of short and long distance trips with appropriate "Charging Infrastructure" is therefore, the pre-condition for this paradigm shift / phased migration to sustainable transportation.

For this changes are required in Infrastructure provisions (at Regional and City levels) and in Development Control Regulations (in terms of provisions therein) to include the formulations of norms and standards for "Charging Infrastructure" in the said Mater Plan Regulations and State Bye-Laws for adoption across the country suiting local conditions.

2. EV Charging Technology

2.1 Electric Vehicle Supply Equipment (EVSE):

An EVSE is a wall mounted box that supplies electric energy for recharging of electric vehicle batteries. Also EVSEs have a safety lock-out feature that does not allow current to flow from the device until the plug is physically inserted into the car.

EVSEs can be customized with added features like:

- Authentication
- Integrated payment gateways
- Software for remote monitoring.

As electric vehicle charging technology continues to advance, several standards and guidelines have become widely accepted across the industry. This section gives a brief overview of charging infrastructure technology, standards, and terminology.

2.2 Different types of EVSE:

Charging speeds- Charging power, which determines the time required to charge a vehicle, can vary by orders of magnitude across charge points, as shown in Table 1. A small household outlet may charge as slowly as 1.2 kW, while the most advanced rapid charging stations can charge at up to 350 kW. Charging infrastructure is broadly broken into three categories based on speed: Level 1, Level 2, and direct current (DC) fast charging (sometimes referred to as Level 3).

(Source: "Emerging Best Practices for Electric Vehicle Charging Infrastructure", Oct 2017)

Private Charging

Charging batteries of privately owned cars through domestic charging points. Billing is mostly part of home/domestic metering.

AC "Slow" Charging:

The home private chargers are generally used with 230V/15A single phase plug which can deliver a maximum of up to about 2.5KW of power. The EVSE supplies AC current to the vehicle's onboard charger which in turn converts the AC power to DC allowing the battery to be charged.

Public Charging

For charging outside the home premises, electric power needs to be billed and payment needs to be collected. The power drawn by these chargers may need to be managed from time to time.

DC "Fast" Charging:

DC current is sent to the electric car's battery directly via the charge port. FC chargers (usually 50 KW or more) can supply 100 or more kilometers of range per hour of charging. The fast chargers would generally be used as a top-up, rather than fully charging vehicles. These are important for cab companies and corporate users who have a fleet of electric cars.

3. Options for EV Charging

There is an urgent need to offer flexible charging infrastructure for different vehicle segments to drive adoption of EVs. Charging infrastructure is the most crucial enabler in the entire EV value chain. The exploration of different charging models according to the local conditions shall enable faster deployment of electric vehicles in the country.

EV share in all vehicles - It has been broadly projected that by the current rate of adoption of EVs, about 15% of all vehicles in the country would be EVs by the year 2020. Therefore, while assuming percentage composition of all proposed capacities in Public facilities of vehicle holding capacity, the Metropolitan and 'Tier I' cities will be assumed to have a higher percentage share of EVs, say 20% for now. The charging infrastructure prescriptions in all urban development guidelines shall, therefore, be in consonance with the said percentage.

Power Load sanction to premises – While adding these Charging Infrastructures to the proposed set of building types of the Indian cities, <u>enhanced Power Load</u> shall have to <u>be had for each such building type by the Power DISCOMs</u>, commensurate to the total additional power requirement of simultaneous operation of all the prescribed charging points in the premise. With further advancement of charging technologies and the enhanced capacity of chargers to draw more power, it is advised that the <u>load capacity assigned to each premise should be kept with a safety factor of 1.25</u> with a long-term vision of 30 years.

Table 1: EVs charging "modes" and 'availability'

Vehicle type	Slow Charging	Fast Charging	Public CI
2 Wheelers	Y	N	Yes/Limited
3 Wheelers	Y	N	Yes/Limited
PVs (Cars)	Υ	Y	Yes
PVs (Buses)	N	Y	Yes

Table 2: Charging options for EV types (by ownership)

Vehicle type	Private CI Public CS		Predominant place of charging	
2 Wheelers	SC/BS	SC	Point of residence / Work	
3 Wheelers	SC/BS	SC/BS	Residence / Parking stations	
PVs (Cars)	SC/BS	FC	Residence / Point of work / other public places	
PVs (Buses)	-	FC/BS	Bus Terminals/Depots	

Note:

- The option of Battery Swapping (BS) for privately owned 2Ws and PV(Cars) is limited to Private CI.
- For 3 Ws the BS is proposed to be made available in PCS, for faster recharge experience only
- For PV (Buses), Captive Fast charging infrastructure for 100% internal use for fleets may be adopted by privately owned Depots/Garages.

Based on the above stated EV charging technologies available and the current trend of evolving technologies of faster charging experience, the Ministry of Power has issued *Guidelines and Standards for setting up Charging Infrastructure for Electric Vehicles* [Ministry of Power (MoP) Guidelines dated 14.12.2018] for charging infrastructure to be installed at every Public Charging Station (PCS). 'Connectivity regulations and Safety norms' shall be defined by respective authorities such as Central Electric Authority/MoP for grid access to such PCS / any other charging station/infrastructure.

4. Charger Specifications and PCS Infrastructure

Any installed PCS shall have one or more electric kiosk/boards with installation of all charger models as prescribed in the *Guidelines and Standards notified by Ministry of Power (MoP), dated 14 December 2018 for "Charging Infrastructure for EVs"* (at *Annexure II*), with other necessary arrangements as deemed necessary.

Public Charging Station service providers shall be free to create charging hubs and to install additional number of kiosk/chargers in addition to the minimum chargers prescribed vide the MoP Guidelines, including options for installation of additional chargers, if required.

Note:

- Minimum infrastructure requirements do not apply to Private Charging Points meant for self-use of individual EV owners (non-commercial basis).
- Captive charging infrastructure for 100% internal use for a company's own fleet will not be required to install all type of chargers and to have NSP tie ups.

5. Regional location of PCS / FCB Charging Stations

In accordance with the Guidelines issued by the *Ministry of Power*, following minimum standards with regard to density of / distance between PCS in regional level facilities shall be followed as and regulated by the URDPFI Guidelines-

Along Highways and inter-city corridors:

- At every 25 Kms on both sides of highways/roads, at least 1PCS is to be set up.
- At every 100 Kms on both sides of highways/roads, at least 1 Fast Charging/FCB Charging Station as per specifications. (may be coupled with PCS)
- Standalone Battery Swapping Stations may be added with the PCS.

2. In Regional level Industrial SEZs / other Industrial Parks/Estates

 Land for at least 1PCS is to be reserved within a grid of 10 Km x 10 Km of the designated industrial area/park/estates.



No.12/2/2018-EV Government of India Ministry of Power Shram Shakti Bhawan, Rafi Marg,

New Delhi, the 14th December, 2018

To,

- 1. The Secretaries of all the Ministries/Departments of Government of India.
- 2. The Chief Secretaries of the States/UTs.

Sub: Charging Infrastructure for Electric Vehicles - Guidelines and Standards -reg.

Sir/Madam,

Government of India have undertaken multiple initiatives to promote manufacturing and adoption of electric vehicles in India. With support of the Government, electric vehicles have started penetrating in the Indian market. However, availability of adequate Charging Infrastructure is one of the key requirements for accelerated adoption of electric vehicles in India. It is proposed to encourage this by laying down an enabling framework.

Objectives

- To enable faster adoption of electric vehicles in India by ensuring safe, reliable, accessible and affordable Charging Infrastructure and eco-system
- To promote affordable tariff chargeable from EV owners and Charging Station Operators/Owners
- · To generate employment/income opportunities for small entrepreneurs
- To proactively support creation of EV Charging Infrastructure in the initial phase and eventually create market for EV Charging business
- To encourage preparedness of Electrical Distribution System to adopt EV Charging Infrastructure

In light of the above, it has been decided as follows:

- Private charging at residences / offices shall be permitted. DISCOMs may facilitate the same.
- 2. Setting up of Public Charging Stations (PCS) shall be a de-licensed activity and any individual/entity is free to set up public charging stations, provided that, such stations meet the technical as well as performance standards and protocols laid down below as well as any further norms/standards/specifications laid down by Ministry of Power and Central Electricity Authority from time to time.

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- 2.1 Any person seeking to set up a Public Charging Station may apply for connectivity and he shall be provided connectivity on priority by the Distribution Company licensee to supply power in the area.
- 2.2 Any Charging Station/ Chain of Charging Stations may also obtain electricity from any generation company through open access.

3. Public Charging Infrastructure (PCI)- Minimum Requirements:

- 3.1 Every Public Charging Station (PCS) shall have the following minimum infrastructure:
 - i. An exclusive transformer with all related substation equipment including safety appliance.
 - 33/11 KV line/cables with associated equipment including as needed for line termination/metering etc.
 - iii. Appropriate civil works.
 - iv. Adequate space for Charging and entry/exit of vehicles.
 - v. Current international standards that are prevalent and used by most vehicle manufacturers internationally are CCS and CHadeMO. Hence, Public Charging Stations shall have, one or more electric kiosk/boards with installation of all the charger models as follows:

Charger Type	Charger Connectors*	Rated Voltage (V)	No. of Charging Points/No. of Connector guns (CG)
Fast	CCS (min 50 kW)	200-1000	1/1 CG
	CHAdeMO (min 50 kW)	200-1000	1/1 CG
	Type-2 AC (min 22 kW)	380-480	1/1 CG
Slow/Moderate	Bharat DC-001 (15 kW)	72-200	1/1 CG
	Bharat AC-001 (10 kW)	230	3/3 CG of 3.3 kW each

*In addition, any other fast/slow/moderate charger as per approved BIS standards whenever notified.

- vi. The kiosk/board may have options for installation of additional chargers if required.
- vii. The Public Charging Station Providers are free to create Charging Hubs and to install additional number of Kiosk/Chargers in addition to the minimum number of chargers prescribed above.
- viii. Tie up with at least one online Network Service Providers (NSPs) to enable advance remote/online booking of charging slots by EV owners. Such online information to EV owners should also include information regarding location, types and numbers of chargers installed/availableetc.
- ix. Share charging station data with appropriate DISCOM and to maintain appropriate protocols as prescribed by such DISCOM for this purpose. CEA shall have access to this database.
- x. Appropriate public amenities.

- xi. Where, in addition to the above, fast charging facility is also planned to be provided at the PCS by the PCI provider, the following additional infrastructure must be provided:
 - a. Appropriate Liquid Cooled cables if High Speed Charging Facility for onboard charging of Fluid Cooled Batteries (FCBs) is also planned.
 - b. Appropriate Climate Control Equipment for Fast Charging of Batteries to be used for swapping (i.e. not onboard)
- 3.2 Every Public Charging Station (PCS) shall be operational only after inspection and clearance as communicated by a suitable clearance certificate, by the concerned electrical inspectors/technical personnel designated specifically by the respective DISCOM for this purpose. DISCOMs may also empanel one or more third party authorized technical agencies for this purpose.
- 3.3 Electric Vehicle Service Equipment (EVSE) shall be type tested by an appropriate reputed authority.
- 3.4 The above minimum infrastructure requirements do not apply to Private Charging Points meant for self-use of individual EV owners (non-commercial basis).
- 3.5 Captive charging infrastructure for 100% internal use for a company's own/leased fleet for its own use will not be required to install all type of chargers and to have NSP tie ups.
- 3.6 Public Charging Station can also have the option to add Standalone battery swapping facilities in addition to the above mandatory facilities, provided space/other conditions permit.

4. Public charging Infrastructure (PCI) for long distance EVs and/or heavy duty EVs:

- 4.1 Public charging stations for long distance EVs and/or heavy duty EVs (like trucks, busses etc.) shall have the following minimum requirements:
 - At least two chargers of minimum 100 kW (with 200-1000 V) each of different specification (CCS & Chademo) and with single connector gun each in addition to the minimum charging infrastructure requirements as mandated for Public Charging Stations in para 3.
 - Appropriate Liquid Cooled Cables for high speed charging facility for onboard charging of Fluid Cooled Batteries (currently available in some long range EVs).
 - iii. In addition to 4.1 (i) and (ii) above, the Fast Charging Stations (FCS) for Long Distance EVs and/or Heavy Duty EVs may also have the option of swapping facilities for batteries for meeting the charging requirements as per para 3 and para 4.1(i)&(ii) above. It is notable that Fluid Cooled Batteries (FCBs) are generally necessary for Fast Charging / Long Distance use of EVs and/or for Heavy Duty Vehicles like buses/trucks etc. FCBs will have higher charging rate and longer life.
- 4.2 Such Fast Charging Stations (FCS)which are meant only for 100% in house/captive utilisation, for example buses of a company, would be free to decide the charging specifications as per requirement for its in-house company EVs.

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5. Location of Public Charging Stations:

- 5.1 In case of Public Charging Stations, the following minimum requirements are laid down with regard to density/distance between two charging points:
 - At least one Charging Station should be available in a grid of 3 Km X 3 Km. Further, one Charging Station be set up at every 25 Km on both sides of highways/roads.
 - ii. For long range EVs (like long range SUVs) and heavy duty EVs like buses/trucks etc., there should be at least one Fast Charging Station with Charging Infrastructure Specifications as per para4.1 at every 100 Kms, one on each side of the highways/road located preferably within/alongside the stations laid in para3 above. Within cities, such charging facilities for heavy duty EVs shall be located within Transport Nagars, bus depots. Moreover, swapping facilities are also not mandatory within cities for Buses/trucks.
- 5.2 Additional public charging stations shall be set up in any area only after meeting the above requirements.
- 5.3 The above density/distance requirements shall be used by the concerned state/UT Governments/their Agencies for the twin purposes of arrangement of land in any manner for public charging stations as well as for priority in installation of distribution network including transformers/feeders etc. This shall be done in all cases including where no central/state subsidy is provided.
- The appropriate Governments (Central/State/UTs) may also give priority to existing retail outlets (ROs) of Oil Marketing Companies (OMCs) for installation of Public EV Charging Stations (in compliance with safety norms including 'firewalls' etc.) to meet the requirements as laid above. Further, within such ROs, Company Owned and Company Operated (COCO) ROs may be given higher preference.
- 5.5 Any deviation from above norms shall be admissible only after specific approval of State Nodal Agency in consultation with the Central Nodal Agency.

6. Database of Public EV Charging Stations:

Central Electricity Authority (CEA) shall create and maintain a national online database of all the Public Charging Stations through DISCOMs. Appropriate protocols shall be notified by DISCOMs for this purpose which shall be mandatorily complied by the PCS/BCS. This database shall have restricted access as finalised between CEA and Ministry of Power.

7. Tariff for supply of electricity to EV Public Charging Stations:

- 7.1 The tariff for supply of electricity to EV Public Charging Station shall be determined by the appropriate commission, provided however that the tariff shall not be more than the average cost of supply plus 15 (fifteen) percent.
- 7.2 The tariff applicable for domestic consumption shall be applicable for domestic charging.

8. Service charges at PCS/BCS:

8.1 Charging of EVs is a service as already clarified by Ministry of Power vide letter No. 23/08/2018-R&R dated 13.04.2018.

8.2 The State Nodal Agency shall fix the ceiling of the Service Charges to be charged by the Public Charging Stations.

9. Priority for Rollout of EV Public Charging Infrastructure:

After extensive consultations with State Governments and different Department/Agencies of Central Government, phasing as follows are laid down as national priority for rollout of EV Public Charging Infrastructure:

9.1 Phase I (1-3 Years):

All Mega Cities with population of 4 million plus as per census 2011, all existing expressways connected to these Mega Cities & important Highways connected with each of these Mega Cities shall be taken up for coverage. A list of these Mega Cities and existing connected expressways is attached at Annexure 1.

9.2 Phase II (3-5 Years):

Big cities like State Capitals, UT headquarters shall be covered for distributed and demonstrative effect. Further, important Highways connected with each of these Mega Cities shall be taken up for coverage.

9.3 The above priorities for phasing of rollout shall be kept in mind by all concerned, including, different agencies of Central/State Governments while framing of further policies/guidelines for Public Charging Infrastructure of EVs, including for declaring further incentives/subsidies for such infrastructure and for such other purposes.

10. Implementation Mechanism for Rollout:

- 10.1 Ministry of Power shall designate a Central Nodal Agency for the rollout. All relevant agencies including Central electricity Authority (CEA) shall provide necessary support to this nodal agency.
- 10.2 Every State Government shall nominate a Nodal Agency for that State for setting up charging infrastructure. The State DISCOM shall generally be the Nodal Agency for such purposes. However, State Government shall be free to select a Central/State Public Sector Undertaking (PSU) including Urban Local Bodies (ULBs), Urban/Area Development Authorities etc. as its Nodal Agency.

11. Selection of Implementation Agency for Rollout:

- 11.1 The Central Nodal Agency shall finalize the cities and expressways/highways to be finally taken up from the above phasing, in consultation with the respective State Governments.
- An Implementation Agency shall be selected by the respective State Nodal Agency and shall be entrusted with responsibility of installation, operation and maintenance of PCS/FCS/BCS/BSF for designated period as per parameters laid down in this document and as entrusted by the concerned Nodal Agency. The Implementation Agency can be an Aggregator as mutually decided between Central and State Nodal Agencies. However, they can also decide to choose different PCS/FCS providers for bundled packages or for individual locations as mutually decided. Further, whenever bundled packages are carved for bidding, such packages shall necessarily include atleast one identified expressway/highway or part thereof to prepare a

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- cohesive regional package; the selected identified cities may be divided into one or more parts as necessary for such purposes.
- 11.3 Where Implementing Agency is selected by bidding, all bidding shall be conducted by the State Nodal Agency.
- There shall be an upper cap on the Service Charges declared by the State Nodal Agency as per para 8.2 above. Subsidy, if admissible from Central/State governments, shall be suitably factored in such calculations of Upper Cap/Bid Variable.

This issues with the approval of Hon'ble Minister of State (IC) for Power and New & Renewable Energy.

(Anoop Singh Bisht)
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Copy to:

- 1. Prime Ministers Office/Cabinet Secretariat.
- 2. CEO, NITI Aayog
- 3. The Secretaries of the CERC/State Commissions/JERCs.

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Copy for information to:

- 1. PS to MoS (IC) for Power and NRE
- 2. PPS to Secretary (Power)
- 3. PPS to Addl Secretary (SNS)
- 4. PPS to Joint Secretary (Thermal), MoP
- 5. PPS to Director (UMPP), MoP

(Anoop Singh Bisht) Under Secretary to the Govt. of India Tel:23766236

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I. List of 4 million plus cities (as per census 2011)

1	Mumbai	
2	Delhi	
3	Bangalore	
4	Hyderabad	
5	Ahmedabad	
6	Chennai	
7	Kolkata	Auton
8	Surat	
9	Pune	

II. List of corridors

1	Mumbai-Pune Expressway
2	Ahmedabad-Vadodara Expressway
3	Delhi-Agra Yamuna Expressway
4	Delhi-Jaipur
5	Bengaluru-Mysore
6	Bengaluru-Chennai
7	Surat-Mumbai Expressway
8	Agra - Lucknow Expressway
9	Eastern Peripheral Expressway
10	Delhi-Agra NH2 Expressway
11	Hyderabad ORR expressway
12	5 connected highways to each megacity

13 July 12



Ministry of Heavy Industries

Government of India National Automotive Board (NAB)



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Note: W.e.from 11th June, 21 incentive for electric two wheelers is increased to Rs 15000/KWh from Rs 10,000/KWh with a cap of 40% cost of vehicles.

Note (**) Models are not re-validated under the Scheme.

1 OEM Name: Altigreen Propulsion Labs Pvt Ltd

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	NEEV	NEEV	Three Wheeler (e-3W)	L5N	View
2	NEEV HD	N/A	Three Wheeler (e-3W)	L5N	View
3	NEEV LR	N/A	Three Wheeler (e-3W)	L5N	View
4	NEEV HDx	N/A	Three Wheeler (e-3W)	L5N	View

OEM Name: Ampere Vehicles Private Limited

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	ZEAL	NA	Two Wheeler (e-2W)	L1	View
2	Magnus	N/A	Two Wheeler (e-2W)	L1	View
3	Zeal VX1	N/A	Two Wheeler (e-2W)	L1	View
4	ZEAL-CA	N/A	Two Wheeler (e-2W)	L1	View

OEM Name: Ather Energy Pvt. Ltd.

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	ATHER 450	N/A	Two Wheeler (e-2W)	L2	View
2	**Ather450	N/A	Two Wheeler (e-2W)	L2	View
3	Ather 450 X	N/A	Two Wheeler (e-2W)	L2	View

4 OEM Name: Atul Auto Limited

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	Atul Elite+	Not Available	Three Wheeler (e-3W)	e-rickshaw	View
2	Atul Elite+ Cargo	Not Applicable	Three Wheeler (e-3W)	e-cart	View

OEM Name: Avon Cycles Ltd

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	GREENWAY HP DX	NA11	Three Wheeler (e-3W)	e-rickshaw	View
2	E-RICK 306 LI	NA111	Three Wheeler (e-3W)	e-rickshaw	View

OEM Name: Bajaj Auto Ltd

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	CHETAK 2403 Premium	CHETAK 2403 Premium	Two Wheeler (e-2W)	L2	View
2	CHETAK 2403 Urbane	Chetak 2403 Urbane	Two Wheeler (e-2W)	L2	View

OEM Name: Benling India Energy And Technology Private Limited

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	Aura	N/A	Two Wheeler (e-2W)	L1	View

OEM Name: Best Way Agencies Pvt. Ltd.

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	ele ex	N/A	Three Wheeler (e-3W)	e-rickshaw	View
2	ele ex cargo	N/A	Three Wheeler (e-3W)	e-cart	View

OEM Name: champion polyplast

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	**SAARTHI SHAVAK E AUTO	SAARTHI SHAVAK DLX E AUTO	Three Wheeler (e-3W)	L5M	View
2	**SAARTHI SHAVAK DLX E - AUTO	N/A	Three Wheeler (e-3W)	L5M	View
3	SAARTHI F2	N/A	Three Wheeler (e-3W)	e-rickshaw	View

OEM Name: Dilli Electric Auto Pvt Ltd

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	**CITYLIFE LI-PRIMA	N/A	Three Wheeler (e-3W)	e-rickshaw	View
2	CITYLIFE LI MAX	N/A	Three Wheeler (e-3W)	e-cart	View

OEM Name: Energy Electric Vehicles

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	Premium Udaan	N/A	Three Wheeler (e-3W)	e-rickshaw	View

OEM Name: Etrio Automobiles Private Ltd.

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	Touro Max Loader	N/A	Three Wheeler (e-3W)	L5N	View
2	Touro Mini loader	N/A	Three Wheeler (e-3W)	e-cart	View
3	Touro Mini Passenger	N/A	Three Wheeler (e-3W)	e-rickshaw	View

OEM Name: Euler Motors Pvt Ltd

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	HiLoad DV	N/A	Three Wheeler (e-3W)	L5N	View
2	HiLoad PV	N/A	Three Wheeler (e-3W)	L5N	View

OEM Name: Goenka Electric Motor Vehicles Pvt. Ltd.

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	**Prince Pro	NA 12	Three Wheeler (e-3W)	e-rickshaw	View
2	Prince Pro X	NA 13	Three Wheeler (e-3W)	e-rickshaw	View
3	Samrat Pro X	NA31	Three Wheeler (e-3W)	e-cart	View

OEM Name: Grd Motors

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	DAVRATH EXPRESS	N/A	Three Wheeler (e-3W)	e-rickshaw	View

OEM Name: Hero Electric Vehicles Private Limited

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	Photon LP	N.A	Two Wheeler (e-2W)	L1	View
2	NYX HS 500 ER	N/A	Two Wheeler (e-2W)	L1	View
3	OPTIMA HS 500 ER	N/A	Two Wheeler (e-2W)	L1	View
4	**OPTIMA PRO	N/A	Two Wheeler (e-2W)	L1	View
5	NYX Pro	N/A	Two Wheeler (e-2W)	L1	View
6	OPTIMA e5	N/A	Two Wheeler (e-2W)	L1	View
7	NYX HX	N/A	Two Wheeler (e-2W)	L1	View
8	NYX e5	N/A	Two Wheeler (e-2W)	L1	View
9	N61a	N/A	Two Wheeler (e-2W)	L1	View
10	NYX N23a	N/A	Two Wheeler (e-2W)	L1	View

OEM Name: Jitendra New Ev Tech Pvt. Ltd.

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	JMT1000HS	N/A	Two Wheeler (e-2W)	L1	View
2	JMT 1000 HS CARGO	N/A	Two Wheeler (e-2W)	L1	View
3	JMT 1000 48V	N/A	Two Wheeler (e-2W)	L1	View
4	DREAM 1.2PV	N/A	Three Wheeler (e-3W)	e-rickshaw	View
5	DREAM 1.2CV	N/A	Three Wheeler (e-3W)	e-cart	View
6	DREAM 1.2DV	N/A	Three Wheeler (e-3W)	e-cart	View

OEM Name: Keto Motors Private Limited

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	BULKe plus 2.0	N/A	Three Wheeler (e-3W)	L5N	View
2	BULKe	N/A	Three Wheeler (e-3W)	L5N	View
3	BULKe Plus 2.1	N/A	Three Wheeler (e-3W)	L5N	View
4	BULKe 1.0	N/A	Three Wheeler (e-3W)	L5N	View
5	TriLux	N/A	Three Wheeler (e-3W)	L5M	View

OEM Name: Khalsa Agencies

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	Khalsa Grand	N/A	Three Wheeler (e-3W)	e-rickshaw	View

OEM Name: Kinetic Green Energy & Power Solutions Ltd

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	**Kinetic SAFAR SMART LFP	N/A	Three Wheeler (e-3W)	e-rickshaw	View
2	SAFAR SHAKTI LFP	N/A	Three Wheeler (e-3W)	e-cart	View
3	KINETIC SAFAR SMART	N/A	Three Wheeler (e-3W)	e-rickshaw	View
4	**KINETIC SAFAR STAR - 400	N/A	Three Wheeler (e-3W)	L5N	View
5	KINETIC SAFAR JUMBO - PICKUP	N/A	Three Wheeler (e-3W)	L5N	View

21 OEM Name: Li-ions Elektrik Solutions Pvt Ltd

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	**SPOCK	SPOCK	Two Wheeler (e-2W)	L1	View

OEM Name: Lohia Auto Industries

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	**NARAIN i	N/A	Three Wheeler (e-3W)	e-rickshaw	View
2	NARAIN iCE	N/A	Three Wheeler (e-3W)	e-rickshaw	View
3	Humsafar iB	N/A	Three Wheeler (e-3W)	L5N	View

OEM Name: M/s Speego Vehicles Co. Pvt. Ltd.

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	**SPEEGO DLX Li	N/A	Three Wheeler (e-3W)	e-rickshaw	View

OEM Name: M/s. Tunwal E-motors Pvt. Ltd.

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	T 133	N/A	Two Wheeler (e-2W)	L1	View
2	Storm ZX Plus	N/A	Two Wheeler (e-2W)	L1	View
3	TEM G33	N/A	Two Wheeler (e-2W)	L1	View
4	RomaS	N/A	Two Wheeler (e-2W)	L1	View
5	TZ 3.3	NA 11	Two Wheeler (e-2W)	L1	View

OEM Name: Mahindra & Mahindra Ltd

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	**e-Verito C2	N/A	Four Wheeler (e-4W)	M1	View
2	**e-Verito C4	N/A	Four Wheeler (e-4W)	M1	View
3	**e-Verito C6	N/A	Four Wheeler (e-4W)	M1	View
4	**e-Verito D2	N/A	Four Wheeler (e-4W)	M1	View
5	**e-Verito D4	N/A	Four Wheeler (e-4W)	M1	View
6	**e-Verito D6	N/A	Four Wheeler (e-4W)	M1	View
7	**Mahindra e-Supro Cargo Van	N/A	Four Wheeler (e-4W)	N1	View
8	**Mahindra e-Supro Cargo Van VX	N/A	Four Wheeler (e-4W)	N1	View

OEM Name: Mahindra Electric Mobility Limited

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	Treo Yaari HRT	N/A	Three Wheeler (e-3W)	e-rickshaw	View
2	Treo HRT	N/A	Three Wheeler (e-3W)	L5M	View
3	Treo SFT	N/A	Three Wheeler (e-3W)	L5M	View
4	Treo Yaari SFT	N/A	Three Wheeler (e-3W)	e-rickshaw	View
5	Treo Zor	N/A	Three Wheeler (e-3W)	L5N	View
6	Treo Zor FB	N/A	Three Wheeler (e-3W)	L5N	View
7	Treo Zor DV	N/A	Three Wheeler (e-3W)	L5N	View

OEM Name: Microcon I2i Private Limited

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	Anav12	N/A	Two Wheeler (e-2W)	L1	View

28 OEM Name: Mlr Auto Ltd

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	TEJA HANDY CARGO NORMAL DECK EV	TEJA HANDY CARGO NORMAL DECK EV	Three Wheeler (e-3W)	L5N	View

OEM Name: Okinawa Autotech Private Limited

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	RIDGE+	N/A	Two Wheeler (e-2W)	L1	View
2	**iPRAISE	N/A	Two Wheeler (e-2W)	L1	View
3	Praise Pro	N/A	Two Wheeler (e-2W)	L1	View
4	iPRAISE+	N/A	Two Wheeler (e-2W)	L1	View

OEM Name: Om Balajee Automobile India Pvt Ltd

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	e VIKAS	N/A	Three Wheeler (e-3W)	L5M	View
2	e VIKAS FERRI	N/A	Three Wheeler (e-3W)	L5N	View

OEM Name: Omega Seiki Pvt Ltd

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	RAGE+	RAGE+	Three Wheeler (e-3W)	L5N	View

OEM Name: Piaggio Vehicles Private Limited

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	Ape' E-City	Nil	Three Wheeler (e-3W)	L5M	View
2	Ape' E-City FX	-Nil	Three Wheeler (e-3W)	L5M	View
3	Ape E- Xtra FX PU	N/A	Three Wheeler (e-3W)	L5N	View
4	Ape E-Xtra FX With Platform	N/A	Three Wheeler (e-3W)	L5N	View
5	Ape E-Xtra LX With Platform	N/A	Three Wheeler (e-3W)	L5N	View
6	Ape E- Xtra LX PU	N/A	Three Wheeler (e-3W)	L5N	View
7	Ape E-Xtra LX DAC	N/A	Three Wheeler (e-3W)	L5N	View
8	Ape E-Xtra FX DAC	N/A	Three Wheeler (e-3W)	L5N	View
9	Ape' E-Xtra EX With Platform	N/A	Three Wheeler (e-3W)	L5N	View

OEM Name: Revolt Intellicorp Pvt. Ltd.

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	**RV300	N/A	Two Wheeler (e-2W)	L1	View
2	RV400	N/A	Two Wheeler (e-2W)	L1	View

OEM Name: Saera Electric Auto Pvt. Ltd.

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	Mayuri Star	N/A	Three Wheeler (e-3W)	e-rickshaw	View

OEM Name: Scooters India Limited

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	VIKRAM Vidyut Passenger Carrier(6P+1D)	N/A	Three Wheeler (e-3W)	L5M	View

OEM Name: Shigan Evoltz Limited

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	Bull Cart Super	N/A	Three Wheeler (e-3W)	L5N	View
2	Green Cart Super	N/A	Three Wheeler (e-3W)	e-cart	View
3	Green Rick Super	N/A	Three Wheeler (e-3W)	e-rickshaw	View

OEM Name: Sks Trade India Pvt Ltd

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	ARZOO LI	N/A	Three Wheeler (e-3W)	e-rickshaw	View

OEM Name: Tata Motors Ltd

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	**TATA TIGOR EV - XE	TATA TIGOR EV - XE	Four Wheeler (e-4W)	M1	View
2	**TATA TIGOR EV - XM	TATA TIGOR EV - XM	Four Wheeler (e-4W)	M1	View
3	**TATA TIGOR EV - XT	TATA TIGOR EV - XT	Four Wheeler (e-4W)	M1	View
4	TATA TIGOR EV - XE+	TATA TIGOR EV - XE+	Four Wheeler (e-4W)	M1	View
5	TATA TIGOR EV - XM+	TATA TIGOR EV - XM+	Four Wheeler (e-4W)	M1	View
6	TATA TIGOR EV - XT+	TATA TIGOR EV - XT+	Four Wheeler (e-4W)	M1	View
7	TATA NEXON EV XM	TATA NEXON EV XM	Four Wheeler (e-4W)	M1	View
8	TATA NEXON EV XZ+	TATA NEXON EV XZ+	Four Wheeler (e-4W)	M1	View
9	TATA XPRES-T EV XE+	TATA XPRES-T EV XE+	Four Wheeler (e-4W)	M1	View
10	TATA XPRES-T EV XM+	TATA XPRES-T EV XM+	Four Wheeler (e-4W)	M1	View
11	TATA XPRES-T EV XT+	TATA XPRES-T EV XT+	Four Wheeler (e-4W)	M1	View

OEM Name: Thukral Electric Bikes Pvt Ltd

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	THUKRAL Erl Li	N/A	Three Wheeler (e-3W)	e-rickshaw	View
2	THUKRAL TM DLX Li	N/A	Three Wheeler (e-3W)	e-rickshaw	View

OEM Name: Tvs Motor Company Limited

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	TVS iQUBE ELECTRIC	N/A	Two Wheeler (e-2W)	L2	View

41 OEM Name: U P Telelinks Ltd

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	power Li-ion	N/A	Three Wheeler (e-3W)	e-rickshaw	View
2	Power Li-Ion DV	Power Li-lon DV	Three Wheeler (e-3W)	e-cart	View
3	Power Li-Ion FB	N/A	Three Wheeler (e-3W)	e-cart	View
4	Power Li-lon CV	N/A	Three Wheeler (e-3W)	e-cart	View

42 **OEM Name:** Victory Electric Vehicles International Private Limited

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	VICTORY VIKRANT	NA1	Three Wheeler (e-3W)	e-rickshaw	View
2	VICTORY +	VICTORY +	Three Wheeler (e-3W)	e-rickshaw	View
3	VICTORY BHIM +	NA3	Three Wheeler (e-3W)	e-cart	View
4	VICTORY BHIM CLEANER +	NA4	Three Wheeler (e-3W)	e-cart	View

43 **OEM Name:** Y C Electric Vehicle

S.No.	xEV Model Name	Variant Name	Vehicle Type & Segment	Vehicle CMVR Category	Details
1	YATRI SUPER	NA 1	Three Wheeler (e-3W)	e-rickshaw	View
2	YATRI CART	NA 1111	Three Wheeler (e-3W)	e-cart	View

Note: W.e.from 11th June, 21 incentive for electric two wheelers is increased to Rs 15000/KWh from Rs 10,000/KWh with a cap of 40% cost of vehicles.

Note (**) Models are not re-validated under the Scheme.

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GOVERNMENT OF ASSAM INDUSTRIES AND COMMERCE DEPARTMENT JANATA BHAWAN, BLOCK-C, 2ND FLOOR DISPUR :: GUWAHATI-6

ORDERS BY THE GOVERNOR OF ASSAM NOTIFICATION

Dated Dispur the 26th November, 2019

No.MI.117/2019/235: In pursuance of the Commissioner, Industries & Commerce, Assam's letter No.CI&C(II)US/95/2019/145/22,001 dated 14.11.2019, the Governor of Assam is pleased to approve the "Operational Guidelines" for the "Industrial and Investment Policy of Assam, 2019" with immediate effect.

Sd/-(Dr.K.K.Dwivedi, IAS)
Commissioner & Secretary to the Govt. of Assam,
Industries & Commerce Department

Memo No.MI.117/2019/235-A Copy to:

Dated Dispur the 26th November, 2019

- The Commissioner, Industries & Commerce, Assam, Udyog Bhawan, Bamunimaidam, Guwahati-21.
 - 2) The Managing Director, AIDC Ltd., R.G.Baruah Road, Guwahati-24.
 - 3) The Managing Director, AIIDC, Industrial Estate, Bamunimaidam, Guwahati-21.
 - 4) The Managing Director, ASIDC, Bamunimaidam, Guwahati-21
 - 5) The Director, Assam Govt. Press, Bamunimaidam, Guwahati with a request to publish the notification in the next issue of Assam Gazette.
 - P.S. to Minister, Industries & Commerce Department for kind apprisal of the Hon'ble Minister.
 - 7) The P.S. to Commissioner & Secretary to the Govt. of Assam, Industries & Commerce Department, Dispur, for information of Commissioner & Secretary.

8) Office Copy.

By order etc.,

Additional Secretary to the Govt. of Assam Industries & Commerce Department.



OPERATIONAL GUIDELINES FOR INDUSTRIAL AND INVESTMENT POLICY OF ASSAM, 2019

GOVERNMENT OF ASSAM DEPARTMENT OF INDUSTRIES & COMMERCE DISPUR

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Operational Guidelines of Industrial and Investment Policy of Assam, 2019 Chapter: 1 GENERAL

1.1. Introduction

These guidelines have been framed under the provisions of Clause-20.0 of Industrial & Investment Policy of Assam, 2019 with the objective of specifying the procedures to be observed for getting incentives, sanction and disbursement and also for recovery of incentives if drawn irregularly or fraudulently. The period of validity and effective date of these guidelines shall be effective from 01-09-2019 and shall remain valid till the time Government deemed to be fit and proper

1.2. Definitions : (Ref. Clause 12.0)

- 1. Manufacturing activity means "an activity which brings about a change in non-living physical object or article or thing (i) resulting in transformation of the object or article or thing into a new and distinct object or article or thing having a different name, character and use; or (ii) bringing into existence of a new and distinct object, article or thing with a different chemical composition or integral structure".
- 2. New Industrial unit means a unit, which has commenced commercial production in the state of Assam during the validity period of Industrial & Investment Policy of Assam, 2019.
- 3. Micro, Small and Medium enterprises mean enterprises as per investment criteria defined under the Micro, Small and Medium Enterprises Development Act, 2006 or as amended.
- 4. Large unit means where the investment in plant and machinery is more than ₹10 Cr. or as amended.
- 5. Fixed Capital Investment means and includes investment in plant and machinery and land and building connected directly with manufacturing process and in case of hotels/resorts 3 Star and above category and river cruise, it shall mean and include investment in plant and machinery, land and building directly connected with hotel premises or investment made in river cruise of capital nature.

Explanation:1

The investment in land shall mean the cost of land (used only for factory building) derived from registration value of land or the actual cost of the land, whichever is lower. While the proportionate stamp duty and registration fee shall for the part of the cost of the land, the cost of development of land shall not form the part of the cost of land.

Explanation:2

In case of hotels/resorts 3 Star and above category, the investment in land shall mean the cost of land (used for the hotel/resort building excluding vacant land) derived from the registration value of land or the actual cost the land, whichever is lower. While the proportionate stamp duty and registration fees shall form the part of the cost of land, the cost of development of land shall not form the part of the cost of land.

- 6. Investment in new and renewable source of energy:
 - If a unit makes an investment of not less than ₹5 cr. for generation of power through green technology i.e. renewable sources of energy like solar plant which results in substantial reduction of use of fossil fuels and such renewable source of energy results in replacement of conventional source of energy by at least 40% of regular consumption, such unit may make an application to the Finance (Taxation) Department for consideration of the investment for tax reimbursement. The Finance (Taxation) Department, after such examination and enquiry as may be deemed necessary, if it is satisfied that such investment qualifies for tax incentives, it may, by an order, declare the unit eligible for tax reimbursement, subject to the condition that the quantum of monetary ceiling and time limit of tax reimbursement shall not exceed those available for a medium and large scale unit
- 7. "Plant & Machinery" shall cover the cost of newly purchased Plant & Machinery as erected at site. Relocated/ Recycled/ Refurbished Plant & Machinery will not be eligible

1.3. Overall cap

Incentives indicated as other incentives in the Policy viz., Power subsidy, Generating set subsidy, Stamp duty reimbursement, Technology Transfer and quality certification, Interest subsidy on working capital loan, Financial Assistance in MSME listed in Stock Exchange, Assistance for Environmental Compliance, Incentive to private sector Infrastructure developers and the aggregate/overall incentives from all these component shall not exceeds 100 % of the fixed capital investment. The overall ceiling does not include subsidy accruing to the unit from the SGST reimbursement

1.4. Eligibility Criteria (ref, Clause 15 of the Policy reproduces below)

- 1. Notwithstanding anything contained in this policy, units engaged in manufacture of items as mentioned in Annexure:1 shall not be eligible for any incentive under this policy.
- 2. Hotels/Resorts 3 Star and above category and river cruise, generation of power through green technology shall be eligible for incentives under the policy.
- 3. Units will have to employ minimum of 80% people of Assam in the Managerial Cadre and minimum 90% people of Assam in Non Managerial Cadre. The employment Certificate shall be obtain from the competent authority at the same time the unit should follow the existing Labour Laws as regards to the employment and wages in the unit.
- 4. Eligible units will have to apply online for issuance of Eligibility Certificate within 6 (six) months from the date of Commencement of Commercial Production for availing the incentives under the Policy. The relevant website for online submission for Eligibility Certificate and other incentives shall be notified by the Department.
- 5. All Incentives/ Subsidies/ Concessions/Financial support under this policy shall be applicable to units registered as company, corporation, Co-operatives, Partnership firm, Proprietorship firm, Trust, FPO/FPC as well as units set up by the State Government. Central Public Sector undertakings shall not be eligible for any incentives under the Policy.

- 6. Any payments made in cash for any transaction shall be treated as ineligible amount for investment.
- 7. Subsidy will be disbursed only to the units which are functional at the time of disbursement of the subsidy

1.5. Eligibility Certificate :

- 1. Eligibility Certificate is a certificate which shall be issued by the General Manager of the District Industries & Commerce Centre for Micro units, Commissioner of Industries & Commerce for other than Micro units. This shall be issued after ensuring that all the criteria for eligibility have been fulfilled to the full satisfaction of the competent authority.
- 2. No right or claim for any incentives under the policy shall be deemed to have been conferred by the policy, merely by virtue of the fact that the unit has fulfilled on its part the conditions of the policy and the incentives/subsidies cannot be claimed as a matter of right.
- 3. The incentives under the Policy cannot be claimed unless the Eligibility Certificate has been issued under the policy by the implementing agency concerned and the unit has complied with the stipulation/conditions of Eligibility Certificate to the satisfaction of the competent authority.
- 4. The decision of the implementing agency, subject to such direction as Government may issue from time to time in this regard shall be final and binding

1.6. Eligible/ non-eligible items of Civil Works and component of Plant & Machinery: (Ref: Annexure-2, reproduces below :

A. Components to be included for computing the value of Plant and Machinery:

(a) Manufacturing sector

- i. Cost of Industrial Plant & Machinery including taxes and duties
- ii. Cost of productive equipment's such as tools, jigs, dyes and moulds including taxes and duties
- iii. Freight charges paid for bringing Plant & Machinery and equipment from suppliers premises to the location of the unit
- iv. Transit insurance premium paid
- v. Erection and installation charges to be restricted to the cost indicated in the Appraisal Note of the Financial Institution which provided loan to the unit.
- vi. Laboratory testing equipments to be restricted to the cost indicated in the Appraisal Note of the Financial Institution which provided loan to the unit.
- vii. Mining equipments to be restricted to the cost indicated in the Appraisal Note of the Financial Institution which provided loan to the unit

(b) Service Sector (Hotels/Resorts above 3 star category)

- i. Air conditioning plant and air-conditioning unit
- ii. Hot Water plant

- iii. Water treatment plant
- iv. Generator set
- v. Lift/Elevator/Escalator
- vi. Laundry Equipment (other than household type)
- vii. Dish washing plant
- viii. Glass washing plant
 - ix. Kitchen equipments excluding crockery, cutlery and utensils
 - x. Exhaust system
- xi. Water purification plant
- xii. Sewage treatment plant
- xiii. Firefighting equipment
- xiv. Electric pump & motors
- xv. EPABX system
- xvi. Housekeeping equipment
- xvii. Insect and Pest Killing equipment/machine
- xviii. Health club/Beauty parlor / Barber shop equipment
- xix. Explosive Detection Machine
- xx. Security Alarm System
- xxi. CCTV/Cable TV system with accessories
- xxii. Plant and equipment for Water Sewage and Garbage Management
- xxiii. Any other plant & machinery that is critical and directly connected to hotel industry

B. Components which will not be considered for computing the value of Plant & Machinery in the manufacturing sector :

- i. Loading and unloading charges
- ii. Miscellaneous fixed assets such as DG Sets, handling equipments, electrical components other than Electrical components necessary for plant operation on the plant side from where meter is installed up to the point where finished goods is to be produced/dispatched (i.e. H.T. motors, L.T. motors, Switch boards, Panels, Capacitors, Relay, Circuit Breakers, Panel Boards, Switchgears.
- i. Commissioning cost
- ii. Captive Power Plants
- iii. Storage equipments
- iv. Weigh bridge
- v. Second hand/used machinery/equipment

C. Components to be included for computing the value of Factory shed/Institutional Building:

- i. Factory shed/institutional building (in case of Service Sector) {eligible investment to be restricted to prevalent APWD (B) Schedule of rates}.
- ii. Raw material & Finished products godown attached to main factory shed//institutional building { eligible investment to be restricted to prevalent APWD (B) Schedule of rates}.
- iii. Essential civil construction works like machine/equipment foundation {eligible investment to be restricted to prevalent APWD (B) Schedule of rates}

D. Components not to be included for computing the value of Factory shed/Institutional Building:

- i. Boundary wall & gate
- ii. Approach road/internal road
- iii. Land development
- iv. Office building/Space utilized for office
- v. Raw material/finished product godown situated at a different location other than Factory shed/Institutional Building
- vi. Any residential building/rest house/guest house
- vii. Canteen
- viii. Labour rest room and quarters forworkers
- ix. Security/guard room or enclosure
- x. Construction of weigh bridge
- xi. Consultancy fee, taxes etc.
- xii. Second hand/ used Factory shed/ Institutional Building (with or without renovation)

1.7. Special Dispensation (Ref. clause 14.9):

- 1. The State Government may provide special dispensation to the unit where the investment in fixed capital investment is above ₹1000 Cr (One thousand crore) or generating a minimum of 2000 (two thousand) regular employment. (Ref: 14.9)
- 2. The concerned unit may submit their application directly to the Sr. most Secretary of the Industries & Commerce Department, Government of Assam along with the supporting documents like Project Report, Bank Appraisal, etc.
- 3. On receipt of the documents, same shall be examined by the department and placed before the High Power Committee for necessary approval.

1.8. Others

- Changes in capital investment, product, date of commencement of production, employment etc. should be recorded in the Udyog Adhar/ IEM acknowledgement, etc. of the unit before applying for EC.
- 2. No condonation of delay in submission of application of Eligibility Certificate or other application for incentive shall be allowed. However, in case of sufficient ground, the condonation of delay in submission may be allowed by the District Level Committee/ State Level Committee.
- 3. Determination of Fixed Capital Investment: In case of any differences arises in determination of fixed capital investment, the DLC/SLC constituted for the purpose shall examine and take a decision on it.
- 4. The assessment of Civil construction shall be computed as per existing "Schedule of Rates" of APWD and should be compared with the Bank Appraisal, CA Certificate and actual expenditure statement of the unit. Any payment made by cash shall be deducted.
- 5. The cutoff date of investment in Civil Construction as well as in Plant machinery shall be the date of receipt of acknowledgement of UAM/ IEM till the date of commercial production of the unit. The SLC/DLC is the competent authority to determine the cost

- of Fixed Capital Investment of the unit for the purpose of granting Eligibility Certificate.
- 6. For all the incentives, irrespective of Micro/ Small / Medium and Large, the concerned Member Secretary shall take the initiative to hold the meeting in time as prescribed in the Policy. The proposal shall be placed before the committee on the basis of date of receipt of the proposal at the concerned authority.
- 7. The Commissioner of Industries & Commerce, Assam shall ensure to release the fund against the incentive/s approved by the various committees subject to availability of fund sanction made by the Government. The concerned implementing agency should communicate the requirement of fund along with the list of approved units within 30th April every year so that the sanction proposal/s may be submitted to the Government within the stipulated time.
- 8. The unit concerned should submit an undertaking with the disbursing agency for releasing the fund. The amount shall be released through the Bank Account of the unit only, no cash shall be released.
- 9. In the event of any irregularity found in documents or any misrepresentation of facts, the Authority like General Manager, DICC or Commissioner of Industries & Commerce, Assam as the case may be, may issue notice/s, Show cause and withdraw the benefit immediately. The concerned authority may allow the unit to submit their representation/ replies not exceeding 15 (fifteen) days time from the date of issuance of the Show cause. The decision of the concerned committee shall be final and binding.
- 10. The concerned authority shall ask the unit to refund the cash benefit within a period of 30 (thirty) days. If the unit fails to do so, the authority may for go for legal action at the competent court of law for recovery of the amount.
- 11. In the event of closure of any unit after continuous production of five years from the date of commercial production, the amount of grant/subsidy so approved by the concerned committee shall be released to the unit concerned through their Bank/s or Financial Institution/s.
- 12. All eligible units shall submit an Affidavit stating that the information submitted along with the application/s is true.
- 13. In no case, this guideline shall be strictly as per provisions of the Industrial and Investment Policy of Assam, 2019.
- 14. While implementing the policy, any of the Form/s need modification or alteration which may be addition of new column/row or deletion, the SLC may do so after due discussion in the SLC meeting.

1.9. Monitoring :

For effective monitoring of the Policy and its broad outline, following measures shall be taken:

- 1. The General Manager, DICC of the concerned district shall convene a monthly review meeting on or before 10th day of each month and submit the minutes of the meeting to the Commissioner of Industries & Commerce, Assam.
- 2. The Commissioner of Industries & Commerce shall convene a meeting with the

- General Manager, DICCs at least once in a quarter to review the implementation of the Policy as well as other ongoing schemes of the department.
- 3. The Commissioner of Industries & Commerce may asked the General Manager, DICC to submit monthly progress report in a prescribed format

1.10. Submission of Investment intension: (Ref16.a)

Any person who undertakes setting up a new unit shall furnish such information to the jurisdictional General Manager, District Industries & Commerce Centre (DICC) within 30 days in a prescribed format from the date of receipt of Acknowledgement of Industrial Entrepreneurs Memorandum (IEM), Udyog Adhar, etc , as the case may be . Such person shall also submit a quarterly statement of investment expenditure incurred for quarters ending June, September, December and March of each financial year till commencement of commercial production in a prescribed format

CHAPTER: 2: PROCEDURE FOR ISSUE OF ELIGIBILITY CERTIFICATE

2.1. Submission of Application

- 1. Any person who proposed to set up a new unit and proposed to start its commercial production on or after 1st September, 2019, shall intimate his/her intention online in the portal as per **Form:1.0**
- 2. The Application for grant of Eligibility Certificate shall be submitted online to the concerned General Manager, District Industries & Commerce Centre, where the unit is located as per Form: 2.0 and documents as per checklist at Form: 2.1 within 6 (six) months from the date of going into commercial production/operation. On submission of the application and mandatory documents the acknowledgement will be auto generated.

A. Eligibility Certificate for Micro units

The manner of submission and prescribed application forms etc are given below

- 1. On receipt of the online application, GM, DICC will forward the application to the Functional Manager/ Project Manager/ Assistant Director/ Assistant Manager for scrutiny of the Application & the timeline for the application will start
- 2. The concerned officer will ascertain eligibility/ ineligibility of the unit and submit his/ her report within the stipulated period.
- 3. In respect of incomplete / ineligible applications, GM, DICC shall return the applications online to the applicant immediately.
- 4. In respect of complete and eligible application, GM, DICC will fix a date for physical verification of the unit and forward the application to the concerned FM/ PM/ ADCI/ AM for physical verification.
- 5. In case, the unit has applied for reimbursement of SGST, GM, DICC will also forward the application online to the concerned Superintendent of Taxes/ Deputy Commissioner Taxes for their views.
- 6. The concerned FM/ PM/ ADCI/ AM will physically verify the unit on the date fixed & communicated to the unit and submit his/ her physical verification report online in **Form: 2.3** to the GM, DICC.
- 7. In case, the unit has not applied for reimbursement of SGST, the Agenda Note as per **Form:3.0** shall be generated online immediately after the Physical Verification for placing before the District Level Committee (DLC).
- 8. In case, the unit has applied for reimbursement of SGST, the Agenda Note as per **Form:3.0** shall be generated online immediately after receipt of the views from the concerned Superintendent of Taxes/ Deputy Commissioner taxes, for placing before the District Level Committee (DLC).
- 9. Agenda Notes generated online will be placed before the District Level

- Committee (DLC) meeting for consideration.
- 10. Decision of the District Level Committee (DLC) will be recorded online
- 11. As per DLC decision Eligibility Certificate as per **Format 3.1** will be generated online and will be made available in the dashboard of the Unit as well as the concerned Superintendent of Taxes/ Deputy Commissioner taxes.

B. Eligibility Certificate for units other than Micro

- 1. On receipt of the online application, GM, DICC will forward the application to the Functional Manager/ Project Manager/ Assistant Director/ Assistant Manager for scrutiny of the Application & the timeline for the application will start.
- 2. The concerned officer will ascertain eligibility/ ineligibility of the unit and submit his/ her report within the stipulated period.
- 3. In respect of incomplete / ineligible applications, GM, DICC shall return the applications online to the applicant immediately.
- 4. In respect of complete and eligible application, GM, DICC will fix a date for physical verification of the unit and forward the application to the concerned FM/ PM/ ADCI/ AM for physical verification.
- 5. In case, the unit has applied for reimbursement of SGST, GM, DICC will also forward the application online to the concerned Superintendent of Taxes/ Deputy Commissioner Taxes for their views.
- 6. The concerned FM/ PM/ ADCI/ AM will physically verify the unit on the date fixed & communicated to the unit and submit his/ her physical verification report online in **Form:2.3** to the GM, DICC.
- 7. On receipt of the physical verification report, GM, DICC will forward the application online to the Commissioner of Industries & Commerce, Assam.
- 8. On receipt of the EC Application online by the Commissionerate, the same shall be endorsed to the "Front End Verification Desk" for scrutiny of documents as per checklist.
 - a. In case of shortfall of required documents/ information, the application will be returned back to the Concerned GM, DICC.
 - b. In respect of the applications found in order the same will be forwarded to the Senior most Officer looking after the branch. If required, reverification of the unit may be carried out through a Senior Level officer or through a Team of officers duly authorized by the Commissioner of Industries & Commerce, Assam.
- 9. The concerned Superintend of Taxes/ Deputy Commissioner taxes will forward their views online to the Commissioner of Taxes, Assam and Commissioner of Taxes, Assam will make his/ her views available online in the dashboard of the Commissioner of Industries & Commerce, Assam
- 10. On receipt of the views of Commissioner of taxes, "Agenda Note" as per Form:3.0 will be generated online and the proposal will be ready for placing

- before the State Level Committee (SLC).
- 11. In case, the unit has not applied for reimbursement of SGST, the Agenda Note as per **Form:3.0** shall be generated online immediately after the scrutiny of the application for placing before the State Level Committee (SLC).
- 12. Agenda Notes generated online will be placed before the State Level Committee (SLC) meeting for consideration.
- 13. Decision of the State Level Committee (SLC) will be recorded online.
- 14. As per SLC decision, Eligibility Certificate as per **Format 3.1** will be generated online and will be made available in the dashboard of the Unit as well as the Commissioner of taxes.

Chapter:3

Submission of various Claims

3.1. General Procedure For Claiming Incentives other than SGST reimbursement

- 1. For claiming all incentives, the unit will have to obtain Eligibility Certificate under the Industrial and Investment Policy of Assam, 2019.
- 2. Application for all incentives (other than SGST Reimbursement) is to be separately submitted online as per prescribed format and upload documents as per the checklist.
- 3. The proposal shall be verified by the General Manager, DICC or his/ her Authorized officer.
 - a) Incase of Micro Units, after ascertaining the eligibility of the unit for the subsidy applied for and computation of Eligible Amount of Subsidy, Agenda Note will be Generated Online for placing before the DLC for consideration. After approval by the DLC, Statement of Approved Claims will be generated online and will be made available in the dashboard of Commissioner of Industries & Commerce, Assam for releasing fund as per availability of budget.
 - b) In case of units other than Micro Units, after ascertaining the eligibility of the unit for the subsidy applied for and computation of Eligible Amount of Subsidy, GM, DI&CC will forward the application online to the Commissioner of Industries & Commerce, Assam. Commissioner of Industries & Commerce, Assam will get the proposal examined by the "Front End Verification Desk" for scrutiny of documents as per checklist.
 - i) In case of shortfall of required documents/ information, the application will be returned back to the Concerned GM, DICC.
 - ii) In respect of the applications found in order, the same will be forwarded to the Senior most Officer looking after the branch to ascertain the eligible amount of subsidy.
 - c) After approval of the Eligible Amount of Subsidy by the Commissioner of Industries & Commerce, Assam, Agenda Note will be Generated Online for placing before the SLC for consideration.
 - d) After approval by the SLC, Statement of Approved Claims will be generated online and will be available in the dashboard of Commissioner of Industries & Commerce, Assam for releasing fund as per availability of budget
 - e) Subsidy will be released to the bank account indicated by the unit through DBT. Subsidy will be released in the chronological order of the date of SLC/ DLC as per availability of budget.

3.2. Power Subsidy

- 1. The relevant Clause of the Power subsidy is given at **Clause:14.1** of the Industrial and Investment Policy of Assam, 2019.
- 2. The application for claiming Power Subsidy is at Form: 4.0 and Check list is at Form: 4.1
- 3. 10% units shall be deducted while calculating the admissible units consumed by the unit.

3.3. Subsidy on Generating set:

- 1. The relevant Clause of the Subsidy on Generating set is given at Clause:14.2 of the Industrial and Investment Policy of Assam, 2019.
- 2. The Application for claiming Subsidy on generating set is at Form:5.0 and Check list is at Form:5.2

3.4. Stamp Duty reimbursement

- 1. The relevant Clause of the Power subsidy is given at Clause:14.3 of the Industrial and Investment Policy of Assam ,2019.
- 2. The Application for claiming Stamp Duty reimbursement is at **Form:6.0** and Check list is at **Form:6.1**

3.5. Technology Transfer and Quality Certification, ZED Certification:

- 1. The relevant Clause of the subsidy on Technology Transfer and quality Certification, ZED certification is given at Clause:14.4 of the Industrial and Investment Policy of Assam, 2019.
- 2. The Application for claiming Subsidy for Technology Transfer and Quality Certification, ZED Certification is at Form: 7.0 and Check list is at Form: 7.1

3.6. Interest Subsidy on Working Capital Loan

- 1. The relevant Clause of the **Interest Subsidy on Working Capital** is given at Clause:14.5 of the Industrial and Investment Policy of Assam, 2019.
- 2. The Application for claiming Interest Subsidy on Working Capital is at Form:8.0 and Check list is at Form:8.1

3.7. Financial assistance to MSMEs listed in Stock Exchange:

- 1. The relevant Clause of the subsidy on **Financial assistance to MSMEs listed in Stock Exchange** is given at Clause:14.6 of the Industrial and Investment Policy of Assam, 2019.
- 2. The Application for claiming subsidy on Financial assistance to MSMEs listed in Stock Exchange is at Form: 9.0 and Check list is at Form: 9.1
- 3. Amount shall be released only after listed in any Stock Exchange only

3.8. Assistance for Environmental Compliance

- 1. The relevant Clause of the Assistance for Environmental Compliance is given at Clause:14.7 of the Industrial and Investment Policy of Assam, 2019.
- 2. The Application for claiming **Assistance for Environmental Compliance** is at **Form:10.0** and Check list is at Form:10.1

3.9. Incentives to Private Sector Infrastructure developer

- 1. The relevant Clause of the Incentive to Private Sector infrastructure Developer is given at Clause:14.8 of the Industrial and Investment Policy of Assam, 2019.
- 2. The Application for claiming Incentives to Private Sector Infrastructure developer is at Form:11.0, CA Certificate at Annexure:3.1 and Check list is at Form:11.1

3.10. Incentives for Employment generation, entrepreneurship

- 1. The relevant Clause of the Incentives for Employment generation, entrepreneurship is given at Clause: 14.10.(a) of the Industrial and Investment Policy of Assam, 2019.
- 2. The Application for claiming Incentives for Employment generation, entrepreneurship is at Form: 12 and Check list is at Form: 12.1

CHAPTER: 4

Application Forms, Checklist, etc for applying for Eligibility Certificate as well as claiming incentives, etc.

Annexure:1 List of Employees

Sl. No.	Name of the Employee	Fathers' Name	Home Address	Date of Joining	Monthly Compensation (in ₹)
1	2	3	4	5	6

Signature of the applicant unit

Annexure:2

ENGINEER'S CERTIFICATE (for all sectors)

	having Production / operation / Industrial Infrastructumes has completed Civil Construction as shown below:						
Sl No.	Particulars	Date of Starting	Date of completion	Assessed Value (in ₹)	Remark		
1	Factory / Institution Building other / Water Supply/ETP/Electrical / civil works /construction works directly connected to manufacturing /Industrial Infrastructure/ service activities of the unit						
2	Office Building , Labour Quarter and other civil construction works not directly connected to manufacturing / service activities of the unit						
Date: Place			Signature of the Engineer: Name: Designation:				

N.B.: This certificate is not required if the investment in civil works is below ₹5.00 lakhs.

Seal

Annexure: 2.1 Statement of Actual Cost of Civil Works (For all category of units)

(a) Date of Acknowledgement of Udyog Adhar/IEM:

(b) Date of production:

Sl	Item/s	Name of the	Bill no and	Amount of	Amount Paid	Mode of	Remarks
No.		Supplier/	Date	Bill	(in ₹)	Payment	
		Contractors		(in ₹)	, ,	-	
1	2	3	4	5		6	7

Signature of the Applicant with Seal

Recommendation

Amount Claimed : ₹
Amount Deducted : ₹
Amount Recommended : ₹

Signature of the Enquiry Officer with seal

Signature of the General Manager, DICC with seal

Annexure: 3 CERTIFICATE FROM THE REGISTERED CHARTERED ACCOUNTANT (all categories except Infrastructure incentive)

	I/ We hereby co	ertify that M/s.	(name and a	iddress o	of the unit), has	
made the following capital investment in their unit for manufacturing /rendering of services						
of_	1 1					
fror	n an	d the actual cos	t upto the date of commercial	productio	on/ operation on	
	is as follo	ows:				
Sl		Particu	lars	Gross V	alue of investment	
No.					(in ₹)	
1	Land(including reg		d stamp duty)			
2	Land Development					
	Factory/Hotel Buil	ding				
3	Office Building					
	Plant and Machin					
4			es, insurance etc			
		on /loading/unlc				
		erection charges				
5	Accessories					
	Electrical Installa					
6	i. Drawal of Po					
	ii. Internal Elec	etrification				
	iii. DG set					
7	Preliminary & pre-		pitalized			
8	Miscellaneous fixe	d assets				
			Total			
	ource of Finance (in					
1. Pro	omoter's contributio	n/Equity capital	:			
2. Te	rm Loan from Bank/i	financial institut	ion :			
3. Un	secured Loan		:			
4. An	y other sources (to b	e specified)	<u>:</u>	_		
			Total:			
B. D	etails of Promoter's	contribution/F	Equity capital (pl. attach additi	onal shee	et if required)	
	Name	PAN	Mode of payment		Amount	
			(Cheque /DD etc with No. &	t date)	(in ₹)	
C. De	etails of unsecured l	loan (pl. attach	additional sheet if required):			
	Name	PAN	Mode of payment	T	Amount	
	(Cheque /DD etc with No. & date) (in ₹)					
			accounts of the unit, the invoice		•	
afore	esaid information is	verified and co	ertified to be true. We also cer	tify that	aforesaid items	
have	have been duly paid for and no credit is raised there against in the books of the unit					

have been duly paid for and no credit is raised there against in the books of the unit.

Signature of the Chartered Accountant Date: Registration No. & Seal

N.B. This certificate is not required if the total fixed capital investment is below ₹5.00 lakhs

Annexure :3.1 CERTIFICATE FROM THE REGISTERED CHARTERED ACCOUNTANT (Infrastructure incentive)

I/ We hereby certify that M/S....(name and address of the unit), has made the following capital investment in their Industrial Infrastructure Project and the actual cost upto the date of operation on...... is as follows:

SI	Particulars	Gross Value of investment
No.	1 arucuurs	(in ₹)
1	Land(including registration fees and stamp duty)	(in y
2	Land Development	
3	(a) Earth filling	
	(b) Boundary Wall	
	(c) Internal Drainage	
	(d) Common Effluent Treatment Plant	
	(e) Internal Road	
	(f) Guard rooms	
	(g) Office Building	
	(h) (any other item/s	
	Electrical Installation	
4	i. Drawal of Powerline	
	ii. Internal Electrification	
	iii. Cost towards sub-station	
	iv. Cost towards installation of DG set	
	v. Any other cost toward Electrification	
	Cost towards supply of water	
	i. Cost towards supply of water by pipe /putting deep	
5	tube well	
	ii. Overhead water Tank	
	iii. Setting up of internal water supply arrangement	
6	Preliminary & pre-operative expenses to be capitalized	
7	Other Miscellaneous fixed assets	
	Total	
	ource of Finance (in ₹)	
	Promoter's contribution/ Equity capital :	
2.	Term Loan from Bank/financial institution :	
_	Unsecured Loan :	
4.	Any other sources (to be specified) :	
	Total ·	

B. Details of Promoter's Contribution/Equity Capital (pl. attach additional sheet if required)

Di Dettans di l'idiatti s'editristation, Le arty empirar (pirattari auditrionalismetti l'edan eu)					
Name	PAN	Mode of payment	Amount		
		(Cheque /DD etc with No. & date)	(in ₹)		

C. Details of unsecured loan (pl. attach additional sheet if required):

Name	PAN	Mode of payment (Cheque /DD etc with No. & date)	Amount (in ₹)

I/ We have checked the books of accounts of the unit, the invoices etc. and certify that the aforesaid information is verified and certified to be true We also certify that aforesaid items have been duly paid for and no credit is raised there against in the books of the unit.

Date:	Signature of the Chartered Accountant
	Registration No.& Seal

Annexure: 4 AFFIDAVIT (Non-Judicial Stamp Paper of ₹20/-)

I S	Shri/ Smti	son/daug	shter/wife of Sh	ri/Smti		
	Year by profession				and affire	n as
follows:-						
1.	That I am a citizen of India	and permanent	resident of Vil	lage	P.O	١.
	Police St	ation	in the di	strict of		
2.		rs of land/building	ng/plant & machi	inery of the		
	the industrial/service activity		·			
3.	That the particulars furnished in Investment Policy of Assam subsidy/ grant under Central / applied by me against the item	2019 are true / State Governm	to the best of n ent/ Organization	ny knowledg n etc have be	ge. That no	0
 4. 5. 	That the particulars furnished is application for subsidy are concase of any particulars are essential facts, I shall be liable under laws of the land. That I Shri / Smti	found to be fall to refund the	he be best of my lse or misrepres subsidy and als	knowledge entation/suppose liable to b	and that in pression of punished	n of d
	and affirmed that, statement belief.	made above are	true to the best	of my knov	vledge and	1
Identif	ied by me:					
Signati (Advoc			Signature : Deponent :			
	Solemnly affirm before me Advocate on this			identified	by Sri/	'Smti
			Notary Seal			

Annexure: 5 <u>AFFIDAVIT(to be signed by Notary)</u> (Type on Stamp Paper of ₹50/-)

I/We,	Sri/Smti			son/daugh	ter / v	wife of	f Sri	/ Late
		age		_ years, resi	_			
*	s) Managing I	Director / Managing F	-			nority	holde	er of
M/s.			_ having regi	istered off	ice at			
		/ Hotel/ River Cruis					(Full Ac	ldress) ir
the district of		having manufa						_ having
received to-da	•		pees) (receip	
	ereby acknow		1 .1	_ (incentive)			ıal & Inv	
Policy of Ass	am 2019 for 1	my /our unit carrying	under the na	me & style o)f M/s	•	1 4 1 '	_ hereir
		rprise / Unit" and I/V	e on benail c	of the unit giv	e the follo	owing un	idertakii	ng for the
said grant/ sui	•	on behalf of the unit	chall maintair	a datailed stati	istics/222	unt of m	aduation	and
1.		raw materials /consuma						
				_	_			
	_	such statistics/accounts	open for his	spection on i	equest ne	nn uic n	прістіст	ung
2.	agency.	of the grant/ subsidy h	as been obtain	ed by me /us	hymicrer	recenting	n of ecce	ntial
2.		shing false information						
		contraction within two						
		om the date of commer						
	-	nall refund the grant/su		-				
		n an opportunity of be		Jannea of un	ie impiem	onung a	Series ar	
3.	_	, any overpayment is	-	wrong calcula	ation or m	isinterpre	etation of	fthe
		wise, the same amoun		_		_		
		subsidy due, as and w		-		•	C	
4.		all fulfill the conditions					icyofAs	ssam
								2019.
5.	That I/we s	hall abide by all the	ules and regu	ulation as stij	pulated ur	nder the	Industri	al &
	Investment	Policy of Assam, 201	9 and also any	modification	thereof, i	n due coi	urse.	
6.	In case, my	our unit closed or sold	out or handed	l over to any o	ther partie	s before	the expir	yof
	the validit	y period mentioned in	the Undertaki	ing, I / We o	r my / ou	r success	or (s) sha	all be
				persona	lly liable 1	to refund	the Sub	osidy
Identified	<mark>by me:</mark>							
Signature				Signatur				
(Advocate))			Deponer	nt :			
So	lemnly affi	rm before me b	y Shri/Smt	ti	i	dentified	l by	Sri/Smti
50	icining arm	Advocate on this d	-			<u>rentiffed</u>	. Oy	OH OHIC
		United the control of the cont	- J					
				Notary	Seal			

Form:1.0

Investment Intention for new units to be gone into commercial production after 01-09-2019 (for all sector)

Intention to be submitted Online

1.	Name of the unit	:
2.	Proposed location of the unit	:
3.	Name and Address of the Applicant/s with contact	:
	nos	
4.	Constitution of the Unit (please specify	::
	whether Proprietorship/ Partnership/ Private	
	Limited	
	Company/ Public Limited Company/ Co-operative	
	Society/others)	
5.	If a company, date of registration under the	:
	Companies Act and address of its Registered Office	
6.	Date and Acknowledgement of Udyog	;
	Adahar/ IEM	,
7.	Whether the land has been	:
	identified/allotted/purchased? If yes, total area and	
	value	
8.	Proposed Capital Investment (Rs in lakh)	
	(i) Land, Development, Building and other Civil	:
	works:	
	(ii) Plant and Machinery	:
	(iii) Electricals	:
	(iv) Other Misc fixed assets	:
	Total	:
9.	Expenditure incurred so far, if any	:
10.	Expected date of commercial production	:
11.	Incentives proposed to be availed under the	:
	Industrial and Investment Policy of Assam, 2019	
	Pl specify	
12.	Any other information	:

NB: No document is necessary to submit along with this application.

Signature of the Applicant with date

FORM : 2.0

APPLICATION FORM FOR GRANT OF ELIGIBILITY CERTIFICATE

(for all activities and all categories)

A: Part -1: General

	A: Part -1: Gener	a
1.	a. Name of the Unit	:
	b. Complete Office address	:
	c. Complete Factory/Hotel/River Cruise	:
	address (mention a Landmark too)	
	d. Registered Head Office	:
	e. PAN and GSTIN of the unit	:
2.	a. Constitution Proprietorial / Partnership/	:
	Private LimitedCompany/PublicLimited	
	Company/Co-operative Society/Trust/	
	State Government Corporation/any other legal	
	entity:	
	b. Registration Number & Date	:
	under any Act	
3.	Name(s), permanent Address(es)/	:
	PAN(s)/Adhar card of the Proprietor /	
	Partners/Directors / Managing Director/	
	Secretary/President/Chairman/CEO/	
	Trustee, etc.	
4.	Acknowledgment of Udyog Adhar / IEM	:
-	No. and Date	
5.	Date of Commencement of Commercial	:
	Production/operation	
6.	Name of the products manufactured/Services	:
	rendered	
7.	Category: Micro/Small / Medium / Large	:
8.	Details of Land	
	i. In case of land allotted by Govt./	
	Govt. agency:	
	a) Number and date of Allotment	
	Letter issued by Govt. / Govt. Agency	
	for land or shed :	
	b) Name of the allotting Authority:	
	ii. In case of own land:	
	a) Number and date of Registration of	
	the Land Purchase Deed:	
	b) Dag Number, Patta Number,	
	Revenue Village & Mauza :	
	iii. In case of lease hold land:	
	a) Number and date of	
	Registered Land Lease:	
	b) Deed, duration of Lease and	
	Dag Number, Patta Number,	

Revenue Village & Mauza:

c) Number and date of Registered Rent Agreement:

Part:2: **Manufacturing:**

:

:

Details of Factory Building

I) Own Building:

- a. Dates of Starting and Completion of the civil construction works
- Total area constructed & utilized
- c. Cost of construction :

II) Allotted by the Government Agency/

Lease hold or Rented:

- a. Number and date of Allotment Letter issuedby Govt./Govt. Agency for land & shed and Name of the allotting Authority.
- **b.** Number and date of Registered Lease/ Rent Agreement and period of validity

Fixed Capital Investment (Gross Value)

Value in ₹

- **a** Land
- **b** Site Development
- c Building
 - i. **Factory Building** ii. Office, Quarter etc.
- d Plant and Machinery
 - i. Basic value including taxes, insurance
 - ii. Transportation/loading/unloading charges
 - iii. Installation/erection charges
- e Equipment, accessories, components, fittings etc.

f. Electrical installation

- i.Drawal of powerline
- ii. Internal electrification
- iii.DG set
- **g** Miscellaneous fixed assets (in details)
- **h** Preliminary & pre-op. expenses capitalized

Total:

10. Details of Production

Item (s)	Annual Installed	Value	Actual production from the date of
	Capacity	(₹ in Lakh)	commencement of production till date
			of submission of application

11. Details of Raw Material(s)

Item (s)	Annual Requirement	Value	Sources of Raw Material (s)
		(₹ in Lakh)	

12. Details of sales of Finished Product(s)/Service(s)

Sl.		` '	Product(s)/Service(s) Sold during the last accounting year/						
No.	Item(s)	since the date of commencement of commercial production/ service to the date of							
		submission of the application							
		Within the State of Assam Outside the State							
		Quantity Value		Quantity	Value]			
		(in ₹)		(in ₹)					

Part:3: Services sector

13. Particulars

13. Pa	irticulars	
A.	Hotel 3 Star & above Category	
	(i) Location of the Hotel	:
	(ii) Category of Hotel	:
	(Please attach a certificate)	
	(iii) Area in square meters	:
	(iv) Total cost of the hotel building (in Rs)	:
	as per CA Certificate	
	(v) Total cost of essential items (list to be	:
	attached) (in Rs)	
	(vi) No. of rooms and area of each type of	:
	rooms in square mtr.	
	(vii) Facilities/ amenities provided (please	:
	attach separate sheets, ifrequired)	
B.	River Cruise	
	(i) Location of the river Cruise	:
	(iii) Size of the Cruise in square meters	:
	(iv) Total cost of the cruise (in Rs) as per	:
	CA Certificate	
	(v) Total cost of essential items including	:
	interior (list to be attached) (in Rs)	
	(vi) No. of rooms and area of each type of	:
	rooms in square fts.	
	(vii) Facilities/ amenities provided (please	:
	attach separate sheets, ifrequired)	
	(viii) Whether the norms/criteria laid	:
	down by the concerned	•
	department/agency have been fulfilled	
	department agency have been fullified	

	c. I	nfrastructure Development	
		i) Total land area of the Park in Sq mtr :	
		ii) Total Cost of the Industrial Park (in Rs) :	
	a	s per CA Certificate	
	(iii) Facilities created in the Industrial:	
	F	Park	
	(iv) Capacity of the Effluent treatment:	
	p	lant	
	(v) Total no of plots earmarked and size of:	
	t	he plots in sqmtr.	
	(vi) Name of the units operating form the :	
	F	Park, Name/s of the unit, item of	
	ŗ	production and total areas occupied may	
		e submitted.	
		Part:4: Others	}
Soi	irces of	finance (in ₹)	
501	a.	Promoter's / Partners' contribution	•
	b.	Paid up Capital	:
	c.	Loan from Bank / Financial Institution	:
	d.	Unsecured Loan	:
	e.	Other source (to be specified)	:
	•	Total	:
	a.Nam	e(s) of the Bank / Financial Institution	:
		unt Sanctioned and Sanction Letter No. & Date	-
		Term Loan	:
		Working Capital / Cash Credit / Over Draft	:
14.		ails of Power connection	•
-7.	a.	m : 17 10 .1 1	:
	b.	From Open Source	:
	c.	From APDCL	:
	d.	Sanction letter and date	:
	e.	Connected Load and Date of Connection	:
		Meter Nos for open sourceand power	
		supplied by APDCL	:
	g.	Consumer No of APDCL	:

15. (a) Details of Direct Employment

	iii (a) Details of Different in the content of the							
S1	Category	Total	People of Assam		People not		Remarks, if any	
No.		Employment			belonging to			
		(Nos.)				Assam		
			(Nos.)	%	(Nos.)	%		
a	Managerial							
b	Non-managerial							
	Total							

(b) Details of in-direct Employment (Under the provision of Contract Labour Act, etc)

Sl	Category	Total	People of Assam		People not belonging to Assam	
No.		Employment (Nos.)				
			(Nos.)	%	(Nos.)	%
a	Managerial					
b	Non- managerial					
Total						

16.	Incentives applied under the Industrial &
	Investment Policy of Assam 2019

1.

2.

3.

4.

17. **Declaration**

I/ We hereby solemnly declare that the information furnished in this application for grant of Eligibility Certificate claiming various incentives under the Industrial & Investment Policy of Assam 2019 are correct and true to the best of my/our knowledge and belief.

Place:	Signature of the applicant(s)
Date:	Status in relation to the unit

Form: 2.1 CHECK LIST for ELIGIBILITY CERTIFICATE

(for all category/sector)

Self Certified copies of the following documents to be uploaded along with the EC application:

- 1. Constitution
 - a.In case of a Partnership unit
 - i. Registered Deed of Partnership
 - ii. General Power of Attorney
 - iii. List of partners with PAN.

b. In case of Co-operative Society/SHG/NGO/FPO

- i. Registration certificate from the Joint Registrar /Assistant Registrar of Co-Operative Society/Registrar of Firms & Societies.
- ii. Article of Memorandum of Association/By-Laws
- iii. Resolution of the General Body Meeting for Registration

c.In case of a Company

- i. Registration certificate under the concerned Act
- ii. Memorandum and Articles of Association
- iii. List of Directors with PAN
- 2. Copy of Acknowledgement of Udyog Adhar /IEM, as applicable.
- 3. Land
 - a.In case of own land
 - i. **Purchase deed/gift deed/**any other document to establish ownership.
 - b. In case of industrial land/shed allotted by any Government Agency
 - i. Deed of Agreement
 - ii.Up to date rent receipt
 - c. In case of lease hold from private owner
 - i. Notarized Lease deed Agreement
 - d.In case of Government land/plot allotted by Government
 - ii. Allotment letter with up to date premium payment receipt.
- 4. Building and other Civil works
 - i. Detailed Cost Estimate
 - ii. Approved Factory Building Plan
 - iii. Engineers Certificate as per Annexure: 2.0 [Engineers certificate to be signed by a Civil Engineer (not below the rank of Assistant Engineer) from any State/Central Government department / Chartered Engineer (recognized by Institute of Engineers) if the investment in Civil Works is above ₹5.00 Lakhs. In case of the investment in civil works is less than 5 lakhs GM DICC will arrive at an admissible amount on the basis of bills/vouchers submitted in respect of the civil works and as per prevalent APWD Schedule]
 - iv. Statement of cost of Civil works as per Annexure:2.1
- 5. **Sanction letter**(s) from the Financial Institution / **Banks** for Term Loan & Working Capital (WC).

- 6. Certificate on disbursement of Term loan from Bank/Financial institution
- 7. Statement of Plant & Machinery / Internal Electrical Installation as per Form 2.2
- 8. Bills & Vouchers of Plant & Machinery/Internal Electrical Installation
- 9. **Documents on power:**
 - i Power Sanction letter(s)
 - ii. First Electricity Bill and Payment Receipt
 - ii. Installation report of DG set from Chief Electrical Inspector cum Adviser, Assam, if applicable.
- 10. Certificate from a Registered Chartered Accountant for fixed capital investment & sources of finance as per **Annexure 3.0** and **Annexure 3.1**, as applicable.
- 11. i. List of Employees (based on the daily Attendance Register of the unit) as per Annexure: 1 ii. Employment Certificate shall be submitted from the from the District Employment Officer in case of Micro Units and from the Director of Employment & Craftsmen Training, Assam in case of other than micro units.
- 12. Agreement / MOU executed with the organization providing Technical Know-how and Quality Certification, if any.
- 13. MoU for franchise, if any.
- 14. No Objection Certificate, Trade License from the Local Body/Authority.
- 15. NOC/Consent of operation from the Pollution Control Board of Assam.
- 16. Copy of Registration Certificate under SGST
- 17. PAN of the unit
- 18. Bank Statements of the Term Loan account/other accounts from where cheques etc have been issued to suppliers of machinery /other assets etc of the unit.
- 19. Valuation report from CBDT approved valuer on
 - i. Fabricated Machinery/ Machinery Fabricated at site
 - ii. Civil Works forming an integral part of the machinery installed in the factory.
- 20. Other Registration / Permission / License / NOC etc. required for establishing and running of the unit/activity e.g. Excise Registration, Factory License, Forest License, Broiler license, Fire clearance, registration in weights and measures, Labour License, etc. as applicable to be submitted.
- 21. Project Feasibility Report.
- 22. Copy of credit appraisal note from Bank/Financial Institution
- 23. Bank Statement indicating payments made for Factory Building, Plant & Machinery and Internal Electrical Installation.

Form:2.2

STATEMENT OF INVESTMENT ON PLANT & MACHINERY AND INTERNAL ELECTRICAL INSTALLATION

(For EC under Industrial and Investment Policy of Assam ,2019)

1.	Name of the Plant & Machinery/other assets	:
_		

- 2. Name and address of the supplier
- 3. Mode of transportation
 - Particulars of dispatch documents a. Supplier's bill No. and date
- 4.
- Basic cost of machinery/other assets with taxes, insurance etc: a.
- 5. Payment Details
 - Date of payment a.
 - Cheque/DD/RTGS/NEFT No., date etc b.
 - Receipt No.& date
- 6. Name and address of the carrier Freight for carrying the machinery/other assets a.
 - Payment details(Cheque/DD/RTGS/NEFT No., date etc, money receipt) b.
- 7. Name and address of the Insurance Company covering risk in transit
 - Insurance premium paid
 - Payment details (Cheque/DD/RTGS/NEFT No., date etc, money receipt)
- Installation and erection charges towards machinery/other assets 8.
 - a. Payment details (Cheque/DD/RTGS/NEFT No., date etc, money receipt)
- 9. Total amount(Basic cost, freight, insurance and installation / erection charge)
- 10. Date of erection of the machinery/other assets at factory site
- 11. Date of commissioning of the machinery/other assets
- 12. Any other particulars

Signature on behalf of the unit.

Countersigned by CA.

Comments of the Enquiry Officer

Certified that I have personally verified particulars mentioned above with the original bills and vouchers and found them to be correct and beyond any reasonable doubt. I have also personally visited the unit **on...** and physically verified plant and machinery/other assets which have already been erected for functioning in the factory M/S...... I also certify that the details with regard to price etc ,as given above ,resemble with those mentioned in the original bills/vouchers produced before me during the time of physical verification. and accordingly make my recommendation as under:.

₹ A. FixedCapitalInvestment applied for EC B. Eligible Fixed Capital Investment for EC

Signature and Seal

Comments of the General Manager/ Managing Director AIDC:

Having gone through the findings of the enquiry officer and after having satisfied myself in respect of correctness, genuineness and admissibility of the items claimed and corresponding expenses incurred, I do here by make my recommendation as under:

Signature and Seal

Form:2.3 Enquiry Report for Grant of Eligibility Certificate (For all category/sector)

	a	Name of the Enquiry Officer with	:
	,	designation	
	b	Date of commencement of commercial:	
		production/service rendered by the unit	
	c	Date of receipt of application	:
	d	Date of physical verification	:
	e	Date of submission of the report to GM,	:
		DICC	
۱.	a.	Name of the Unit	:
	b.	Office address	:
	c.	Factory address	:
	d.	Registered Head Office	:
	e.	PANandGSTIN	:
2.	Co	nstitution	:
3.	Na	me(s), permanent Address(es) and PAN(s)	:
	of	the Proprietor / Partners/Directors /	
	Ma	anaging Director / Secretary / President /	
	Ch	airman / CEO / Trustee etc.	
4.	UA	M/IEM No. and Date	:
5.	Da	te of Commencement of Commercial	:
	Pro	oduction/service rendered	
6.	W]	hether Manufacturing or Service	:
7 .	Sc	ale : Micro/ Small/Medium/Large	:
7. 8.		tails of Land	
		(i) Allotted by Govt. Agency / Own /	:
		Leased / Rented	:
		(ii) Date of allotment / Registration	
9.	De	tails of Factory Building:	::
•		Constructed by	•
		Total constructed & utilized Area	
	· · ·		

10. Details of Fixed Capital Investment (Gross Value)

Particulars	Total Amount Invested	Eligible Amount for E.C.	Specific reasons for deductions /deviations	
			if any	
a. Land :				
b. Site Development :				
c. Building				
i. Factory Building :				
ii. Office, Quarter etc.				

	Plant and Machinery	. ,	: 1.			
	Basic Value with taxes,					
	ii. Transportation/loading		charges			
	iii. Installation/erection	charges				
	Equipment, accessories, c	omponents,	:			
	fittings					
	Electrical Installation		•			
	i. Drawal of powerline					
	ii.Internal Electrification iii.DG set					
	<u> </u>	4 . (: 4 . 4 . :1 .	\ .			
-	Miscellaneous Fixed Ass Preliminary & pre-op. ex	`) :			
1	capitalized	penses to be	•			
	Capitanzeu		Total :			
11.	Sources of finance		Total .	(in	 n ₹)	
11.	a. Promoter	's contributio	n / Fauity	(11)		
	Capital	3 Continuatio	ni / Equity			
	b. Term Loa	an from Bank	/Financial			
	Institution					
	c. Unsecure	d Loan				
	d. Other sou	rce (to be spe	ecified)			
			Tot	al :		
10	NI () 64 D	1 / 17.				
12.	a. Name(s)ofthe Bar Institution			•		
	b. Amount Sanctioned	l and Sanction	Letter No. &	;		
	Date					
	(i) Term Loan	1/0 1 0 14/	O D C			
	(ii) Working Capit		Over Draft			
13.	Details of Power conn i.Sanctioned Load		lanction			
15.	ii.Connected Load			_		
14.	Details of the Production			•		
Sl.	Product(s)	17 Sei vice Keii	iucicu	Annı	ual Installed Capacity	
No.	1 Toduci(s)		Quai		Va	lue
110.			Quan	icity	(in	
						/
15.	Details of Raw Materia	l(s)				
Sl.	Item(s)	Raw Mate	erial required	on	Sources of Raw Ma	iterial(s)
No.			nnual Installo Capacity	ed		
		Quantity	Value	;	Within the State of	Outside the State
			(in ₹)		Assam	Of Assam
			, ,			

16. (a) Details of Employment (Direct)

Sl	Category	Total	People of Assam		People not		Remarks, if any
		Employment			belonging to		
		(Nos.)			Ass	sam	
			(Nos.)	%	(Nos.)	%	
i.	Managerial						
ii.	Non-managerial						
	Total						

⁽b) No of Indirect employment in the unit

17. Eligible Incentives

Sl. No.	Incentives applied for	Reasons of non-admissibility of incentive(if any)

Comments of the Enquiry Officer

Certified that I have examined each and every particulars furnished by the claimant, M/s.....,along with all the Annexure /relevant documents furnished with the claim and found them to be correct and beyond any reasonable doubt. I have also personally visited the unit on...... and found the unit functioning. Also verified Land /building/plant and machinery/ internal electrical installation items belonging to the unit, whose details with regard to price etc. as given below, resemble with the details furnished in the application for EC under IIPA, 2019.

A. Gross Value of Fixed Capital Investment **applied for EC** : ₹
B. Gross Value of Fixed Capital Investment found **eligible for EC** : ₹

Signature and Seal

Comments of the General Manager:

Having gone through the findings of the enquiry officer and after having satisfied myself in respect of correctness, genuineness and admissibility of the items claimed and corresponding expenses incurred, I do here by make my recommendation as under:

A. Gross Value of Fixed Capital Investment **applied for EC** : ₹
B. Gross Value of Fixed Capital Investment found **eligible for EC** : ₹

Signature with seal

Form: 3.0 Agenda Note

(for all category/sector)

For Eligibility Certificate under Industrial and Investment Policy of Assam 2019

I. Name of the Uni	.t			:				
2. Address of the U	nit with Tel	epho:	ne					
No.								
i) Office	:							
ii) Factory	:							
3. Constitution of t	he Unit			:				
4. Details of Propr	rietor/Partne	rs/Di	rector	s, etc:				
Name				Addres	S			PAN
5. Permanent Acco 6. Udyog Adhar/IE 7. Date of Commer	EM No. & da reial Produc	te tion/o	operati	ion :				
8. Details of Raw Name of the Raw			ISUIIIA	Annua	.1	Value (₹	`	Remarks
Name of the Naw	ivialemai (S	5)				value ()	Kelliaiks
				Requirement				
0 D 4 '1 CE' '	1 10 1	46 \		1	1			
9. Details of Finis						A . 1D 1		C 41 1 4 C
Item (s)	Annual in			Value	(<)	Actual Production from the date of		
	Production	Capa	acity				commencement till date of submiss	
						of application)11	
10 15 1	<u> </u>							
10. Employment	Position:		Mor	na garria 1	Non	Managarial	1	Total
Particulars I Number of Employees			IVIai	nagerial	NOII	Managerial		Total
Percentage of peop	•							
Percentage of peop	pie of Assar	n						
11. Power:								
Sanctioned Pow	ver Load	(Connec	cted Powe	r Load	I	Date o	of Connection
12. Details of Fixed	Capital Inv	estme	ent:(r	nav modif	v for Ho	tels/resort/Ri	ver	

12. Details of Fixed Capital Investment: (may modify for Hotels/resort/River Cruse/Industrial Infrastructure, as the case may be):

Sl	Particulars	Gross value of	Amount found	Remarks, if any
No		investment as per	eligible for E.C.	
		GM's	_	
		recommendation		
A.	Land(including registration			
В.	fees and stamp duty) Land Development			
Б.	_			
~	Factory/Institutional			
C.	Building Office Building			
_	Plant and Machinery/			
D.	Equipments			
	i. Basic Value including			
	taxes, insurance etc			
	ii. Transportation /loading			
	/unloading charges			
	iii.Installation/erection			
	charges.			
Е	Accessories			
	Electrical Installation			
F	i.Drawal of Powerline			
	ii.Internal Electrification			
	iii.DG set			
G	Miscellaneous Fixed Assets			
Н	Preliminary & pre-operative			
	expenses			
	Total			

The unit has been verified by Mr,	Manager, DI&CC, and
the Eligible Fixed Capital Investment has been found to be	₹ However, after scrutiny, the
eligible fixed capital investment has been worked out to be ₹	F
(If further verified by Sr Officers/Joint committee, the name	e of the officers and findings to be
annexed)	

13. Sources of Finance:

15. Sources of Finance.		
Particulars		Amount (in ₹)
a) Promoter's contribution / Equity Capital	:	
b) Term Loan from Bank/Financial	:	
Institution		
c) Unsecured Loan	:	
d) Other source (to be specified)	:	
Total	:	

15(a) Details of Promoters contribution / / Equity Capital:

Name	Amount (₹)	PAN	Mode of transfer

b) Details of Bank Loans:

Name of Bank /	Term Loan	Scheduled	Rate of Interest	Working Capital Loan
Financial	Sanctioned	repayment	as per sanction.	sanctioned
Institution		period	_	

(c) Details of Unsecured Loan:

Name	Amount (₹)	PAN	Mode of transfer

16. GSTIN

17. Incentives for which the unit is found eligible:

a.

b.

Member Secretary

FORM: 3.1

Eligibility Certificate

(for all category/sector) Industrial and Investment Policy of Assam , 2019

No:			Date:
		ertified that M/S	,bearing Udyog
Adha	ır /	IEM Nodatedis granted Eligi	bility Certificate for claiming
		under the Industrial & Investment Policy of Assam	
		ment under the Notification of Taxation Department vide	
		018 (or as Amended).	
	•	articulars of the Unit :	
1.	a.	Name of the Unit	:
	b.	Office address	:
	c.	Factory/operation address	:
		GSTIN	:
2.	(a)C	Constitution of the Unit	:
	(b) N	Name and address of the Proprietor /	:
	(c) P	Partners/Directors / Managing Director / Secretary /	
	Pı	resident / Chairman / CEO / Trustee etc.	
	(d)P	ermanent Account No (PAN) issued if any by the	:
		come Tax Authority in respect of the unit	
3.		e of Commencement of commercial	:
	prod	luction/operation	
4.	-	og Adhar/IEM No. and Date	:
5.	-	egory : Micro/Small / Medium / Large	:
6.		ible fixed Capital Investment	: <u>in ₹</u>
	a.	Land	:
	b.	Site Development	:
	c.	Building	
		i. Factory Building	:
		ii. Office, Quarter etc.	:
	d.	Plant and Machinery	
		i. Basic Value with taxes, insurance etc	:
		ii. Transportation/loading/unloading charges	:
		iii. Installation/erection charges	:
	e.	Equipment, accessories, components, fittings	:
	f.	Electrical Installation	
		i. Drawal of powerline	:
		ii. Internal Electrification	:
		iii. DG set	
	g.	Miscellaneous Fixed Assets (in details)	:
	h.	Preliminary & pre-op. expenses capitalized	<u>: </u>
		Total ·	

7.	Name of the finish products	(in case of manufacturing)
----	-----------------------------	----------------------------

Item (s)	Annual Installed Capacity

8. Name of the raw materials

Item (s)	Annual requirement

- 9. (a) No of employees :
 - (b) Percentage of people of Assam
- 10. Date of Approval of DLC/SLC
- 11. Eligibility Certificate issued under the Industrial and Investment Policy of Assam, 2019 for:
 - (i)
 - (ii)
 - (iii)
 - (+)

Signature of the Member Secretary

Form: 4.0 Application Form for Claiming Power Subsidy under Industrial and Investment Policy of Assam, 2019 Claim

Period: fromto

1	Name and address of the unit							
2	Date of commencement of production/services rendered							
3	No. and date of Eligibility Certificate under IIPA,2019							
4	i. Sanctioned Load of APDCL							
	i. Connected Load of APDCL							
	ii. Consumer No of APDCL							
	iii. Meter No of APDCL							
5	Admissible Period of Power Subsidy	From	to					
6	a. Current Claim for the period	From	to					
b.	i. Total amount in the Bill in the current claim raised by APDCL							
	ii. Amount paid to APDCL for current claim							
	iii. Total units consumed during the current bill period from the connected load of APDCL							
c. P	ower Consumption Statement as per Form:4.1							
d.	Total No of previous claims and	No of claim/s:						
	proximate amount @₹2 per unit	Amount (in ₹):						
7	Details of Bank Account where subsidy is to be deposited							
	a. Name of Bank							
	b. Branch							
	c. Account Number							
	d. IFS Code							

I /We	declare	and	affirm	that	particulars/statement
furnished above ,are true to the best of	my/our knowled	ge an	d belief	and i	f any statement made
herewith in connection with this claim	n is found to be	false	or mis	repre	sentation of facts the
amount of subsidy granted will be refur	nded by me/us to	the (Governn	nent.	
Place:	Signature of	the a	pplicant	$\mathbf{c}(\mathbf{s})$	

Date: Status in relation to the unit: Seal:

Comments of the Enquiry Officer

with those mentioned in the original Bills/vouchers produced before me during the time of physical verification and accordingly make my recommendation as under:

A. Total unit consumed during the claim period	:	₹
B. Actual Bill paid to APDCL	:	₹
C. Quantum of Subsidy recommended		
(i) Units	:	₹

(ii) Amount

Signature and Seal

₹

Form: 4.1 Statement of Power Consumption (Based on APDCL's Bills)

Claim Period: Fromto

Sl	Period of Bill	Total Bill	Total unit	Less 10% of	Balance	Admissible	Remarks
No.	(From to	Amount	consumed	total unit	90% of	subsidy	
)		during the	consumed	unit	(in ₹)	
			period	(unit)	(4 minus	(₹2	
					5)	multiplyby units of col 6)	
						units of col 6)	
1	2	3	4	5	6	7	8
	Total		_				

Signature of the representative of concerned unit

Form:4.2 Check List Power Subsidy

Self Certified copies of the following documents to be uploaded along with the application form for claiming Power subsidy :

- 1. Eligibility Certificate issued to the Unit under Industrial and Investment Policy of Assam, 2019.
- 2. Power Sanction Letter from APDCL.
- 3. Statement of power consumed as per Form:4.1
- 4. Bills, money receipts etc for the period of claim
- 5. Cancelled Cheque

Form: 5.0 Application Form for Claiming Subsidy on Generating set under Industrial and Investment Policy of Assam, 2019

2.	Name and address of the unit	•	
	Date of commencement of production/services rendered	:	
3.	No. and date of Eligibility certificate under IIPA,2019	:	
4.	(i) Total Sanctioned Load (Open Source + APDCL)	:	
	(ii) Total Connected Load		
5.	a. Brand Name of the generating set	:	
	b. Capacity of the Gen set to produce power	•	
	c. Total cost of the generating set including	:	
	Taxes, transportation, Installation, etc		
	d. Cost Statement as per Form:5.1	:	
6.	Details of Bank Account where		
	a. Name of Bank	:	
	b. Branch	:	
	c. Account Number	:	
	d. IFS Code	:	
furnished made her	Wedeclare and affirm above , are true to the best of my/our knowledge and rewith in connection with this claim is found to be false or	belief and if any statemer r misrepresentation of fac	ent
furnished made her	l above, are true to the best of my/our knowledge and rewith in connection with this claim is found to be false out of subsidy granted will be refunded by me/us to the Gov	belief and if any statemer r misrepresentation of fac- ternment.	ent
furnished made her the amou Place:	l above, are true to the best of my/our knowledge and rewith in connection with this claim is found to be false of ant of subsidy granted will be refunded by me/us to the Gov Signature of	belief and if any statemer r misrepresentation of fac- rernment. f the applicant(s)	ent
furnished made her the amou	l above, are true to the best of my/our knowledge and rewith in connection with this claim is found to be false on the of subsidy granted will be refunded by me/us to the Gov Signature of Status in rel	belief and if any statemer r misrepresentation of fac- ternment.	ent
furnished made her the amou Place:	l above, are true to the best of my/our knowledge and rewith in connection with this claim is found to be false on the of subsidy granted will be refunded by me/us to the Gov Signature of Status in religion.	belief and if any statemer r misrepresentation of fac- rernment. f the applicant(s)	ent
furnished made her the amou Place:	l above, are true to the best of my/our knowledge and rewith in connection with this claim is found to be false on the of subsidy granted will be refunded by me/us to the Gov Signature of Status in rel	belief and if any statemer r misrepresentation of fac- rernment. f the applicant(s)	ent

Signature and Seal

Form: 5.1 Statement of Expenditure on Generating set

Sl.	Name and	Make of	Supplier bill	BasicCost of	Taxes	Cost of	Other
No.	address of	the Genera	No and date	the DG set	(in ₹)	Transport	charges
	the Supplier	tingSet		(in₹)		ation (in	(in ₹)
						₹)	
1	2	3	4	5	6	7	8
	Total						

Total Cost $(in \stackrel{?}{\stackrel{?}{=}})$ $(5+6+7+8)$	Mode of transportation	Mode of payment	Date of Installation at site	Rating of the DG set	Date of operation of the DG set	Remark
9	10	11	12	13	14	15
Total						

Signature of the representative of concerned unit

Form: 5.2 Check List Subsidy on Generating Set

Self Certified copies of the following documents to be uploaded along with the application form for claiming subsidy on Generating set :

- 1. Eligibility Certificate issued to the unit under Industrial and Investment Policy of Assam, 2019
- 2. NOC/ Approval from the Electrical Inspector for installation Generating set.
- 3. Statement of cost as per Form:5.1
- 4. Bills, Money Receipt in respect of the claim
- 5. Bank Statement indicating payments made for Generating Set
- 6. Cancelled Cheque

Form: 6.0 Application Form for Claiming Stamp Duty Reimbursement under Industrial and Investment Policy of Assam, 2019

1 Name and address	ss of the unit	:	
2 Date of commen	cement of	:	
production/servi	ces rendered		
3 No. and date of E	ligibility Certificate	:	
under IIPA,2019			
4 Total area of non	-Agriculture land	:	
purchased for the	e project		
5 Total Expenditur	re towards Stamp duty on	:	
purchase of land			
6 Details cost of St	amp duty as per Form:6.2	:	
7. Details of B	ank Account where		
a.	Name of Bank		:
b.	Branch		:
c.	Account Number		:
d.	IFS Code		

I /Wedeclare and affirm that particulars/statement furnished above ,are true to the best of my/our knowledge and belief and if any statement made herewith in connection with this claim is found to be false or misrepresentation of facts the amount of subsidy granted will be refunded by me/us to the Government. Further declared that the land purchased during the period mention is not classified as Agriculture land.

Place: Signature of the applicant(s)

Date: Status in relation to the unit:

Seal:

Comments of the Enquiry Officer

A. Total cost of the Stamp Duty : ₹
B. Quantum of Subsidyrecommended : ₹

Signature and Seal

Form: 6.1 Statement of Expenditure for Stamp Duty reimbursement

Sl	Area	Dag	Patta	Revenue	Mauza	District	Date of	Date of	Cost of	Remarks
No.	of	No/s	no/s	Village/s			purchase	Registration	Stamp	
	Land								duty	
1	2	3	4	5	6	7	9	9	10	11
					·					
	To	otal			·	_				

Signature of the representative of concerned unit

Form: 6.2 Check List Stamp duty reimbursement

Self Certified copies of the following documents to be uploaded along with the application form for claiming Stamp Duty Exemption :

- 1. Eligibility Certificate issued to the unit under Industrial and Investment Policy of Assam, 2019
- 2. Statement as per Form 6.1
- 3. Copies of land documents, statement, etc.
- 4. Bank Statement Indicating Payment Made
- 3. Cancelled Cheque

Form: 7.0

Claim application for subsidy on Technology Transfer and quality Certification, ZED Certification under Industrial and Investment Policy of Assam, 2019

- Name and address of the unit
 Date of commencement
- of production/services rendered
- 3. No. and date of Eligibility Certificate under Industrial and Investment Policy of Assam, 2019
- 4. Name and address of the organization providing : Quality Certification / Technical Know-How
- 5. Details of Quality Certification
 /Technical Know-How provided by the above organization
- 6. Total expenses incurred for obtaining Quality Certification/Technical Know- How/ZED certification, etc
- 7. Details of Bank Account where subsidy is to be deposited
 - a. Name of Bank
 - b. Branch :
 - c. Account Number :
 - d. IFS Code

I /Wedeclare and affirm that particulars/statement furnished above ,are true to the best of my/our knowledge and belief and if any statement made herewith in connection with this claim is found to be false or misrepresentation of facts the amount of subsidy granted will be refunded by me/us to the Government.

Place: Signature of the applicant(s)

Date: Status in relation to the unit:

Seal:

Comments of the Enquiry Officer

Certified that I have personally verified particulars mentioned above with the original bills and vouchers and found them to be correct and beyond any reasonable doubt. I have also personally visited the unit **on...** and physically verified the MoU signed for the sole purpose of quality maintenance of products/services and in connection with technical know-how for **M/S....** and found the unit functioning. I also certify that the details in regard to cost etc ,furnished by the unit in the application ,resemble with those mentioned in the original bills/vouchers produced before me during the time of physical verification and accordingly make my recommendation as under:

A. Expenses incurred for obtaining Quality Certification/Technical Know-How, etc :₹

B. Total expenses found admissible : ₹

C. Quantum of Subsidyrecommended : ₹

Signature and Seal

Form:7.1 Check List Quality Certification/Technical Know-How/ ZED certification, etc

Self Certified copies of the following documents to be uploaded along with the application form for claiming subsidy on Quality Certification/ Technical Know-How/ ZED certification:

- 1. Eligibility Certificate issued to the Unit under Industrial and Investment Policy of Assam, 2019
- 2. MoU/Agreement executed with the Organization providing quality certification of products/services, process etc.
- 3. Bills ,money receipts etc towards expenses incurred for obtaining Quality Certification/ Technical Know-How.
- 4. Bank Statement indicating payments made for Quality Certification/ Technical Know-How/ ZED certification.
- 5. Quality Certification received, if any
- 6. Cancelled Cheque

Form: 8.0 Application form for claiming Interest Subsidy on Working capital under Industrial and Investment Policy of Assam , 2019

Peri	od of Claim: From to		
1	Name of the unit :		
2	Date of going into commercial production/operation		
3	Eligibility Certificate No and date		
4	Admissible period of Interest Subsidy on WC	From	То
5	Present claim period	From	То
6	Details of working capital		
	a. Name of the Bank /Financial Institution providing working capital loan		
	b. Maximum limit of working capital sanctioned along with rate of interest		
	c. Sanction No. & Date		
	d. C.C. Account No. of the Unit		
	e. Total interest charged by the Bank (enclose detailed bank statement for the period of claim, along with a certificate from Bank/FI)		
	f. Total interest subsidy eligible @2% as per Industrial and Investment Policy of Assam, 2019		
7	Bank/FI Certificate as per Form:8.1		
8	Details of Bank Account where subsidy is to be deposited		
	a. Name of Bank		
	b. Branch		
	c. Account Number		
	d. IFS Code		

Signature(s) of the applicant(s)
Designation Seal and Date

Comments of the Enquiry Officer

Certified that I have personally verified particulars mentioned above with the original bank statement/Sanction/Certificate and found them to be correct and beyond any reasonable doubt. I have also personally visited the unit **on...** and M/S.... is found functioning. I also certify that the copy of the Certificate from Bank/ FI submitted by the unit resemble with the original certificate produced before me during the time of physical verification and accordingly make my recommendation as under:

A. Total Interest during the period : ₹
B. Admissible interest for the period from...... to : ₹
C. Quantum of Subsidyrecommended : ₹

Form: 8.1 CERTIFICATE FROM THE BANK / FINANCIAL INSTITUTION

This is to complete the property of the prope	retrify that M/s	(herein referred to as Indu) only as Credit L e for a period er No dtd it on any particular day during it limit is ₹ (Rupo above mentioned period is d by the bank on working capi	astrial Unit) had imit towards its of to The the period from ees) tal utilization is all Unit, this is to assets, loans and loans including lization and that which they were
Month wise below:	Calculation of Interest on WO	C for the period from to	is indicated
Sl. Month No.	Total Interest Charged	Rate of Interest (in %)	2% Subsidy
subsidy amounting	g to ₹(Rupees_	ndustrial Unit is eligible for g	num under the to

Form:8.2 CheckList Interest Subsidy on Working Capital

Self Certified copies of the following documents to be uploaded along with the application form for claiming Interest Subsidy on Working Capital

- 1. Eligibility Certificate issued to the Unit under Industrial and Investment Policy of Assam, 2019
- 2. Bank Sanction letter for WC
- 3. Bank/FI's certificate as per Form:8.1
- 4. Bank Statement Indicating payment made towards Interest on WC
- 5. Cancelled Cheque

Form :9.0

1 Name and address of the unit

Date:

Claim application for Financial Assistance to MSMEs listed in Stock Exchange under Industrial and Investment Policy of Assam, 2019

	·
2 Date of commencement of production/services rendered	:
3 No. and date of Eligibility Certificate under Industrial and Investment Policy of Assam, 2019	:
4 Name of the Stock Exchange	:
5 Paid-up Capital of the company	:
6Annual Listing fee paid towards listing of the company	y :
7 Expenditure as per statement at Form: 9.1 8 Details of Bank Account where subsidy is to be deporated as a Name of Bank b. Branch c. Account Number d. IFS Code I /We	: : : : : : : : : : : : : : : : : : :
Place: Sig	gnature of the applicant(s)

Comments of the Enquiry Officer

Seal:

Status in relation to the unit:

Certified that I have personally verified particulars mentioned above with the original bills and vouchers and found them to be correct and beyond any reasonable doubt. I have also personally visited the unit **on...** and M/S is found functioning. I also certify that the details with regard to cost etc, furnished by the unit in the application ,resemble with those mentioned in the original bills/ vouchers produced before me during the time of physical verification and accordingly make my recommendation as under:

 A. Expenses incurred for Listing at Stock Exchange
 : ₹

 B. Total expenses found admissible
 : ₹

 C. Quantum of Subsidy recommended
 : ₹

Form :9.1 Statement of Expenditure for Listing at Stock Exchange

	Item/s	Amount paid	Mode of	Remarks
		(in Rs)	Payment	
1	2	3	4	5
1	Listing Fee			
2	Advertisement and Publicity			
	(Specify)			
3	Any other expenditure 9			
	Specify)			
	Total			

Form:9.2 Check List Financial Assistance to MSME's Listed in Stock Exchange

Self Certified copies of the following documents to be uploaded along with the application form for claiming Financial Assistance to Stock Exchange :

- 1. Eligibility Certificate issued to the Unit under Industrial and Investment Policy of Assam, 2019
- 2. Statement of Expenditure as per Form:9.1
- 3. Bills/ Vouchers
- 4. Bank Statement Indicating Payments made for listing in Stock Exchange
- 5. Cancelled Cheque

Form: 10.0

Claim application of Assistance for Environmental compliances under Industrial and Investment Policy of Assam, 2019

(This shall be not available for Hotel /resort)

1	Name and address of the unit	:		
2	Date of commencement of production	:		
3	No. and date of Eligibility Certificate under	:		
	Industrial and Investment Policy of Assam, 2019			
4	Any prior approval received from the PCBA	:		
	for setting up of ETP			
5	Capacity of the Effluent Treatment plant (in ltr)	:		
6	Total land area for the Effluent Plant	:		
7	Total cost of the plant			
	a. Civilworks	:		
	b. Machinery	:		
	c. other expenditure (except consumables)	:		
8	Statement of Expenditure as per Form:10.1	:		
9	Date of operationalize the ETP	:		
10 Details of Bank Account where subsidy is to be deposited				
	a. Name of Bank	:		
	b. Branch	:		
	c. Account Number d. IFS Co	:		
	d. If S Co	•		
	I /Wedeclare and	affirm that particulars/statemer		
	shed above ,are true to the best of my/our knowledge ar	-		
herev	with in connection with this claim is found to be false or n	nisrepresentation of facts the amour		
	bsidy granted will be refunded by me/us to the Governme	-		

Place: Signature of the applicant(s)

Date: Status in relation to the unit:

Seal:

Comments of the Enquiry Officer

Certified that I have personally verified particulars mentioned above with the original bills and vouchers and found them to be correct and beyond any reasonable doubt. I have also personally visited the unit **on...** and physically verified the ETP of M/..... & found functioning. I also certify that the details with regard to price etc, furnished by the unit in the application ,resemble with those mentioned in the original bills/vouchers produced before me during the time of physical verification and accordingly make my recommendation as under:

A. Total cost of the ETP ₹ B. Expenditure found admissible C. Quantum of Subsidyrecommended

Form:10.1 Statement of Expenditure on Environmental Compliances

Sl.	Item/s	Amount	Mode of	Remarks
No.		paid	Payment	
		(in ₹)		
1	2	3	4	5
1	Cost towards Civil works			
2	Costtowards plant & machinery,			
	equipments, etc			
3	Any other expenditure directly			
	related to effluent treatment plant			
	(Except consumables)			
	Total			

Form:10.2 Check List Assistance for Environmental Compliances

Self Certified copies of the following documents to be uploaded along with the application form for claiming for Assistance for Environmental Compliances :

- 1. Eligibility Certificate issued to the Unit under Industrial and Investment Policy of Assam, 2019
- 2. Approval from Pollution Control Board of Assam (PCB)
- 3. Statement of Expenditure as per Form:10.1
- 4. Bills/ Vouchers
- 5. Bank Statement indicating payments made
- 6. Cancelled Cheque

Form:11.0

Claim application for Incentive to private Sector Infrastructure Developer under Industrial and **Investment Policy of Assam, 2019**

1.	Name and add	ress of the unit	:
2.	Date of comm	encement of operation of the park	:
3.	No. and date of	f Eligibility Certificate under	:
	Industrial and	Investment Policy of Assam, 2019	
4.	Total area of the	ne Park (in sq mtr and Bigha)	:
5.	Estimated cos	t of the Park	:
6.	Appraised cos	t of the Park	:
7.	Total Expendi	ture of the Park	
	i.	Land & development	:
	ii.	Civil works including	:
	iii.	Road/drainage/ roads, etc	:
	iv.	Effluent Treatment Plant	:
	v.	Water supply arrangement	:
	vi.	Power supply arrangement	:
	vii.	Others	<u>:</u>
		Total	:
	No of plots an		:
	Term loan from		:
		nctioning in the park	:
		per Form:11.1	:
12	. Details of Bar	ak Account where subsidy is to be deposited	
	i.	Name of Bank	:
	ii.	Branch	:
	iii.	Account Number	:
		IFS Code	:
		declare and a	
	·	are true to the best of my/our knowledge and	· · · · · · · · · · · · · · · · · · ·
		ection with this claim is found to be false	<u> </u>
		y granted will be refunded by me/us to the G	
	ace:	_	he applicant(s)
Da	ite:		lation to the unit:
		Seal:	

Comments of the Enquiry Officer

Certified that I have personally verified particulars mentioned above with the original bills and vouchers and found them to be correct and beyond any reasonable doubt. I have also personally visited the park on and physically verified all the documents produced before me. I also certify that the details with regard to price etc, furnished by the unit in the application resemble with those mentioned in the original bills/vouchers produced before me during the time of physical verification and accordingly make my recommendation as under:

A. Total cost of the Infrastructure ₹ B. Expenditure found admissible C. Quantum of Subsidyrecommended

Form:11.1 Statement of Expenditure for creation of Infrastructure Development

Sl.	Item/s*	Amount paid	Mode of Payment
No.		(in ₹)	
1	2	3	4
1	Cost of land including Stamp duty		
2	Land Development		
3	Civil works including Road/drainage/		
	roads, etc,		
3	Effluent Treatment Plant		
4	Water supply arrangement		
5	Power supply arrangement		
6	Others		
	Total		

^{*} Separate Statement to be submitted for each item.

Form:11.2 Check List Incentives to Private sector Infrastructure Developer

Self Certified copies of the following documents to be uploaded along with the application form for claiming Incentive to Private sector Infrastructure Developer:

- 1. Eligibility Certificate issued to the Unit under Industrial and Investment Policy of Assam, 2019
- 2. Statement of Expenditure as per Form :11.1 and CA Certificate as per Annexure:3.1
- 3. Land documents
- 4. Estimate of the project
- 5. Bank/FI 's appraisal
- 6. Bills/ Vouchers
- 7. Bank Statement indicating the payments made
- 8. Canceled Cheque

Form:12.0

Claim application for Incentives for Employment generation under Industrial and Investment Policy of Assam, 2019

1	Name and address of the unit	:			
2	Date of commencement of production/services rendered	:			
3	No. and date of Eligibility Certificate under	:			
	Industrial and Investment Policy of Assam, 2019				
4	No. of persons employed in the establishment				
	a. Direct	:			
	b. Indirect	:			
5	No of persons employed as permanent nature Form 12.1	:			
6	Total monthly salary of the employees in the establishment	:			
7	Details of Bank Account where subsidy is to be deposited				
	a. Name of Bank	:			
	b. Branch	:			
	c. Account Number	:			
	d. IFS Code	:			
I /Wedeclare and affirm that particulars/statement furnished above ,are true to the best of my/our knowledge and belief and if any statement made herewith in connection with this claim is found to be false or misrepresentation of facts the amount of subsidy granted will be refunded by me/us to the Government.					
Place	Signature of the	applicant(s)			
Date:	Status in relati	on to the unit:			

Comments of the Enquiry Officer

Seal:

Certified that I have personally verified particulars mentioned above with the employee register, etc and found them to be correct and beyond any reasonable doubt. I have also personally visited the unit **on...**..... & found the unit functioning. I also certify that the details indicated in Form 12.1 resemble with the documents produced before me during the time of physical verification and accordingly make my recommendation as under:

A. No of persons claimed as permanent : ₹
B. Eligible permanent employees in the unit : ₹
C. Quantum of Subsidyrecommended @ ₹10000 per person : ₹

Form:12.1 List of Employees and compensation:

Sl No.	Name of the employee	appointment	Employment Exchange Reg ⁿ No.	EPF No.	Monthly Salary	Contribution towards EPF		Organization	Expenditure incurred for the training (in ₹)	
1	2	3	4	5	6	7	8	9	10	11
				•						

Declaration:

- 1. I do hereby declare that the above employees are working in our organization for more than 12 (twelve) months on regular basis & the statutory dues to respective organization have been paid regularly by our organization.
- 2. The employees at Sl. have successfully completed the skill up gradation training.
- 3. Subsidy granted, if any will be returned if the employee is removed/dismissed from the service within 12 (twelve) months from the date of receipt of the subsidy.

Form:12.2 Check List Incentive for Employment Generation

Self Certified copies of the following documents to be uploaded along with the application form for claiming Incentive for employment generation :

- 1. Eligibility Certificate issued to the Unit under Industrial and Investment Policy of Assam, 2019
- 2. Statement of employee as per Form:12.1.
- 3. Employment Certificate submitted at the time of applying Eligibility Certificate
- 4. Challan of EPF, ESI etc.
- 5. Bank Statement indicating the payment made towards EPF, ESI etc.
- 6. Cancelled Cheque

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